## PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Shigeru Tanaka	03/30/2010
Edward Liou	03/30/2010
Jonathan Carver	04/01/2010
Joshua Druker	03/30/2010
Martin Leugers	03/30/2010
Kurin Tu	03/30/2010
Peter Lombrozo	07/19/2010

### **RECEIVING PARTY DATA**

Name:	Speck Product Design, Inc.	
Street Address: 3221 Porter Drive		
City:	Palo Alto	
State/Country:	CALIFORNIA	
Postal Code:	94304	

#### PROPERTY NUMBERS Total: 7

Property Type	Number
Application Number:	13614372
Application Number:	13614508
Application Number:	13614577
Application Number:	13627812
Application Number:	13627825
Application Number:	13627850
Application Number:	13627865

### **CORRESPONDENCE DATA**

**Fax Number**: 8167531536

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 816-753-1000
Email: uspt@polsinelli.com

Correspondent Name: S. Wade Johnson/Polsinelli Shughart PC

Address Line 1: 700 W. 47th Street

Address Line 2: Suite 1000

Address Line 4: Kansas City, MISSOURI 64112

ATTORNEY DOCKET NUMBER: 066097-447254

NAME OF SUBMITTER: Donna Carrera

Total Attachments: 13

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#### **ASSIGNMENT**

WHEREAS, we Shigeru Tanaka, residing at 83 Valencia Street, Half Moon Bay, California, 94019, USA, Edward Liou, residing at 1902 Aberdeen Lane, Mountain View, California 94043, USA, Jonathan Carver, residing at 326 Yerba Buena Rd., B, San Francisco, California 94130-1518 USA, Joshua Druker, residing at 150 Camellia Avenue, Redwood City, California 94061 USA, Martin Leugers, residing at 2034 Ellis Street, San Francisco, California 94115 USA, Kurin Tu, residing at 2038 S. Delaware St., San Mateo, California 94403 USA and Peter Lombrozo, residing at 716 Coast Range Dr., Scotts Valley, California 95066 USA (hereinafter, individually and collectively, the "Assignor"), have invented certain new and useful systems, devices, and methods disclosed and described in a utility application for Letters Patent in the United States ("U.S."), entitled "Vertebral Joint Implants and Delivery Tools," which can be identified in the United States Patent and Trademark Office ("USPTO") by Application No. 12/653,283, filed on 10 December 2009, with attorney docket no. P190868.US.05 (the "Utility Application"), and international application(s), which can be identified as PCT Application No. PCT/US2009/006478, entitled "Vertebral Joint Implants and Delivery Tools," filed on 10 December 2009, with attorney docket no. P190868.WO.02 (the "Foreign Applications");

WHEREAS, Assignor desires to assign any and all right title and interest in and to said Utility Application and the Foreign Applications, and any provisional, non-provisional, continuation, continuation-in-part, divisional, international, foreign, regional and convention applications corresponding thereto, and any and all Letters Patent of the United States and countries and regions foreign thereto which may grant or have granted thereto or be lodged in relation thereto, any reissue or reexamination thereof or to be obtained therefor, any renewals, or substitutes thereof, and any and all priority rights or priority claims, International Convention rights, any and all rights to collect past damages for infringement of any and all Letters Patent of the United States and countries and regions foreign thereto which may be published, which may grant, or have granted thereto or be lodged in relation thereto, and other benefits accruing to or to accrue to Assignor with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto (the "Patent Rights"); and

WHEREAS, Speck Product Design, Inc., a corporation organized and existing under the laws of the State of California, and having its principal place of business at 3221 Porter Drive, Palo Alto, California 94304, USA (the "Assignee"), desires to acquire any and all right, title, and interest of Assignor in and to the invention(s), the Patent Rights, and in, to, and under any and all Letters Patent to be obtained therefor.

