

## PATENT ASSIGNMENT

Electronic Version v1.1  
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Enno Ubben</td> <td>10/04/2012</td> </tr> <tr> <td>Joerg Middendorf</td> <td>10/04/2012</td> </tr> <tr> <td>Friedrich Loh</td> <td>10/04/2012</td> </tr> </tbody> </table>		Name	Execution Date	Enno Ubben	10/04/2012	Joerg Middendorf	10/04/2012	Friedrich Loh	10/04/2012		
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Friedrich Loh	10/04/2012										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>GE Wind Energy GmbH</td> </tr> <tr> <td>Street Address:</td> <td>Holsterfeld 16</td> </tr> <tr> <td>City:</td> <td>Salzbergen</td> </tr> <tr> <td>State/Country:</td> <td>GERMANY</td> </tr> <tr> <td>Postal Code:</td> <td>48499</td> </tr> </table>		Name:	GE Wind Energy GmbH	Street Address:	Holsterfeld 16	City:	Salzbergen	State/Country:	GERMANY	Postal Code:	48499
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PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13651648</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13651648						
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CORRESPONDENCE DATA											
Fax Number: 5183853821 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: 518-385-2725 Email: SHANNON.CANNIZZARO@GE.COM Correspondent Name: GENERAL ELECTRIC COMPANY Address Line 1: ONE RIVER ROAD, BLD. 43, ROOM 225 Address Line 4: SCHENECTADY, NEW YORK 12345											
ATTORNEY DOCKET NUMBER:	261696										
NAME OF SUBMITTER:	David A. Cornett										
	This document serves as an Oath/Declaration (37 CFR 1.63).										
Total Attachments: 5											

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COMBINED DECLARATION AND GLOBAL ASSIGNMENT

**FOR UTILITY OR DESIGN PATENT APPLICATION**

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

**SYSTEM AND METHOD OF SELECTING WIND TURBINE GENERATORS IN A WIND PARK  
FOR CURTAILMENT OF OUTPUT POWER TO PROVIDE A WIND RESERVE**

- ☒ the specification of which is attached hereto OR
- ☐ United States application or PCT international application number \_\_\_\_\_, as  
\_\_\_\_\_ filed on \_\_\_\_\_, as  
amended on [date] (if applicable).  
(We hereby authorize and request the Company or its delegated attorneys or agents to  
insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

Enno Ubben

of

Steinfurt, North Rhine-Westphalia, Germany

*(city, state or country)*

Joerg Middendorf

of

Holdorf, Niedersachsen, Germany

*(city, state or country)*

Friedrich Loh

of

Schuetdorf, Germany

*(city, state or country)*

hereby sell and assign to:

Name of Company: <i>GE Wind Energy GmbH</i>
Entity Type (optional): <i>Salzbergen Corporation</i>
Address: <i>Holsterfeld 16, 48499 Salzbergen, Germany</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made and conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

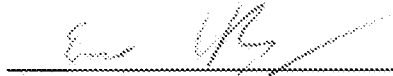
and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers, execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all counties including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.


GE Docket No. 261696

"SYSTEM AND METHOD OF SELECTING WIND TURBINE GENERATORS IN A WIND PARK FOR CURTAILMENT OF OUTPUT POWER TO PROVIDE A WIND RESERVE"

INVENTOR 1

Signature:   
Enno Ubben

Date: 4 Oct. 2012

Witnessed by:   
Signature

Date: Oct 4, 2012

Stephen P. Green  
Printed Name of Witness

Witnessed by:   
Signature

Date: Oct 4, 2012

Scott A. Garrison  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Enno Ubben

Date: \_\_\_\_\_

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named Enno Ubben, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal


\_\_\_\_\_

(Notary Public)

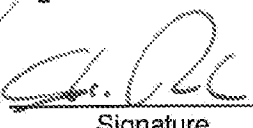
GE Docket No. 261696

"SYSTEM AND METHOD OF SELECTING WIND TURBINE GENERATORS IN A WIND PARK FOR CURTAILMENT OF OUTPUT POWER TO PROVIDE A WIND RESERVE"

INVENTOR 2

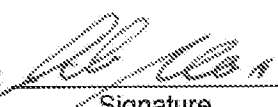
Signature:   
Joerg Middendorf

Date: 4. Oct. 2012

Witnessed by:   
Signature

Date: Oct. 4, 2012

STEFAN QUEKEN  
Printed Name of Witness

Witnessed by:   
Signature

Date: Oct. 4, 2012

STEFAN QUEKEN  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Joerg Middendorf

Date: \_\_\_\_\_

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named Joerg Middendorf, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 261696

"SYSTEM AND METHOD OF SELECTING WIND TURBINE GENERATORS IN A WIND PARK FOR CURTAILMENT OF OUTPUT POWER TO PROVIDE A WIND RESERVE"

INVENTOR 3

Signature: Friedrich Loh  
Friedrich Loh

Date: 4 Oct. 2012

Witnessed by: Stefan Rieher  
Signature

Date: Oct. 4, 2012

STEFAN RIEHER  
Printed Name of Witness

Witnessed by: Schulze-Wesendahl  
Signature

Date: Oct. 4, 2012

Schulze-Wesendahl  
Printed Name of Witness

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\_\_\_\_\_  
Friedrich Loh

Date: \_\_\_\_\_

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named **Friedrich Loh**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)