

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MSOMS LTD.	07/10/2006
RECEIVING PARTY DATA	
Name:	ALTEX-ATS LTD
Street Address:	2 BROADGATE
Internal Address:	C/O ICAP
City:	LONDON
State/Country:	UNITED KINGDOM
Postal Code:	EC2M 7UR
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13171739
CORRESPONDENCE DATA	
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<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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ATTORNEY DOCKET NUMBER:	E3331.0723
NAME OF SUBMITTER:	Richard LaCava
Total Attachments: 4 source=E33310723#page1.tif source=E33310723#page2.tif source=E33310723#page3.tif source=E33310723#page4.tif	

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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

MSOMS LTD.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): JULY 10, 2006

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: ALTEX-ATS LTD

Internal Address: 2 BROADGATE

Street Address: C/O ICAP

City: LONDON

State: _____

Country: UNITED KINGDOM Zip: EC2M 7UR

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)
13/171,739

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Richard LaCava
DICKSTEIN SHAPIRO LLP

Internal Address: Atty. Dkt.: E3331.0723

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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

/Richard LaCava/

Signature

October 11, 2012

Date

Richard LaCava - 41,135

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

TO:MICHAEL J. SCHEER COMPANY:DICKSTEIN SHAPIRO LLP

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

* 500458695A *

FEBRUARY 08, 2008

PTAS

MICHAEL J. SCHEER
DICKSTEIN SHAPIRO LLP
1177 AVENUE OF THE AMERICAS
NEW YORK, NY 10036UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENTTHE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
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MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/07/2008

REEL/FRAME: 020480/0199

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BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: E3331.0694

ASSIGNOR:

MSOMS LTD.

DOC DATE: 07/10/2006

ASSIGNEE:

ALTEX-ATS LTD

2 BROADGATE

C/O ICAP

LONDON, UNITED KINGDOM EC2M 7UR

SERIAL NUMBER: 11442858

FILING DATE: 05/30/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: SYSTEM FOR MATCHING ORDERS FOR FUTURES CONTRACTS WHICH FACILITATE
ELECTRONIC TRADING OF OVER THE COUNTER FUTURES CONTRACTS

TO:MICHAEL J. SCHEER COMPANY:DICKSTEIN SHAPIRO LLP

020480/0199 PAGE 2

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ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

TO:MICHAEL J. SCHEER COMPANY:DICKSTEIN SHAPIRO LLP

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Electronic Version v1.1
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500458695

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
MSOMS Ltd.	07/10/2006
RECEIVING PARTY DATA	
Name:	ALTEX-ATS LTD
Street Address:	2 Broadgate
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State/Country:	UNITED KINGDOM
Postal Code:	EC2M 7UR
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	11442858
CORRESPONDENCE DATA	
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NAME OF SUBMITTER:	Michael J. Scheer
Total Attachments: 1 source=changeofname#page1.tif	

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