

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Atty Ref/Docket No.: 3081.062US1

Patent and Trademark Office

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To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

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1. Name of conveying party:

Riccardo Ugolini

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ OtherExecution Date: August 31, 2012

2. Name and address of receiving party:

Name: Ferrari S.p.A.

Street Address: Via Emilia Est 1163

City: Modena, 41100Country: ItalyAdditional name(s) & address(es) attached? ☐ Yes  
☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.

Serial No. 13/564,062, filed August 1, 2012

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Matthew L. Prater

Address:

Schwegman, Lundberg &amp; Woessner, P.A.

P.O. Box 2938

Minneapolis, MN 55402--0938

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account  
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Matthew L. Prater/Reg. No. 61,891

Name of Person Signing



Signature

2012-10-15

Date

Total number of pages including cover sheet: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Mail Stop Assignment Recordation Services

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Alexandria, VA 22313-1450

CH \$40.00 190743 13564062

PATENT

700494825

REEL: 029155 FRAME: 0164

Attorney Docket No. 3081.062US1  
Client Ref. No. E9806/12-US

### ASSIGNMENT

WHEREAS, Riccardo Ugolini (hereinafter the "Undersigned") has] made one or more inventions and other subject matter (hereinafter collectively referred to as the "Invention") which are described in a patent application filed on August 1, 2012, which application was assigned US patent application serial number 13/564,062, and which is titled CAR WITH A SUN ROOF PROVIDED WITH CLOSING BODIES AND HOLLOW SPACES; which are described in a patent application filed on August 1, 2011, which application was assigned IT application serial number BO2011A 000477, and which is titled CAR WITH A SUN ROOF PROVIDED WITH CLOSING BODIES AND HOLLOW SPACES.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency, and adequacy of which are hereby acknowledged by the Undersigned, the Undersigned do hereby irrevocably and unconditionally:

CONVEY, ASSIGN, AND TRANSFER to Ferrari S.p.A. (the "Assignee"), a corporation of the Country of Italy, having a place of business at Via Emilia Est 1163, Modena 41100, Italy, the Undersigned's entire right, title, and interest for the United States and all foreign countries and jurisdictions in and to:

the Invention which is disclosed in the above-identified application or applications;

such application or applications, and all divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other applications for a patent or patents which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries and jurisdictions based in whole or in part on any of such invention (including any application for a utility model or an innovation patent application);

all original and reissued patents which have been or shall be issued in the United States and all foreign countries and jurisdictions based in whole or in part on any of such Invention;

including the right to claim priority to the above-identified patent application or applications in relation to subject matter based in whole or in part on the above-identified patent application or applications and any of the foregoing including the right to file foreign applications under the provisions of any convention or treaty;

and including the right to all causes of action, remedies, and other enforcement rights related to the above-identified application or applications, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such Invention to the Assignee;

AUTHORIZE AND REQUEST that any attorney associated with U.S. Patent and Trademark Office (USPTO) Customer No. 21186 may (directly or through his/her designee) delete, insert, or alter any

**Assignment**

Assignors: Riccardo Ugolini

Title: CAR WITH A SUN ROOF PROVIDED WITH CLOSING BODIES AND HOLLOW SPACES

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information related to the above-identified patent application or applications or any of the foregoing, after execution of this Assignment;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or shall be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the Undersigned shall (1) execute all provisional, non-provisional, divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other patent applications for the Invention; (2) execute all rightful oaths, declarations, assignments, powers of attorney and other papers for the Invention; (3) communicate to the Assignee all facts known to the Undersigned relating to the Invention and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for the Invention; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such Invention in the Assignee, or for securing, maintaining and enforcing proper patent protection for the Invention;

COVENANT, that should any provision of this agreement be held unenforceable by an authority of competent jurisdiction, such a ruling shall not affect the validity and enforceability of the remaining provisions. To the extent that any such provision is found to be unenforceable, the Undersigned, when requested and without compensation shall act in good faith to substitute for such provision a new provision with content and purpose as close as possible to the provision deemed unenforceable.

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

AGREED as of the date of my signature below:

**PATENT****REEL: 029155 FRAME: 0166**

## Assignment

Assignors: Riccardo Ugolini

Title: CAR WITH A SUN ROOF PROVIDED WITH CLOSING BODIES AND HOLLOW SPACES

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## Assignor:

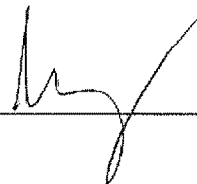
(Signature):

Name: Riccardo UgoliniCity: San Lazzaro Di Savena

Date:

31/08/12

On this 31 day of AUGUST, 2012 before me personally appeared Riccardo Ugolini, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.



Witness

Matteo MACCAGNAN

PATENT

RECORDED: 10/15/2012

REEL: 029155 FRAME: 0167