NOW THEREFORE, for the sum of \$1.00 and other good and valuable consideration to Assignor in hand paid, the receipt and sufficiency of which are hereby acknowledged, Assignor has sold, assigned, and transfer and by these presents does hereby sell, assign and transfer to the said Assignee, and said Assignee's legal representatives, successors and assigns, any and all of the entire right, title and interest in and to the invention(s), Patent Rights, and any and all Letters Patent to be obtained therefor;

UPON SAID CONSIDERATION, the Assignor hereby covenants and agrees with the said Assignee that it will not execute any writing or do any act whatsoever conflicting with these presents, and that it will, at any time upon request, without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, provisionals, non-provisionals, continuations,

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continuations-in-part, continuing prosecutions, divisionals, renewals, reissues, reexamined or extended Letters Patent of the United States, or of any and all foreign countries, on said Patent Rights, and execute confirmatory assignments or acknowledgments of this assignment as necessary for full enjoyment of the Patent Rights and for recording in foreign patent offices, and in enforcing any rights or choses in action accruing as a result of such Patent Rights, by giving testimony in any proceedings or transactions involving such Patent Rights, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties;

UPON SAID CONSIDERATION, Assignor represents and warrants that Assignor has the right, title, and authority to execute this Assignment and to convey any and all right, title, and interest in the Patent Rights, and that Assignor has not conveyed nor will convey hereafter all or part of the Patent Rights to a third party; and

ASSIGNOR HEREBY AUTHORIZES said Assignee, its successors, and assigns, or anyone it may properly designate, to apply for Letters Patent in the U.S. and any and all foreign countries and regions, in its own name if desired, and additionally to claim priority to the filing date of the Utility Application and Foreign Applications and otherwise take advantage of the provisions of any international conventions.

IN WITNESS WHEREOF, the Assignor hereunto has executed this Assignment upon the date indicated below.

STATE OF CALIFORNIA

STATE OF CALIFORNIA

On this 30 day of 100 volume o

I hereby attest under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Notary Public

My commission expires: July 77, 2013

OFFICIAL SEAL
HELEN SUTHERLAND
NOTARY PUBLIC - CALIFORNA
Commission #1859341
County of San Mateo
My Commission expires July 27, 2013

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the date indicated below.
Date: 34 MARCH 2014 By: Edward Libu
STATE OF CALIFORNIA ) ss.  COUNTY OF
On thisday of, 2010, before me a Notary Public in and for said county, personally appeared, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument, and acknowledged that he signed sealed and delivered the same instrument as a free and voluntary act for the purposes and considerations therein expressed.
I hereby attest under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
(SEAL)
Notary Public
My commission expires:

IN WITNESS WHEREOF, the Assignor here the date indicated below.	unto has executed this Assignment apon
Date: 4/01/10 By:	Jonathan Carver
STATE OF CALIFORNIA ) ss.	
said county, personally appeared satisfactory evidence to be the person whose name acknowledged to me that he executed the same in signature on the instrument the person, or the extity executed the instrument, and acknowledged that he instrument as a free and voluntary act for the purpose.	upon behalf of which the person acted, a signed)sealed and delivered the same less and considerations therein expressed.
I hereby attest under PENALTY OF PERJU that the foregoing paragraph is true and correct.	RY under the laws of the State of California
WITNESS my hand and official seal.	
(SEAL)	
	Notary Public
My commission expires:	

the date	e indicated below.	By: Joshua Druker
STATE	OF CALIFORNIA ) ss.	
said co satisfad acknov signatu	cunty, personally appeared ctory evidence to be the person whose name whedged to me that he executed the same ure on the instrument the person, or the extent the instrument, and acknowledged the ment as a free and voluntary act for the property of the person and the person are the person as a free and voluntary act for the person are	, 2010, before me a Notary Public in and for, who proved to me on the basis of ame is subscribed to the within instrument and in his authorized capacity, and that by his entity upon behalf of which the person acted, at he signed sealed and delivered the same aurposes and considerations therein expressed.
that the	I hereby attest under PENALTY OF PEI be foregoing paragraph is true and correct	RJURY under the laws of the State of California
	WITNESS my hand and official seal.	
	(SEAL)	
		Notary Public
	My commission expires:	

IN WITNESS WHEREOF, the Assignor hereunto has executed this Assignment upon the date indicated below.
Date: 3.30.10  By: Martin Leugers
STATE OF CALIFORNIA ) ss.
On thisday of, 2010, before me a Notary Public in and for said county, personally appeared, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the antity upon behalf of which the person acted, executed the instrument, and acknowledged that he signed, shalled and delivered the same instrument as a free and voluntary act for the purposes and considerations therein expressed.  I hereby attest under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seal.  (S E A L)
Notary Public
My commission expires:

