# PATENT ASSIGNMENT

# Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:		NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT	
CONVEYING PARTY	/ DATA	<u></u> [		
		Name	Execution Date	
Donald K. Jones			06/27/2012	
J. Landon Gilkey			06/27/2012	
Brett E. Naglreiter			07/24/2012	
RECEIVING PARTY	DATA			
Name:	Apollo Endos	urgery, Inc.		
Street Address:	7000 Bee Cav	ves Rd.		
Internal Address:	Suite 250			
City:	Austin			
State/Country:	TEXAS			
Postal Code: 78746				
PROPERTY NUMBE				
PROPERTY NUMBE	RS Total: 1	Numl	ber	
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PROPERTY NUMBE Property T Application Number: CORRESPONDENCI Fax Number: <i>Correspondence will</i>	RS Total: 1  Type  E DATA 2033231 be sent via US	13327988 803 Mail when the fax attempt is unsuccess		
PROPERTY NUMBE Property T Application Number: CORRESPONDENCI Fax Number: <i>Correspondence will</i> Phone:	RS Total: 1 Type E DATA 2033231 be sent via US (203) 32	13327988 803 <i>Mail when the fax attempt is unsuccess</i> 3-1800		
PROPERTY NUMBE Property T Application Number: CORRESPONDENCI Fax Number: <i>Correspondence will</i> Phone: Email:	RS Total: 1 Type E DATA 2033231 be sent via US (203) 32 pto@gor	13327988 803 <i>Mail when the fax attempt is unsuccess</i> 3-1800 donjacobson.com		
PROPERTY NUMBE Property T Application Number: CORRESPONDENCI Fax Number: <i>Correspondence will</i> Phone:	RS Total: 1 Type E DATA 2033231 be sent via US (203) 32 pto@gor e: Gordon	13327988 803 <i>Mail when the fax attempt is unsuccess</i> 3-1800		
PROPERTY NUMBE Property T Application Number: CORRESPONDENCI Fax Number: <i>Correspondence will</i> Phone: Email: Correspondent Name	RS Total: 1 Type E DATA 2033231 be sent via US (203) 32 pto@gor e: Gordon	13327988 803 <i>Mail when the fax attempt is unsuccess</i> 3-1800 donjacobson.com & Jacobson, P.C. Ridge Road		
PROPERTY NUMBE Property T Application Number: CORRESPONDENCI Fax Number: <i>Correspondence will</i> Phone: Email: Correspondent Name Address Line 1:	RS Total: 1 Type E DATA 2033231 be sent via US (203) 32 pto@gor e: Gordon 60 Long Suite 40	13327988 803 <i>Mail when the fax attempt is unsuccess</i> 3-1800 donjacobson.com & Jacobson, P.C. Ridge Road		
PROPERTY NUMBE Property T Application Number: CORRESPONDENCI Fax Number: <i>Correspondence will</i> Phone: Email: Correspondent Name Address Line 1: Address Line 2:	RS Total: 1 Type E DATA 2033231 be sent via US (203) 32 pto@gor e: Gordon 60 Long Suite 40 Stamford	13327988 803 <i>Mail when the fax attempt is unsuccess</i> 3-1800 donjacobson.com & Jacobson, P.C. Ridge Road 7		
PROPERTY NUMBE Property T Application Number: CORRESPONDENCI Fax Number: <i>Correspondence will</i> Phone: Email: Correspondent Name Address Line 1: Address Line 2: Address Line 4:	RS Total: 1 Type E DATA 2033231 be sent via US (203) 32 pto@gor e: Gordon 4 60 Long Suite 40 Stamford T NUMBER:	13327988 803 <i>Mail when the fax attempt is unsuccess</i> 3-1800 donjacobson.com & Jacobson, P.C. Ridge Road 7 d, CONNECTICUT 06902		

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#### ASSIGNMENT

WHEREAS, I, Donald K. Jones, hereinafter referred to as the "Inventor", a citizen of the US whose post office address is 705 N. Canyonwood Drive, Dripping Springs, TX 78620, have invented, along with co-inventors Vladimir Mitelberg, J. Landon Gilkey and Brett E. Naglreiter, certain new and useful improvements in

### ENDOSCOPIC SUTURING SYSTEM WITH RETAINED END CAP

as described and set forth in an application for Letters Patent of the United States of America, filed on the 16th day of December 2011 as serial number 13/327,988

AND WHEREAS, Apollo Endosurgery, Inc., hereinafter referred to as the "said COMPANY", a company existing under the laws of Delaware and having a place of business at 7000 Bee Caves Rd., Suite 250, Austin, TX 78746, is desirous of acquiring or confirming its acquisition of the entire right, title and interest in and to said invention, inventions or improvements, and in and to said application, and in and to any and all patents, both of the United States and of all foreign countries, that may be obtained therefor;

