# RECORDATION FORM COVER SHEET PATENTS ONLY

Atty Ref/Docket No.: 1565.389US1 Patent and Trademark Office To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Name: Novell, Inc. John Melvin Antony, Paul Apostolescu, Pattabiraman Srinivasan, Prathap Adusumilli Street Address: 1800 South Novell Place M/S H544 Additional name(s) of conveying party(ies) attached? City: <u>Provo</u> State: <u>UT</u> Zip: <u>84606</u> [ ]Yes [X]No Country: United States of America 3. Nature of conveyance: Additional name(s) & address(es) attached? [ ]Yes XNo [X] Assignment [ ] Merger [ ] Security Agreement[ ] Change of Name Execution Date: August 2, 2012, August 8, 2012, August 26, 2011, August 8, 2012 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) Serial No. 13/220,377, filed August 29, 2011 Additional numbers attached? [ ]Yes [X]No 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41):\$ 40.00 Name: Joseph P. Mehrle [Enclosed] [X] Authorized to be charged to deposit account Address: 19-0743 Schwegman, Lundberg & Woessner, P.A. P.O. Box 2938 8. Please charge any additional fees or credit any over Minneapolis, MN 55402--0938 payments to our Deposit Account No.: 19-0743 DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 09-17-2012 Joseph P. Mehrle/Reg. Name of Person Signing Signature

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks Mail Stop Assignment Recordation Services P.O. Box 1450 Alexandria, VA 22313-1450

> PATENT REEL: 029025 FRAME: 0109

Total number of pages including cover sheet: 19

Attorney Docket No. 1565.389US1 Client Ref. No. IDR-2337

#### **ASSIGNMENT**

WHEREAS, John Melvin Antony, Paul Apostolescu, Pattabiraman Srinivasan, Prathap Adusumilli (hereinafter the "Undersigned") have made one or more inventions and other subject matter (hereinafter collectively referred to as the "Invention") which are described in a patent application filed on August 29, 2011, which application was assigned US patent application serial number 13/220,377, and which is titled SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency, and adequacy of which are hereby acknowledged by the Undersigned, the Undersigned do hereby irrevocably and unconditionally:

CONVEY, ASSIGN, AND TRANSFER to Novell, Inc. (the "Assignee"), a corporation of the <u>State of Delaware</u>, having a place of business at <u>1800 South Novell Place</u>, <u>Provo, UT 84606</u>, the <u>Undersigned's entire right</u>, title, and interest for the United States and all foreign countries and jurisdictions in and to:

the Invention which is disclosed in the above-identified application or applications:

such application or applications, and all divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other applications for a patent or patents which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries and jurisdictions based in whole or in part on any of such Invention (including any application for a utility model or an innovation patent application);

all original and reissued patents which have been or shall be issued in the United States and all foreign countries and jurisdictions based in whole or in part on any of such Invention;

including the right to claim priority to the above-identified patent application or applications in relation to subject matter based in whole or in part on the above-identified patent application or applications and any of the foregoing including the right to file foreign applications under the provisions of any convention or treaty;

and including the right to all causes of action, remedies, and other enforcement rights related to the above-identified application or applications, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such Invention to the Assignee;

AUTHORIZE AND REQUEST that any attorney associated with U.S. Patent and Trademark Office (USPTO) Customer No. 45838 may (directly or through his/her designee) delete, insert, or alter any information related to the above-identified patent application or applications or any of the foregoing, after execution of this Assignment;

Assignment

Assignors: John Melvin Antony et al.

Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS

Page 2 of 6

Docket No: 1565.389US1 Client Ref. No. IDR-2337

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or shall be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the Undersigned shall (1) execute all provisional, non-provisional, divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other patent applications for the Invention; (2) execute all rightful oaths, dedarations, assignments, powers of attorney and other papers for the Invention; (3) communicate to the Assignee all facts known to the Undersigned relating to the Invention and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for the Invention; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such Invention in the Assignee, or for securing, maintaining and enforcing proper patent protection for the Invention;

COVENANT, that should any provision of this agreement be held unenforceable by an authority of competent jurisdiction, such a ruling shall not affect the validity and enforceability of the remaining provisions. To the extent that any such provision is found to be unenforceable, the Undersigned, when requested and without compensation shall act in good faith to substitute for such provision a new provision with content and purpose as dose as possible to the provision deemed unenforceable.