IN WITNESS WHEREOF, the Assignor hereunto has executed this Assignment upon the date indicated below.
Date: 03.30.10  By: Kurin Tu
STATE OF CALIFORNIA ) ss.
On this day of, 2010, before me a Notary Public in and for said county, personally appeared, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument, and acknowledged that he signed, seed and delivered the same instrument as a free and voluntary act for the purposes and considerations therein expressed.
I hereby attest under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
(SEAL)
Notary Public
My commission expires:

the date indicated below.	s executed this Assignment apon
Date: 7/19/2010 By: Peter L	ombrozo /
STATE OF CALIFORNIA ) ) ss.	
COUNTY OF	
On this	pehalf of which the person acted, i, sealed and delivered the same disconsiderations therein expressed.
that the foregoing paragraph is true and correct.	
WITNESS my hand and official seal.	See attached
(SEAL)	\
My commission expires:	Public

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT			
<del>3000 11 - 3</del>	heugers and Kurin Tu.		
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ie/are subscribed to the within instrument and acknowledged to me that he/ehe/they executed the same in hie/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seal.  Signature  OPTIONAL  Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.			
Description of Attached Document  Title or Type of Document:			
Document Date:			
Signer(s) Other Than Named Above:			
Capacity(ies) Claimed by Signer(s)			
Signer's Name:   Individual   Corporate Officer — Title(s):   Partner — Limited   General   Attorney in Fact   OF SIGNER   Top of thumb here   Guardian or Conservator   Other:   Signer Is Representing:	Signer's Name:   Individual   Corporate Officer — Title(s):   Partner — Limited   General   Attorney in Fact   Trustee   Guardian or Conservator   Other:  Signer is Representing:		

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CALIFORNIA ALL-PURPOSE ACK	ALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT		
State of California  County of Santa Clara  On 41110 before me, Kri  Dersonally appeared Jonathan	Here Insert Name and Title of the Officery  Carver  Name(s) of Signer(s)	Public	
Though the information below is not required by law, is and could prevent fraudulent removal and re  Description of Attached Document	eattachment of this form to another good.no.ii.	bscribed to the to me that their authorized nature(e) on the upon behalf of instrument.  under the laws ng paragraph is	
Title or Type of Document:			
Document Date:	Number of Pages;		
Capacity(ies) Claimed by Signer(s)			
Signer's Name:   Individual   Corporate Officer — Title(s):   Partner — Limited   General   Attorney in Fact   Trustee   Guardian or Conservator   Other:   Signer Is Representing:	☐ Individual ☐ Corporate Officer — Title(s): ☐ Partner — ☐ Limited ☐ General ☐ Attorney in Fact	RIGHT THUMBPRINT OF SIGNER Top of thumb here	

# **ACKNOWLEDGMENT** State of California County of Santer before me, personally appeared who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(a), or the entity upon behalf of which the person(a) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. KRYS KELLEY WITNESS my hand and official seal. Commission # 1848665 Notary Public - California Santa Clara County Comm. Expires Jun 7, 2013 (Seal) Signature

## **ACCEPTANCE OF ASSIGNMENT**

The Assignee hereby acknowledges and accepts the foregoing assignment of rights by Assignor.

IN TESTIMONY WHEREOF, the Assignee, by its undersigned officer, confirms its acceptance on the date and in the place set forth below.

Date:, 2010	SPECK-PRODUCT DESIGN, INC.  By: Mulls  Name: Peter Mills  Title: Vice President
STATE OF CALIFORNIA ) ss.	
On this	
I hereby attest under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.	
WITNESS my hand and official seal.	See attached
(SEAL)	
My commission expires:	Notary Public

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# **ACKNOWLEDGMENT** State of California, County of Santa Clara before me, <u>Kn</u> personally appeared who proved to me on the basis of satisfactory evidence to be the person(e) whose name(e) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(e) on the instrument the person(e), or the entity upon behalf of which the person(e) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. KRYS KELLEY WITNESS my hand and official seal. **Commission # 1848665** Notary Public - California Santa Clara County My Comm. Expires Jun 7, 2013 (Seal) Signature \_

PATENT REEL: 029043 FRAME: 0988

**RECORDED: 09/28/2012**