NOW, THEREFORE TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the Inventor, for good and valuable considerations, the receipt and sufficiency of which I hereby acknowledge, have sold, assigned, transferred and conveyed, and by this assignment do sell, assign, transfer and convey, unto said COMPANY, its successors and assigns, the entire right, title and interest throughout the world, in and to my invention, inventions or improvements described or set forth in said application, in any form or embodiment thereof, and in and to said application, and in and to any applications filed in any foreign country based thereon, including the right to file foreign applications under the provisions of any convention or treaty; and in and to any confirmation, divisional, continuation, continuation-in-part, or substitute application or any other application which claims the benefit of said application which may be filed on said invention, inventions or improvements in the United States or in any foreign country; and in and to any and all patents, certificates, utility models, reissues, extensions, additions or confirmations thereof which may be granted in the United States or in any foreign country upon said invention, inventions or improvements, to have and to hold the same to the full end of the term or terms for which any and all such United States and foreign patents and grants may be issued on said invention, inventions or improvements.

AND I do hereby authorize and request the issuing authority to issue any and all of said United States and foreign patents on said application or applications to said COMPANY, its successors and assigns, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said COMPANY, it successors and assigns.

AND I do hereby covenant and warrant that I have full right to convey the entire right, title and interest herein assigned free and clear of all licenses, encumbrances and liens whatsoever, and that I have not executed and will not execute any instruments in conflict herewith.

AND I, for the conditions aforesaid, do hereby covenant and agree to and with the said COMPANY, its successors and assigns, that I, my executors, administrators, or other personal representatives, shall and will do all lawful acts and things, make all rightful oaths, and make, execute, and deliver any and all other instruments in writing, and any and all further applications, papers, powers, affidavits, assignments, disclaimers and other documents, which in the opinion of counsel for said COMPANY, its successors and assigns, may be required or necessary in this or in any foreign country more effectually to secure to and vest in said COMPANY, its successors and assigns, the entire right, title and interest in and to said invention, inventions, or improvements, application or applications, patents, rights, titles, benefits, privileges and advantages hereby sold, assigned, confirmed, transferred and conveyed.

The undersigned hereby grant(s) David P. Gordon, Esq., David S. Jacobson, Esq., and Jay P. Sbrollini, Esq. the power to insert on this Assignment any further identification which may be necessary in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document and to correct any errors or defects herein.

IN WITNESS WHEREOF, I, Donald K. Jones, have hereunto set my hand and seal this day of  $\sqrt{4\pi e}$ , 20/2.

Jonald K. Jones

County of TRAVIS	
State of TEXAS	ss:

BE IT KNOWN, that on this 27 day of <u>JUNE</u>, 2012, personally appeared **Donald** K. Jones , to me known and known to me to be the individual described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same.

SHARON R. DSOUZA MOTARY PUBLIC STATE OF TEXAS 02-23-2015

Notary Public

SEAL

My commission expires: D2-23-2015

#### ASSIGNMENT

WHEREAS, I, J. Landon Gilkey, hereinafter referred to as the "Inventor", a citizen of the US whose post office address is 5608 Apple Orchard Lane, Austin, TX 78744, have invented, along with coinventors Vladimir Mitelberg, Brett E. Naglreiter and Donald K. Jones, certain new and useful improvements in

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NOW, THEREFORE TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the Inventor, for good and valuable considerations, the receipt and sufficiency of which I hereby acknowledge, have sold, assigned, transferred and conveyed, and by this assignment do sell, assign, transfer and convey, unto said COMPANY, its successors and assigns, the entire right, title and interest throughout the world, in and to my invention, inventions or improvements described or set forth in said application, in any form or embodiment thereof, and in and to said application, and in and to any applications filed in any foreign country based thereon, including the right to file foreign applications under the provisions of any convention or treaty; and in and to any confirmation, divisional, continuation, continuation-in-part, or substitute application or any other application which claims the benefit of said application which may be filed on said invention, inventions or improvements in the United States or in any foreign country; and in and to any and all patents, certificates, utility models, reissues, extensions, additions or confirmations thereof which may be granted in the United States or in any foreign country upon said invention, inventions or improvements, to have and to hold the same to the full end of the term or terms for which any and all such United States and foreign patents and grants may be issued on said invention, inventions or improvements.

AND I do hereby authorize and request the issuing authority to issue any and all of said United States and foreign patents on said application or applications to said COMPANY, its successors and assigns, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said COMPANY, it successors and assigns.

AND I do hereby covenant and warrant that I have full right to convey the entire right, title and interest herein assigned free and clear of all licenses, encumbrances and liens whatsoever, and that I have not executed and will not execute any instruments in conflict herewith.

