

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Dustin A Heldman</td> <td>10/02/2012</td> </tr> <tr> <td>Joseph P Giuffrida</td> <td>10/02/2012</td> </tr> </tbody> </table>		Name	Execution Date	Dustin A Heldman	10/02/2012	Joseph P Giuffrida	10/02/2012				
Name	Execution Date										
Dustin A Heldman	10/02/2012										
Joseph P Giuffrida	10/02/2012										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>Great Lakes NeuroTechnologies Inc.</td> </tr> <tr> <td>Street Address:</td> <td>10055 Sweet Valley Drive</td> </tr> <tr> <td>City:</td> <td>Valley View</td> </tr> <tr> <td>State/Country:</td> <td>OHIO</td> </tr> <tr> <td>Postal Code:</td> <td>44125</td> </tr> </table>		Name:	Great Lakes NeuroTechnologies Inc.	Street Address:	10055 Sweet Valley Drive	City:	Valley View	State/Country:	OHIO	Postal Code:	44125
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PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13633358</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13633358						
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CORRESPONDENCE DATA											
<p>Fax Number: 2166490397</p> <p><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 216-361-5410</p> <p>Email: bkolkowski@glneurotech.com</p> <p>Correspondent Name: Great Lakes NeuroTechnologies Inc.</p> <p>Address Line 1: 10055 Sweet Valley Dr.</p> <p>Address Line 4: Valley View, OHIO 44125</p>											
ATTORNEY DOCKET NUMBER:	GLN-010										
NAME OF SUBMITTER:	Mark Pennington										
<p>Total Attachments: 4</p> <p>source=GLN-010_2012-10-02_assignment-signed#page1.tif</p> <p>source=GLN-010_2012-10-02_assignment-signed#page2.tif</p> <p>source=GLN-010_2012-10-02_assignment-signed#page3.tif</p> <p>source=GLN-010_2012-10-02_assignment-signed#page4.tif</p>											

OP \$40.00 13633358

PATENT

RECORDATION FORM COVER SHEET
PATENTS ONLY

GLN-010

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Dustin A. Heldman
Joseph P. Giuffrida

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 2012-10-02

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Great Lakes NeuroTechnologies Inc.

Internal Address: _____

Street Address: 10055 Sweet Valley Drive

City: Valley View

State: OH

Country: US Zip: 44125

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

13/633,358

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Brian M. Kolkowski

Internal Address: _____

Street Address: 4415 Euclid Ave., Suite 500

City: Cleveland

State: OH Zip: 44103

Phone Number: (216) 649-0376

Fax Number: (216) 649-0397

Email Address: bkolkowski@glneurotech.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Paid via credit card through EPAS
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:



Signature

2012-10-02

Date

Brian M. Kolkowski

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Docket No.
GLN-010

[*] United States of America rights, title and interest in the invention

2 Foreign rights, title and interest in the invention

☐ *United States Patent Application Serial No.*

Date of Execution:

Date of Filing:

☐ *United States Provisional Patent Application Serial No.*☐ *United States Patent No(s).*☐ *International (PCT) Patent Application Serial No.*☐ *Other (specify)* _____

Wearable, unsupervised transcranial direct current stimulation (tDCS) device for movement disorder therapy, and metl

[illegible]

<i>Name</i>	<i>Address</i>
Great Lakes NeuroTechnologies Inc.	10055 Sweet Valley Drive Valley View, OH 44125

Docket No.
GLN-010

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

[illegible]

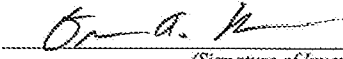
Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
GLN-010

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 2nd day of October, in the year 2012
at


(Signature of Inventor)

State of OH

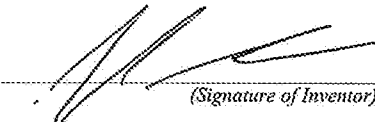
County of Cuyahoga

Before me personally appeared Dustin A. Heldman
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 2nd day of October, in the year 2012

BRIAN M. KOLKOWSKI, ATTORNEY
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION HAS NO EXPIRATION DATE


(Notary Public)

Executed this 2nd day of October, in the year 2012
at

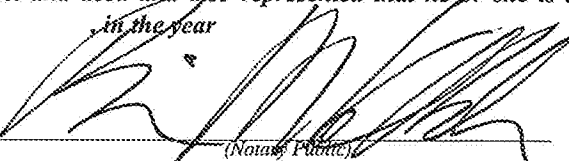

(Signature of Inventor)

State of OH

County of Cuyahoga

Before me personally appeared Joseph P. Giuffruda
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 2nd day of October, in the year 2012

BRIAN M. KOLKOWSKI, ATTORNEY
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION HAS NO EXPIRATION DATE


(Notary Public)

Executed this _____ day of _____, in the year _____
at

(Signature of Inventor)

State of OH

County of Cuyahoga

Before me personally appeared _____
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this _____ day of _____, in the year _____

(Notary Public)