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

AGREED as of the date of my signature below:

Assignment
Assignors: John Melvin Antony et al.
Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS
Page 3 of 6

Docket No: 1565.389US1 Client Ref, No. IDR-2337

**Assignor** 

(Signature):

Name: John Melvin Antony

City/State: Hemdon, VA

Date: August 2, 2012

STATE OF Ingenea

)ss

COUNTY OF Farfax

On this  $2^{ND}$  day of August, 20/2 before me personally appeared <u>John Melvin Antony</u>, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

**Notary Public** 

MIRIAM ABBASI

NOTARY PUBLIC

COMMONWEALTH OF VIRGINIA

MY COMMISSION EXPIRES APR. 30, 2013

COMMISSION \* 7209513

Assignors: John Melvin Antony et al.

Assignment

Docket No: 1565.389USI

Client Ref. No. IDR-2337

Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS Assignor. (Signature):\_\_\_\_ Name: Paul Apostolescu City/State: Ashbum, VA Date:\_\_\_\_\_ STATE OF \_\_\_\_\_\_) )ss COUNTY OF \_\_\_\_\_ On this \_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_ before me personally appeared Paul Apostolescu, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth. [SEAL]

**Notary Public** 

PATENT

REEL: 029025 FRAME: 0113

Docket No: 1565.389US1 Client Ref. No. IDR-2337

Assignment Assignors: John Melvin Antony et al. Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS Page 5 of 6	Docket No: 1565.389U Client Ref. No. IDR-23
1 agc 5 01 0	
Assignor	
(Signature):	
Name: Pattabiraman Srinivasan	
City/State: North Potomac, MD	
Date:	
STATE OF)	
COUNTY OF)	
On this day of 20 before me personally app Pattabiraman Srinivasan, to me known and known to me to be the person described ir executed the foregoing instrument, and he/she duly acknowledged to me that he/she same for the uses and purposes therein set forth.	and who
[SEAL]	

**Notary Public** 

[SEAL]

Assignment Docket No: 1565.389US1 Client Ref. No. IDR-2337 Assignors: John Melvin Antony et al. Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS Page 6 of 6 Assignor: (Signature):\_\_\_\_\_ Name: Prathap Adusumilli City/State: Hemdon, VA Date:\_\_\_\_ STATE OF \_\_\_\_\_\_) )55 COUNTY OF \_\_\_\_\_\_\_) On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_ before me personally appeared Prathap Adusumilli, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

**Notary Public** 

Attorney Docket No. 1565.389US1 Client Ref. No. IDR-2337

#### **ASSIGNMENT**

WHEREAS, John Melvin Antony, Paul Apostolescu, Pattabiraman Srinivasan, Prathap Adusumilli (hereinafter the "Undersigned") have made one or more inventions and other subject matter (hereinafter collectively referred to as the "Invention") which are described in a patent application filed on August 29, 2011, which application was assigned US patent application serial number 13/220,377, and which is titled SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency, and adequacy of which are hereby acknowledged by the Undersigned, the Undersigned do hereby irrevocably and unconditionally:

CONVEY, ASSIGN, AND TRANSFER to Novell, Inc. (the "Assignee"), a corporation of the <u>State of Delaware</u>, having a place of business at <u>1800 South Novell Place</u>, <u>Provo, UT 84606</u>, the <u>Undersigned's entire right</u>, title, and interest for the United States and all foreign countries and jurisdictions in and to:

the Invention which is disclosed in the above-identified application or applications;

such application or applications, and all divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other applications for a patent or patents which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries and jurisdictions based in whole or in part on any of such Invention (including any application for a utility model or an innovation patent application);

all original and reissued patents which have been or shall be issued in the United States and all foreign countries and jurisdictions based in whole or in part on any of such Invention;

including the right to claim priority to the above-identified patent application or applications in relation to subject matter based in whole or in part on the above-identified patent application or applications and any of the foregoing including the right to file foreign applications under the provisions of any convention or treaty;

and including the right to all causes of action, remedies, and other enforcement rights related to the above-identified application or applications, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such Invention to the Assignee;

AUTHORIZE AND REQUEST that any attorney associated with U.S. Patent and Trademark Office (USPTO) Customer No. 45838 may (directly or through his/her designee) delete, insert, or alter any information related to the above-identified patent application or applications or any of the foregoing, after execution of this Assignment;

Assignment

Assignors: John Melvin Antony et al.

Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS

Page 2 of 6

Docket No: 1565.389US1 Client Ref. No. IDR-2337

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or shall be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the Undersigned shall (1) execute all provisional, non-provisional, divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other patent applications for the Invention; (2) execute all rightful oaths, declarations, assignments, powers of attorney and other papers for the Invention; (3) communicate to the Assignee all facts known to the Undersigned relating to the Invention and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for the Invention; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such Invention in the Assignee, or for securing, maintaining and enforcing proper patent protection for the Invention;

COVENANT, that should any provision of this agreement be held unenforceable by an authority of competent jurisdiction, such a ruling shall not affect the validity and enforceability of the remaining provisions. To the extent that any such provision is found to be unenforceable, the Undersigned, when requested and without compensation shall act in good faith to substitute for such provision a new provision with content and purpose as close as possible to the provision deemed unenforceable.

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

AGREED as of the date of my signature below:

Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS Page 3 of 6	
Assignor:	
(Signature):	
Name: John Melvin Antony	
City/State: Hemdon, VA	
Date:	
STATE OF) )ss	
COUNTY OF)	
On this day of, 20 before me personally appeared Antony, to me known and known to me to be the person described in and who executed the instrument, and he/she duly acknowledged to me that he/she executed the same for the us purposes therein set forth.	e foregoing
[SEAL]Notary Public	

Assignment

Assignors: John Melvin Antony et al.

Docket No: 1565.389US1 Client Ref. No. IDR-2337

Tale: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS

Assignor:

(Signature):

Name: Paul Apostolescu

City/State: Ashburn, VA

Date: 8/8/2012

STATE OF VIRGINIA

)SE

On this 8 day of August 2012 before me personally appeared Paul Apostolescu, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

> LUIS A WARRIGUIN BOLIC NOTARY PUBLIC COMMONWEALTH OF VIRGINIA MY COMMISSION EXPIRES SEPT. 30, 2015 COMMISSION # 7502652

[SEAL]

**Notary Public** 

Assignment Assignors: John Melvin Antony et al. Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS Page 5 of 6	Docket No. 1565.389US1 Client Ref. No. IDR-2337
Assignor	
(Signature):	
Name: Pattabiraman Srinivasan	
City/State: North Potomac, MD	
Date:	
STATE OF)	
)ss	
COUNTY OF)	
On this day of, 20 before me personally app Pattabiraman Srinivasan, to me known and known to me to be the person described ir executed the foregoing instrument, and he/she duly acknowledged to me that he/she same for the uses and purposes therein set forth.	and who
[SEAL]	

**Notary Public** 

Assignment
Assignors: John Melvin Actory et al.
Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS
Page 6 of 6

Docket No: 1565.389US1 Client Ref. No. IDR-2337

Assignor:

(Signature):\_\_

Name: Prathap Adusumilli

City/State: Hemdon, VA

Date: 08 | 08 | 2012

STATE OF Virginia

)ss

COUNTY OF Fair fax

On this \_\_\_\_\_ day of \_\_\_\_\_\_ 20 \_\_\_\_ before me personally appeared <u>Prathap</u> Adusumilli, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

LUIB A MARROQUIN POLIO
NOTARY BUBLIO
COMMONWEALTH OF VIRGINIA
MY COMMISSION EXPIRES SEPT. 80, 2015
COMMISSION # 7502652

[SEAL]

**Notary Public** 

Attorney Docket No. 1565.389US1 Client Ref. No. IDR-2337

### ASSIGNMENT

WHEREAS, We, John Melvin Antony, residing at 2459 Fox Stream Ln, Herndon, VA 20171, and Paul Apostolescu, residing at 45059 Fellowship Square, Ashburn, VA 20147, and Pattabiraman Srinivasan, residing at 14700 Rolling Green Way, North Potomac, MD 20878, and Prathap Adusumilli, residing at 2101 Raven Tower Ct., Apt. 201, Herndon, VA Herndon, made certain new and useful inventions and improvements which are described in an application for U.S. Letters Patent entitled <u>SECURITY</u> EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS;