AND I, for the conditions aforesaid, do hereby covenant and agree to and with the said COMPANY, its successors and assigns, that I, my executors, administrators, or other personal representatives, shall and will do all lawful acts and things, make all rightful oaths, and make, execute, and deliver any and all other instruments in writing, and any and all further applications, papers, powers, affidavits, assignments, disclaimers and other documents, which in the opinion of counsel for said COMPANY, its successors and assigns, may be required or necessary in this or in any foreign country more effectually to secure to and vest in said COMPANY, its successors and assigns, the entire right, title and interest in and to said invention, inventions, or improvements, application or applications, patents,

rights, titles, benefits, privileges and advantages hereby sold, assigned, confirmed, transferred and conveyed.

The undersigned hereby grant(s) David P. Gordon, Esq., David S. Jacobson, Esq., and Jay P. Sbrollini, Esq. the power to insert on this Assignment any further identification which may be necessary in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document and to correct any errors or defects herein.

IN WITNESS WHEREOF, I, J. Landon Gilkey, have hereunto set my hand and seal this  $22^{-1}$  day of  $30^{-2}$ ,  $20^{-1}$ .

J. Landon/Gilkey

County of TRavis	)	
State of <u>TEXAS</u>	)	SS;

BE IT KNOWN, that on this  $27^{49}$  day of 5000, 2012, personally appeared J. Landon Gilkey, to me known and known to me to be the individual described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same.

NOELLE E. CORCORAN Notary Public, State of Texas My Commission Expires August 01, 2015

Apple E. Corora

Notary Public

SEAL

My commission expires:

### ASSIGNMENT

WHEREAS, I, Brett E. Naglreiter, hereinafter referred to as the "Inventor", a citizen of the US whose post office address is 712 South 15<sup>th</sup> Avenue, Hollywood, FL 33020, have invented, along with coinventors Vladimir Mitelberg, J. Landon Gilkey and Donald K. Jones, certain new and useful improvements in

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NOW, THEREFORE TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the Inventor, for good and valuable considerations, the receipt and sufficiency of which I hereby acknowledge, have sold, assigned, transferred and conveyed, and by this assignment do sell, assign, transfer and convey, unto said COMPANY, its successors and assigns, the entire right, title and interest throughout the world, in and to my invention, inventions or improvements described or set forth in said application, in any form or embodiment thereof, and in and to said application, and in and to any applications filed in any foreign country based thereon, including the right to file foreign applications under the provisions of any convention or treaty; and in and to any confirmation, divisional, continuation, continuation-in-part, or substitute application or any other application which claims the benefit of said application which may be filed on said invention, inventions or improvements in the United States or in any foreign country; and in and to any and all patents, certificates, utility models, reissues, extensions, additions or confirmations thereof which may be granted in the United States or in any foreign country upon said invention, inventions or improvements, to have and to hold the same to the full end of the term or terms for which any and all such United States and foreign patents and grants may be issued on said invention, inventions or improvements.

AND I do hereby authorize and request the issuing authority to issue any and all of said United States and foreign patents on said application or applications to said COMPANY, its successors and assigns, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said COMPANY, it successors and assigns.

AND I do hereby covenant and warrant that I have full right to convey the entire right, title and interest herein assigned free and clear of all licenses, encumbrances and liens whatsoever, and that I have not executed and will not execute any instruments in conflict herewith.

AND I, for the conditions aforesaid, do hereby covenant and agree to and with the said COMPANY, its successors and assigns, that I, my executors, administrators, or other personal representatives, shall and will do all lawful acts and things, make all rightful oaths, and make, execute, and deliver any and all other instruments in writing, and any and all further applications, papers, powers, affidavits, assignments, disclaimers and other documents, which in the opinion of counsel for said COMPANY, its successors and assigns, may be required or necessary in this or in any foreign country more effectually to secure to and vest in said COMPANY, its successors and assigns, the entire right, title and interest in and to said invention, inventions, or improvements, application or applications, patents,

rights, titles, benefits, privileges and advantages hereby sold, assigned, confirmed, transferred and conveyed.

The undersigned hereby grant(s) David P. Gordon, Esq., David S. Jacobson, Esq., and Jay P. Sbrollini, Esq. the power to insert on this Assignment any further identification which may be necessary in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document and to correct any errors or defects herein.

IN WITNESS WHEREOF, I, Brett E. Naglreiter, have hereunto set my hand and seal this  $\underline{24}^{TH}$  day of  $\underline{July}_{2012}$ .

Brett E, N County c ) ss: State of

BE IT KNOWN, that on this 24 day of 324, personally appeared Brett E. Naglreiter, to me known and known to me to be the individual described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same.

JAMES J. MARTIN Comm# DD0880289 Expires 4/14/2013 Fiorida Notary Assn., Inc \*

Notary Public

SEAL

My commission expires: