

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SIEMENS AKTIENGESELLSCHAFT	11/14/2011
RECEIVING PARTY DATA	
Name:	OSRAM AG
Street Address:	HELLABRUNNER STR. 1
City:	MUNICH
State/Country:	GERMANY
Postal Code:	D-81543
PROPERTY NUMBERS Total: 17	
Property Type	Number
Patent Number:	6140248
Patent Number:	5742098
Patent Number:	5999552
Patent Number:	6339233
Patent Number:	5994722
Patent Number:	5981979
Patent Number:	6135645
Patent Number:	6100104
Patent Number:	6645783
Patent Number:	6582888
Patent Number:	6262441
Patent Number:	6258469
Patent Number:	RE37554
Patent Number:	6472718
Patent Number:	6617184

OP \$680.00 6140248

Patent Number:	6465270
Patent Number:	6309953

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:	SIEMENS-OSRAM
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NAME OF SUBMITTER:	RALPH E LOCHER
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Total Attachments: 4
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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: SIEMENS AKTIENGESELLSCHAFT
Application No./Patent No.: SEE ATTACHED LIST Filed/Issue Date: SEE ATTACHED LIST
Entitled: SEE ATTACHED LIST

SIEMENS AKTIENGESELLSCHAFT, a CORPORATION
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1. the assignee of the entire right, title, and interest; or
- 2. an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel see list, Frame see list, or for which a copy therefore is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Siemens Aktiengesellschaft

Aug 23, 2012
Date

Katharina Klinge
Katharina Klinge
Anna Hashuber
Anna Hashuber
Both authorized officers

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Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Patent List for Statement 3.73(b) Siemens Aktiengesellschaft to Osram AG

Patent No.	Issue Date	Title	Ree/Frame
6,140,248	10/31/00	Process for Producing a Semiconductor Device with a Roughened Semiconductor Surface	010674/0079
5,742,098	04/21/98	Semiconductor Component with Plastic Sheath and Method for Producing the Same	008881/0687
5,999,552	12/07/99	Radiation Emitter Component	010304/0465
6,339,233	01/15/02	Metal Clad Ridge Waveguide ("MCRW") Laser Semiconductor Structure with Doped Semiconductor Substrate	012198/0895
5,994,722	11/30/99	Image Display Device that Emits Multicolored Light	010464/0832
5,981,979	11/09/99	Radiation-Emitting Semiconductor Component	010265/0489
6,135,645	10/24/00	Laser Device with a Silicone-Attached Element	011087/0901
6,100,104	08/08/00	Method for Fabricating a Plurality of Semiconductor Bodies	010922/0616
6,645,783	11/11/03	Method of Producing an Optoelectronic Component	014459/0761
6,582,888	06/24/03	Method for Producing Organic Electroluminescent Components	014052/0783
6,262,441	07/17/01	Organic Light Emitting Diode Including an Organic Functional Layer Between Electrodes	011792/0976
6,258,469	07/10/01	Benzidine Derivatives and their Preparation and Use	011832/0335
RE37,554	02/19/02	Method For Producing an Optoelectronic Semiconductor Component	010304/0428

6,472,718	10/29/02	Semiconductor Device	012198/0895
6,617,184	09/09/03	Process of Minimizing the Operation Voltage of an Organic Light Emitting Diode	011792/0976
6,465,270	10/15/02	Process for Producing a Semiconductor Device	012198/0895
6,309,953	10/30/01	Process for Producing a Semiconductor Device With a Roughened Semiconductor Surface	010674/0079

ASSIGNMENT

WHEREAS; We, Siemens Aktiengesellschaft, Wittelsbacherplatz 2, 80333 Munich, Federal Republic of Germany, are the owner of the U. S. Patent

Nos. 6140248, 5742098, 5999552, 6339233, 5994722, 5981979, 6135645, 6100104, 6645783, 6582888, 6262441, 6258469, RE37554, 6472718, 6617184, 6465270, 6309953.

WHEREAS; We, OSRAM AG, Hellabrunner Str. 1, 81543 Munich, Federal Republic of Germany are desirous of acquiring the total right, title and interest in the above mentioned U.S. Patent.

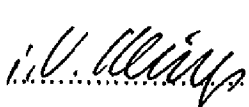
Now, therefore, in consideration of One Dollar and other good and valuable consideration, the receipt whereof is hereby acknowledged, We, Siemens Aktiengesellschaft, hereby assign, sell and set over unto the said OSRAM AG all the rights, titles and interests in and to said U.S. Patents.

In Testimony whereof, We execute this Assignment

This *14* day of *November, 2011*

Siemens Aktiengesellschaft

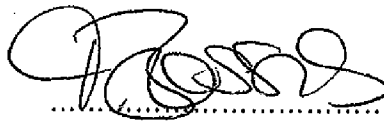
In the presence of:



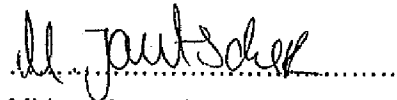
Katharina Klinger
Both authorized officers



Anna Hashuber



Tamara Bossios



Miriam Jantscher