502099632 10/18/2012

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE: | NEW ASSIGNMENT |
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| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|------------------|----------------|
| Mark G. Frattini | 10/17/2012 |
| Hakim Djaballah | 10/11/2012 |
| Thomas J. Kelly | 10/17/2012 |

RECEIVING PARTY DATA

| Name: | Sloan-Kettering Institute for Cancer Research |
|-----------------|-----------------------------------------------|
| Street Address: | 1275 York Avenue |
| City: | New York |
| State/Country: | NEW YORK |
| Postal Code: | 10065 |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 13583170 |

CORRESPONDENCE DATA

Fax Number: 6176468646

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 617-646-8000

Email: patents_HunterB@wolfgreenfield.com

Correspondent Name: C. Hunter Baker

Address Line 1: Wolf, Greenfield & Sacks, P.C.

Address Line 2: 600 Atlantic Avenue

Address Line 4: Boston, MASSACHUSETTS 02210

| ATTORNEY DOCKET NUMBER: | S1715.70006US01 |
|-------------------------|------------------------------|
| NAME OF SUBMITTER: | C. Hunter Baker, M.D., Ph.D. |

Total Attachments: 12

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PATENT REEL: 029153 FRAME: 0950 P \$40.00 13583170

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PATENT REEL: 029153 FRAME: 0951

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned Assignor, hereby:

- 1. Sell, assign, and transfer to Sloan-Kettering Institute for Cancer Research, having a place of business at 1275 York Avenue; New York, New York 10065, its successors, assigns, and legal representatives, all hereinafter referred to as the Assignee, my entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the international PCT application filed on March 8, 2011 under Serial No. PCT/US2011/027619, bearing Attorney Docket No. S1715.70006WO00, and entitled CDC7 KINASE INHIBITORS AND USES THEREOF, and in the U.S. national stage patent application filed with the United States Patent and Trademark Office under U.S. Serial No. 13/583,170, bearing Attorney Docket No. S1715.70006US01, and entitled CDC7 KINASE INHIBITORS AND USES THEREOF, and in and to the application, and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent applications, utility models, industrial designs, or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including, but not limited to, China, Japan, and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan, and Korea, as well as the right to sue in its own name and recover damages for past infringement of any United States Letters Patent and foreign patent;
- 2. Agree that the Assignee may apply for and receive patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model, and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney, and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
- 3. Request the Director of the United States Patent and Trademark Office and non-US patent authorities to issue the patent to the Assignee;
- 4. Covenant with the Assignee that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
- 5. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

Page 1 of 4

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| COLINITY | EW York: | · L. |
| On this // personally appeared | day of <u>Ochber</u> , Mark G. Frattini | വുറ്റം, before me, the undersigned notary public, , proved to me through satisfactory evidence o |
| identification, which were | Drivers / | LIZENSUS - NT |
| to me that the contents o | ned the preceding or attache of the document are truthful a | ed document in my presence and swore or affirmed and accurate to the best of (his) (her) knowledge gned it voluntarily for its stated purpose. |
| SEAL | Notary Public | ADONIS C JOHN Notary Public - State of New York NO. 01J06207846 |
| My commission expir | • | Qualified in Kings County My Commission Expires (1/5/2013) |

Inventor: Mark G. Frattini Address: 207 Fairfield Avenue

My commission expires:

| Date | | Inventor: | Hakim Djaballah |
|---------------------------|---------------------|----------------|-------------------------------------------------|
| | | Address: | 253 Dorchester Road |
| | | | Scarsdale, New York 10583 |
| | | Citizenship: | US |
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| SEAL | | | 1.000.0000.0000 |
| | Notary | y Public | |
| My commission expi | ires: | | |
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| Date | | Inventor: | Thomas J. Kelly |
|--------------------------|--------------|------------------------|-------------------------------------------------|
| | | Address: | 504 East 63rd Street, #36-P |
| | | | New York, New York 10065 |
| | | Citizenship: | US |
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| STATE/COMMONWEA | LTH OF | | |
| COUNTY OF | | : | |
| On this | day of | | , before me, the undersigned notary public, |
| personally appeared | | omas J. Kelly | , proved to me through satisfactory evidence of |
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| to be the person who si | gned the pre | eceding or attached of | document in my presence and swore or affirmed |
| to me that the contents | of the docur | ment are truthful and | accurate to the best of (his) (her) knowledge |
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| | | Notary Public | |
| My commission exp | ires: | • | |

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned Assignor, hereby:

- Sell, assign, and transfer to Sloan-Kettering Institute for Cancer Research, having a place of business at 1275 York Avenue; New York, New York 10065, its successors, assigns, and legal representatives, all hereinafter referred to as the Assignee, my entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the international PCT application filed on March 8, 2011 under Serial No. PCT/US2011/027619, bearing Attorney Docket No. S1715.70006WO00, and entitled CDC7 KINASE INHIBITORS AND USES THEREOF, and in the U.S. national stage patent application filed with the United States Patent and Trademark Office under U.S. Serial No. 13/583,170, bearing Attorney Docket No. S1715.70006US01, and entitled CDC7 KINASE INHIBITORS AND USES THEREOF, and in and to the application, and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent applications, utility models, industrial designs, or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including, but not limited to, China, Japan, and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions. including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan, and Korea, as well as the right to sue in its own name and recover damages for past infringement of any United States Letters Patent and foreign patent;
- 2. Agree that the Assignee may apply for and receive patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model, and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney, and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
- 3. Request the Director of the United States Patent and Trademark Office and non-US patent authorities to issue the patent to the Assignee;
- 4. Covenant with the Assignee that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
- 5. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

