



09/20/2012

Form PTO-1595 (Rev. 03-11)  
OMB No. 0651-0027 (exp. 04/30/2015)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office103649604  
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies):

Zui Ri (09/10/2012) and Tatsuo Koizumi  
(09/10/2012)Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

- ☒ Assignment ☐ Merger ☐ Change of Name  
☐ Security Agreement ☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies)

Name: SUMITOMO HEAVY INDUSTRIES, LTD.

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

1-1 Osaki 2-chome  
Shinagawa-ku  
Tokyo

City: \_\_\_\_\_

State: \_\_\_\_\_

Country: Japan Zip: 141-6025

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

A. Patent Application No.(s)  
13/618,489☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: RADER, FISHMAN &amp; GRAUER PLLC

Internal Address: Atty. Dkt.: PRI-0026

Street Address: 1233 20th Street, N.W.  
Suite 501

City: Washington

State: DC Zip: 20036

Phone Number: (202) 955-3750

Fax Number: (202) 955-3751

Email Address: dcpatentmail@raderfishman.com

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$ 40.00

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information

Deposit Account Number 18-0013

Authorized User Name Brian K. Dutton

## 9. Signature:

Signature

Brian K. Dutton - 47,255

Name of Person Signing

September 17, 2012

Date

09/20/2012 KNGUYEN1 00000009 100013  
Total number of pages including cover  
sheet, attachments, and documents: 3

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## ASSIGNMENT

WHEREAS, we, as a below named inventor, residing at the address stated next to our name, are a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

### COOLING SYSTEM AND COOLING METHOD

for which application for Letters Patent of the United States of America was executed by us on the date indicated next to our name and address;

AND WHEREAS, SUMITOMO HEAVY INDUSTRIES, LTD., a Japanese corporation with offices at 1-1 Osaki 2-chome, Shinagawa-ku, Tokyo 141-6025, Japan, (hereinafter referenced as ASSIGNEES) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of Ten Dollar (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and we hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEES, as the assignee of the whole right, title and interest thereto;

And we further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or its designee, as ASSIGNEES or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And we further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for our aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And we further agree that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And we hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And we hereby authorize and request our attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow:

Serial Number: 13/618,489 , Filing Date: 09/14/2012

This assignment executed on the dates indicated below.

<u>Zui RI</u>	<u>Zui Ri</u>	<u>Sep. 10, 2012</u>
	Signature	Date
<u>Tatsuo KOIZUMI</u>	<u>Tatsuo Koizumi</u>	<u>Sep. 10, 2012</u>
	Signature	Date