WHEREAS, We hereby authorize and request the attorneys, representing Novell, Inc. on this application, at Schwegman, Lundberg & Woessner, P.A., located at 121 South Eighth Street, Suite 1600, Minneapolis, MN 55402, to insert here in parentheses (Ser. No. 13/220377; Filing Date: 08/29/2011) the filing date and application number of said application when known.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned do hereby:

SELL, ASSIGN AND TRANSFER to Novell, Inc. (the "Assignee"), a corporation of the State of Delaware, having a place of business at 1800 South Novell Place, Provo, UT 84606, the entire right, title and interest for the United States and all foreign countries in and to: any and all inventions and improvements which are disclosed in the identified application for U.S. Letters Patent, and which may be further identified below; such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries on any of such inventions and/or improvements (including any applications for utility models); all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such inventions and/or improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and to claim priority based on the identified application;

AUTHORIZE AND REQUEST that any attorney associated with U.S. PTO Customer No. <u>45838</u> may (directly or through his/her designee) delete, insert, or alter any information identifying this application, or any related application, below, after execution of this Assignment;

## Additional Application Information

No Provisional claim for priority is being made at this time.

No U.S. Non-Provisional claim for priority is being made at this time.

No International or foreign claim for priority is being made at this time.

Docket No: 1565.389US1

ASSIGNMENT

Client Ref. No. IDR-2337

Assignors: John Melvin Antony et al.

Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS

Page 2 of 6

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and/or property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the undersigned will (1) execute all provisional, non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; (2) execute all rightful oaths, declarations, assignments, powers of attorney and other papers; (3) communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for such improvements; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such improvements in the Assignee, or for securing, maintaining and enforcing proper patent protection for such improvements;

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned, and is to extend to the benefit of the successors, assigns and nominees of the Assignee.

AGREED as of the date of my signature below:

ASSIGNMENT Client Ref. No. IDR-2337	Docket No: 1565.389US1
Assignors: John Melvin Antony et al. Title: SECURITY EVENT MANAGEMENT APPA Page 3 of 6	RATUS, SYSTEMS, AND METHODS
IN TESTIMONY WHERE	EOF, I have hereunto set my hand this day of
, 20	
	John Melvin Antony
STATE OF	)
	)ss
COUNTY OF	)
Melvin Antony, to me known and known	, 20 before me personally appeared <u>John</u> wn to me to be the person described in and who executed uly acknowledged to me that he/she executed the same for
[SEAL]	Notary Public

ASSIGNMENT Client Ref. No. IDR-2337	Ω	Oocket No: 1565.389US1
Assignors: John Melvin Antony et al. Title: SECURITY EVENT MANAGEMENT A Page 4 of 6	APPARATUS, SYSTEMS, AND METHODS	
IN TESTIMONY WH	EREOF, I have hereunto set my hand this _	day of
	Paul Apostole	escu
STATE OF	)	
	)ss	
COUNTY OF		
Apostolescu, to me known and known	, 20 before me person own to me to be the person described in and only acknowledged to me that he/she executed.	who executed the
[SEAL]		
[overl	Notary Public	

[SEAI

**Montgomery County** Maryland

My Commission Expires Apr 27, 2014

Notary Public

ASSIGNMENT Docket No: 1565.389US1 Client Ref. No. IDR-2337 Assignors: John Melvin Antony et al. Title: SECURITY EVENT MANAGEMENT APPARATUS, SYSTEMS, AND METHODS Page 5 of 6 IN TESTIMONY WHEREOF, I have hereunto set my hand this 26 day of Pattabiraman Srinivasan On this <u>Aletter</u> day of <u>Avenstar</u>, 20 11 before me personally appeared <u>Pattabiraman Srinivasan</u>, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth. LESLIE E MCELWAIN Notary Public

**PATENT** 

REEL: 029025 FRAME: 0126

Docket No: 1565.389US1
US, SYSTEMS, AND METHODS
, I have hereunto set my hand this day of
Prathap Adusumilli
, 20 before me personally appeared wn to me to be the person described in and who she duly acknowledged to me that he/she executed the forth.
Notary Public

PATENT REEL: 029025 FRAME: 0127

**RECORDED: 09/18/2012**