

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
CONVEYING PARTY DATA															
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Yadong Huang</td> <td>09/05/2012</td> </tr> <tr> <td>Qin Xu</td> <td>09/05/2012</td> </tr> <tr> <td>Thu Nga Bien-Ly</td> <td>09/04/2012</td> </tr> <tr> <td>Karl H. Weisgraber</td> <td>09/15/2012</td> </tr> <tr> <td>Ligong Chen</td> <td>09/11/2012</td> </tr> <tr> <td>Clare Peters-Libeu</td> <td>09/14/2012</td> </tr> </tbody> </table>		Name	Execution Date	Yadong Huang	09/05/2012	Qin Xu	09/05/2012	Thu Nga Bien-Ly	09/04/2012	Karl H. Weisgraber	09/15/2012	Ligong Chen	09/11/2012	Clare Peters-Libeu	09/14/2012
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<table border="1"> <tr> <td>Name:</td> <td>The J. David Gladstone Institutes</td> </tr> <tr> <td>Street Address:</td> <td>1650 Owens Street</td> </tr> <tr> <td>City:</td> <td>San Francisco</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>94158</td> </tr> </table>		Name:	The J. David Gladstone Institutes	Street Address:	1650 Owens Street	City:	San Francisco	State/Country:	CALIFORNIA	Postal Code:	94158				
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CORRESPONDENCE DATA															
Fax Number: 6503273231 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: 6503273400 Email: hall@bozpat.com Correspondent Name: Paula A. Borden, Ph.D. Address Line 1: 1900 University Avenue Address Line 2: Suite 200 Address Line 4: East Palo Alto, CALIFORNIA 94303															
ATTORNEY DOCKET NUMBER:	GLAD-386														

OP \$40.00 13581785

NAME OF SUBMITTER:

Paula A. Borden, Ph.D.

Total Attachments: 5

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ASSIGNMENT OF APPLICATION (JOINT)

Atty Docket No. GLAD-386

THIS ASSIGNMENT, by Yadong Huang, Qin Xu, Thu Nga Bien-Ly, Karl H. Weisgraber, Ligong Chen, Clare Peters-Libeu (hereinafter referred to as the assignors), residing in San Francisco, California, Albany, California, Daly City, California, Walnut Creek, California, Fremont, California and Sebastopol, California respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in:

"Antibody Specific for Apolipoprotein and Methods of Use Thereof"

X filed on February 25, 2011 as U.S. Application Serial No. PCT/US2011/026296 designating the United States.
for which an application for a United States Patent was executed on ____, and

WHEREAS, The J. David Gladstone Institutes, a testamentary trust established under the Will of J. David Gladstone, having its principal place of business at 1650 Owens Street, San Francisco, CA 94158 (hereinafter referred to as the assignee) is desirous of acquiring their right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, all of their right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

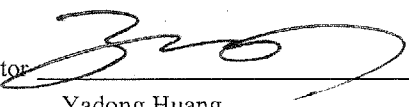
AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

Date Sept. 5, 2012

Name of Inventor


Yadong Huang

Date Sept. 5, 2012

Name of Inventor

Qin Xu
Qin Xu

Date _____

Name of Inventor

Thu Nga Bien-Ly

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WHEREAS, the said assignors have invented certain new and useful improvements in:

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NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, all of their right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

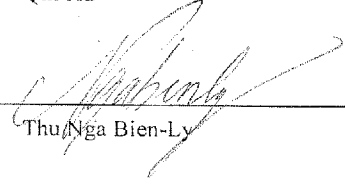
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AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

Date _____ Name of Inventor _____
Yadong Huang

Date _____ Name of Inventor _____
Qin Xu

Date Sept 04, 2012 Name of Inventor 
Thu Nga Bien-Ly

Date 9/15/2012

Name of Inventor Karl H. Weisgraber
Karl H. Weisgraber

Date _____

Name of Inventor _____
Ligong Chen

Date _____

Name of Inventor _____
Clare Peters-Libeu

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Atty Docket No. GLAD-386

Hereby accepted on behalf of the assignee

Signature _____

Date _____

Name (print) _____

Title and Company _____

Page 2 of 2

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Date _____

Name of Inventor _____

Karl H. Weisgraber

Date 09/11/12

Name of Inventor 

Ligong Chen

Date _____

Name of Inventor _____

Clare Peters-Libeu

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Date _____

Name of Inventor _____

Karl H. Weisgraber

Date _____

Name of Inventor _____

Ligong Chen

Date 9/14/2012

Name of Inventor Clare Peters-Libeu

Clare Peters-Libeu

ASSIGNMENT OF APPLICATION (JOINT)

Atty Docket No. GLAD-386

Hereby accepted on behalf of the assignee

Joan Bruland
Signature

Sept. 24, 2012

Joan Bruland, JD

Date

Name (print)

VP for Intellectual Property and Legal Affairs, J. David Gladstone Institutes

Title and Company

Page 2 of 2

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