Form PTO-1595 (Rev. 07/05) U.S. DEPARTMENT OF COMMERCE OMB No. 0651-0027 (exp. 6/30/2008) United States Patent and Trademark Office RECORDATION FORM COVER SHEET CAR S-130,644 PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 1. Name of conveying party(les) 2. Name and address of receiving party(ies) Brookhaven Science Associates, LLC/ Name: United States Department of Energy Brookhaven National Laboratory Internal Address: Additional name(s) of conveying party(ies) attached? Lagrange Yes 🗸 No 3. Nature of conveyance/Execution Date(s): Street Address: 1000 Independence Ave. S.W Execution Date(s) April 11, 2012 Assignment Merger City: <u>Washington</u> Security Agreement Change of Name \_\_\_ Joint Research Agreement State: D.C. Government Interest Assignment Country: USA ✓ Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? Tyes Ves Vo 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) Serial No. 13/398,623 Additional numbers attached? Yes ✓ No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: Involved: 1 Name: Mark P. Dvorscak 7. Total fee (37 CFR 1.21(h) & 3.41) \$ Internal Address: U.S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed Street Address: 9800 S. Cass Ave None required (government Interest not affecting title) 8. Payment Information City: <u>Argonne</u> a. Credit Card Last 4 Numbers \_\_\_\_\_ State: IL Zip:60439 Expiration Date Phone Number: 630-252-2393 b. Deposit Account Number \_\_\_\_\_ Fax Number: 630-252-2779 Authorized User Name Email Address: 9. Signature: Signature

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Michael J. Dobbs #58.093

Name of Person Signing

PATENT REEL: 028950 FRAME: 0103

Total number of pages including cover sheet, attachments, and documents:

DOE Docket No.: S-Unassigned

## CONFIRMATORY LICENSE

Application for:

Transmission Electron Microscope Sample Holder with Optical

Features

Inventor(s):

Milas, Mirko, et al.

Non Prov Serial No. 13/398,623 Filing Date (U.S.):

Contractor No.:

02-16-2012 DE-AC02-98CH-10886

Contractor:

Brookhaven Science Associates, LLC

The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the provisions of the above-numbered contract (executed on January 5, 1998) governing patent rights in the Subject Invention at the time the invention was made has been submitted to the U.S. Department of Energy.

The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for on behalf of the United States the subject invention throughout the world. This license applies to the invention in the aboveidentified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 11 th day of April , 2012.

BROOKHAVEN SCIENCE ASSOCIATES, LLC/ **BROOKHAVEN NATIONAL LABORATORY** 

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**PATENT** REEL: 028950 FRAME: 0104

RECORDED: 09/10/2012