U.S. DEPARTMENT OF COMMERCE Form PTO-1595 (Rev. 07/05) United States Patent and Trademark Office OMB No. 0651-0027 (exp. 6/30/2008) RECORDATION FORM COVER SHEET S-130,066 PSL PATENTS ONLY To the Director of the U.S. Patent and Trademark Office; Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(les) 1. Name of conveying party(ies) Name: United States Department of Energy Membrane Technology and Research, Inc. Internal Address: \_\_\_\_\_ Additional name(s) of conveying party(ies) attached? Yes 🗹 No Street Address: 1000 Independence Ave., S.W 3. Nature of conveyance/Execution Date(s): Execution Date(s) 02/07/2012 Merger Assignment City: Washington Security Agreement ☐ Change of Name Joint Research Agreement Government Interest Assignment Country: USA Zip: 20585 ✓ Executive Order 9424, Confirmatory License Additional name(s) & address(es) attached? Yes V No Other 4. Application or patent number(s): This document is being filed together with a new application. B. Patent No.(s) A. Patent Application No.(s) 13/123,364 Additional numbers attached? 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name: Mark P. Dvorscak 7. Total fee (37 CFR 1.21(h) & 3.41) \$\_\_ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed Street Address: 9800 S. Cass Ave ✓ None required (government interest not affecting title) 8. Payment Information City: Argonne a. Credit Card Last 4 Numbers \_\_\_\_\_\_ Zip:<u>60439</u> State: |L Expiration Date Phone Number: 630-252-2393 b. Deposit Account Number \_\_\_\_\_ Fax Number: 630-252-2779 Authorized User Name Email Address: 9. Signature: Signature Total number of pages including cover Mark C. Lang #55,356 sheet, attachments, and documents: Name of Person Signing

Documents to be recorded (including cover sheet) should be fixed to (571) 273-0140, or malled to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT REEL: 029025 FRAME: 0037



## Department of Energy

Office of Science • Chicago Office • Intellectual Property Law Division 9800 South Cass Avenue Argonne, Illinois 60439 (630) 252-2171 Voice • (630) 252-2779 Fax Chicago-lp@ch.doe.gov www.ch.doe.gov/offices/OCC/

## CONFIRMATORY LICENSE

Membrane Loop Process for Separating Carbon Dioxide for Use in Gaseous Form

Title of Invention: from Flue Gas

Inventor(s):

Patent Application Serial No: 13/123,364 Filing Date 4/8/11

Patent Number: n/a still in prosecution Issue Date:

Name of Contractor (i.e. Contractor, Subcontractor, Grant, or Cooperative Agreement, as applicable):

Name of Contractor: Membrane Technology and Research, Inc.

DOE Contract No. (i.e. Contract. Subcontract, Grant, or Cooperative Agreement, as applicable):

DE-NT0005312

DOE Case No.: S-130.066 Contractor Docket No.: 976-163

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent

application.

Signed on: Feb 7, 2012

Contractor Name Membrane Technology and Research, Inc.

Official's Name Janet Farrant

Official's Title Head of Patent and Legal Department

Business Address 1360 Willow Road, Suite 103, Menlo Park, CA 94025

PATENT REEL: 029025 FRAME: 0038

**RECORDED: 09/18/2012**