S1715.70006US01 2847800.1 Page 1 of 4

PATENT KEEL: 029153 FRAME: 0956

| Date | | Inventor: | Mark G. Frattini |
|----------------------|--------------------|---------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | Address: | 207 Fairfield Avenue |
| | | | Ridgewood, NJ 07450 |
| | | Citizenship: | US |
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| STATE/COMMONW | /EALTH OF | | |
| COUNTY OF | | | |
| On this | day of | , | , before me, the undersigned notary public, |
| personally appeared | Mark (| G. Frattini | , proved to me through satisfactory evidence of |
| dentification, which | were | | ·· |
| to be the person who | o signed the prece | ding or attached | document in my presence and swore or affirmed |
| to me that the conte | nts of the documer | nt are truthful and | accurate to the best of (his) (her) knowledge |
| and belief and ackno | owledged to me the | at (he) (she) signe | ed it voluntarily for its stated purpose. |
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| My commission | expires: | | |
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Page 2 of 4

PATENT PD REEL: 029153 FRAME: 0957

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| Citizenship: US | S |
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| identification, which were California Drivers La | icense B5846115 |
| to be the person who signed the preceding or attached doc | |
| to me that the contents of the document are truthful and acc | curate to the best of (his) (her) knowledge |
| and belief and acknowledged to me that (he) (she) signed in the market of the signed in the signed i | t voluntarily for its stated purpose. |
| Notary Public, State of New York | |
| No. 01ME6138667 Qualified in New York County | |
| SEAL Term Expires Dec 27, 2009/3 | |
| Jeans M. Melse | <u>on</u> |
| / Notary Public | |

December 27, 2013

Inventor: Hakim Djaballah

Address: 253 Dorchester Road

<u>/o / // / 2</u> Date

My commission expires:

| Date | | Inventor: | Thomas J. Kelly |
|-----------------------|----------------------------------------|---------------------|-------------------------------------------------|
| | | Address: | 504 East 63rd Street, #36-P |
| | | | New York, New York 10065 |
| | | Citizenship: | US |
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| On this | day of |) | , before me, the undersigned notary public, |
| personally appeared | | as J. Kelly | , proved to me through satisfactory evidence of |
| identification, which | were | | |
| to be the person who | signed the prece | eding or attached o | document in my presence and swore or affirmed |
| to me that the conter | nts of the docume | nt are truthful and | accurate to the best of (his) (her) knowledge |
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S1715.70006US01 2847800.1 Page 1 of 4

| Date | | Inventor: | Mark G. Frattini |
|--------------------------|--------------------|------------------|-------------------------------------------------|
| | | Address: | 207 Fairfield Avenue |
| | | | Ridgewood, NJ 07450 |
| | | Citizenship: | US |
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| armin, Arthur | | | |
| On this | day of | <u> </u> | , before me, the undersigned notary public, |
| personally appeared | Mark G. | Frattini | , proved to me through satisfactory evidence of |
| identification, which we | | | , |
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| | Nota | ry Public | - |
| My commission exp | oires: | | |
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| Date | | Inventor: | Hakim Djaballah |
|---------------------------|---------------------|-----------------|-------------------------------------------------|
| | | Address: | 253 Dorchester Road |
| | | | Scarsdale, New York 10583 |
| | | Citizenship: | US |
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| personally appeared | Hakim Dja | ballah | , proved to me through satisfactory evidence of |
| identification, which wer | | | , |
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| My commission exp | ires: | | |

| 10-17-12 | Jonn, Thals | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|--|--|--|
| Date Inventor: | : Thomas J. Kelly | | | |
| Address | : 504 East 63rd Street, #36-P | | | |
| | New York, New York 10065 | | | |
| Citizenship | : US | | | |
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| STATE/COMMONWEALTH OF MOWN YOUR | | | | |
| STATE/COMMONWEALTH OF New York COUNTY OF New York | _ | | | |
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| On this 17 day of $\frac{\sqrt{(\sqrt{b} \log r)}}{\text{Thomas J. Kelly}}$, $\frac{\sqrt{2012}}{\text{, proved to me through satisfactory evidence of }}$ | | | | |
| personally appeared Thomas J. Kelly | Thomas J. Kelly , proved to me through satisfactory evidence of | | | |
| identification, which were | Ticenses - UD , | | | |
| to be the person who signed the preceding or attached | | | | |
| to me that the contents of the document are truthful and | | | | |
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| | ADONIS C JOHN | | | |
| 0541 | Notary Public - State of New York | | | |
| SEAL | NO. 01J06207846 Qualified in Kings County | | | |
| Notary Public | My Commission Expires <u>6/25/2013</u> | | | |
| My commission expires: \une 15 . 2013 | | | | |
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