

Form PTO-1595 (Rev. 01-09)  
OMB No. 0651-0027 (exp. 02/28/2009)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

- 1) William C. Galloway
- 2) Ryan A. Callison
- 3) Greg J. Pellegrino
- 4) Choon-Seng Tan

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Pivot3, Inc.

Internal Address: \_\_\_\_\_

Street Address: 6605 Cypresswood Drive

City: Spring

State: Texas

Country: USA Zip: 77379

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 1-2) 06/29/2009 and 3-4) 07/22/2009

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Application No. 13/543,561 (PIVOT1100-3)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Sprinkle IP Law Group

Internal Address: \_\_\_\_\_

Street Address: 1301 West 25th Street, Suite 408

City: Austin

State: Texas Zip: 78705

Phone Number: 512.637.9220

Fax Number: 512.371.9088

Email Address: docketing@sprinklelaw.com

**6. Total number of applications and patents involved: ONE**

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

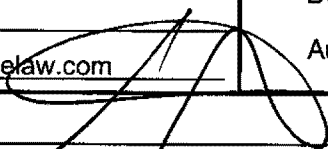
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number: 503183

Authorized User Name: Ari G. Akmal

**9. Signature:**

  
Signature

10-15-2012  
Date

Ari G. Akmal, Reg. No. 51,038  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
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CH \$40.00 503183 13543561

ATTORNEY DOCKET  
PIVOT1100-1

PATENT APPLICATION  
Serial No. 12/479,319

- 1 of 2 -

ASSIGNMENT

This Assignment is made by William C. Galloway and Ryan A. Callison both of Magnolia, Texas, Greg J. Pellegrino, of Tomball, Texas, and Choon-Seng Tan of Houston, Texas ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled "METHOD AND SYSTEM FOR DISTRIBUTED RAID IMPLEMENTATION", for which an application for United States Letters Patent was made on June 5, 2009, bearing an application number of 12/479,319; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to <sup>Pivot3, Inc.</sup> ~~Pivot3~~ having a principal place of business at 6605 Cypresswood Drive, Spring, TX 77379 (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said invention, said Applications and any Patents that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Patents in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Patents granted for said invention in the United States and throughout the world.

*Handwritten notes:*  
MCS  
6-4-12  
RC  
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GP  
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CST  
6/4/2012

ATTORNEY DOCKET  
PIVOT1100-1


PATENT APPLICATION  
Serial No. 12/479,319

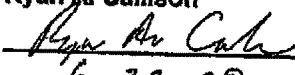
- 2 of 2 -


Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

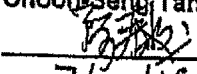
Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

\_\_\_\_\_  
 Name of Sole/First Inventor: William C. Galloway  
 Signature:   
 Date: 6-29-09

\_\_\_\_\_  
 Name of Additional Inventor: Ryan A. Callison  
 Signature:   
 Date: 6-29-09

\_\_\_\_\_  
 Name of Additional Inventor: Greg J. Pellegrino  
 Signature:   
 Date: 7/22/09

\_\_\_\_\_  
 Name of Additional Inventor: Choon-Seng Tan  
 Signature:   
 Date: 7/22/09