

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Yuhua Ge Ph.D</td> <td>05/18/2012</td> </tr> <tr> <td>Zheng-Hui He Ph.D</td> <td>05/29/2012</td> </tr> <tr> <td>Weiming Wu Ph.D</td> <td>05/31/2012</td> </tr> </tbody> </table>		Name	Execution Date	Yuhua Ge Ph.D	05/18/2012	Zheng-Hui He Ph.D	05/29/2012	Weiming Wu Ph.D	05/31/2012		
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<table border="1"> <tr> <td>Name:</td> <td>San Francisco State University</td> </tr> <tr> <td>Street Address:</td> <td>1600 Holloway Avenue</td> </tr> <tr> <td>City:</td> <td>San Francisco</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>94132</td> </tr> </table>		Name:	San Francisco State University	Street Address:	1600 Holloway Avenue	City:	San Francisco	State/Country:	CALIFORNIA	Postal Code:	94132
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PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13656105</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13656105						
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CORRESPONDENCE DATA											
<p>Fax Number:</p> <p><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 559-278-0857</p> <p>Email: gliu@csufresno.edu</p> <p>Correspondent Name: Grace Liu</p> <p>Address Line 1: 4910 N. Chestnut</p> <p>Address Line 4: Fresno, CALIFORNIA 93726</p>											
ATTORNEY DOCKET NUMBER:	SFSU GRAMINE										
NAME OF SUBMITTER:	Grace Liu										
<p>Total Attachments: 12</p> <p>source=Ge Assignment#page1.tif</p> <p>source=Ge Assignment#page2.tif</p>											

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INVENTION ASSIGNMENT AGREEMENT

This Invention Assignment Agreement (the "Agreement")

BETWEEN: The Trustees of the California State University, which is the State of California acting in an educational capacity, on behalf of San Francisco State University (hereinafter referred to as "SF STATE"), located at 1600 Holloway Avenue, San Francisco, California 94132

AND: Yuhua Ge ("Developer")
Cuipingdongnan, Building 52, Room 1002
Jiangning District, Nanjing,
Jiangsu Province
China, Zip code 211106

is effective the date of its execution by both parties.

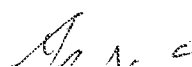
NOW, THEREFORE, in consideration of the promises and agreements set forth herein, the receipt and sufficiency of which are hereby acknowledged by the parties, the parties intending to be legally bound hereby, do promise and agree as follows:

1. ASSIGNMENT

Developer hereby assigns to SF STATE exclusively throughout the world all right, title and interest (choate or inchoate) in (i) the subject matter referred to in Exhibit A ("Invention"), (ii) all precursors, portions and work in progress with respect hereto and all inventions, works of authorship, mask works, technology, information, know-how, materials and tools relating thereto or to the development, support or maintenance thereof; and, (iii) all copyrights, patent rights, trade secret rights, trademark rights, database rights and all other intellectual and industrial property rights of any sort and all business, contract rights and goodwill in, incorporated or embodied in, used to develop, or related to any of the foregoing (collectively "Intellectual Property").

2. CONSIDERATION

In consideration of the assignment of rights granted herein, SF STATE agrees to pay Developer a share of revenue, if any, resulting from commercialization of the Invention. SF STATE will pay to Developer an amount equal to fifty percent (50%) of the Net Revenue received by SF STATE for any license or sale of the Invention. Net Revenue is revenue actually received by SF STATE net of any costs for securing, maintaining or defending patent protection for the Invention. Such costs shall explicitly include the costs of filing for and obtaining patent protection, any maintenance fees for the same, and the costs of defending any challenges brought to any patent ultimately obtained or defending against any claims of infringement of the intellectual property rights of others by SF STATE incurred as a result of efforts to commercialize the Invention. Notwithstanding the foregoing, SF STATE determines, in its sole discretion, that such protection is not desirable. Within three months of the end of each calendar year, SF STATE shall provide to Developer a payment of Net Revenue for the preceding year along with a written report setting forth the gross revenue received by SF STATE for any license or sale of the Invention, the deductions for costs taken, and the resulting Net Revenue.



3. FURTHER ASSURANCES; MORAL RIGHTS; COMPETITION; MARKETING

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To the extent allowed by law, Section 1 includes all rights of paternity, integrity, disclosure and withdrawal and any other rights that may be known as or referred to as "moral rights," "artist's rights," "droit moral" or the like (collectively "Moral Rights"). To the extent Developer retains any such Moral Rights under applicable law, Developer hereby ratifies and consents to, and provides all necessary ratifications and consents to, any action that may be taken with respect to such Moral Rights by or authorized by SF STATE; Developer agrees not to assert any Moral Rights with respect thereto. Developer will confirm any such ratifications, consents and agreements from time to time as requested by SF STATE.

4. CONFIDENTIAL INFORMATION

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5. WARRANTY

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6. NOTICE

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any notice given by facsimile shall mean notice which has been received by the party to whom it is sent as evidenced by confirmation slip.

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IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by their duly authorized representatives.

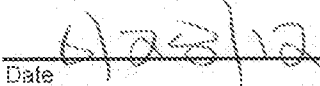
FOR SAN FRANCISCO STATE UNIVERSITY

DEVELOPER

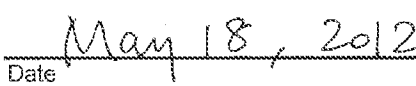

Stephen C. Smith
Director of Procurement


Yuhua Ge

Date



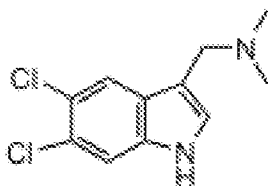
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Confidential Material

Exhibit A

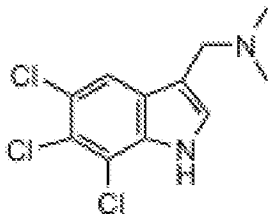
The two molecules described below are derivatives of natural product gramine and have been tested against a cyanobacterium (*Microcystis aeruginosa*) responsible for toxic algae bloom. Both of them are found to be very effective against the cyanobacterium strain.



and all its ammonium salt

5,6-dichlorogramine, Compound 9 in the document, molecular formula C₁₁H₁₂Cl₂N₂

The molecule has been described in the scientific literature, but its use against toxic algae bloom is new.



and all its ammonium salt

5,6,7-trichlorogramine, New Compound in the document, molecular formula C₁₁H₁₁Cl₃N₂

This is new molecule.

The patent will cover these molecules and related gramine derivatives such as other halogenated chemicals.

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FOR SAN FRANCISCO STATE UNIVERSITY



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Director of Procurement

Date

7/17/12

DEVELOPER



Zheng Hui He

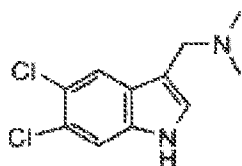
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Exhibit A

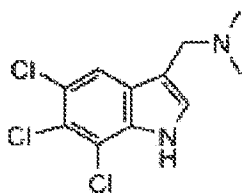
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and all its ammonium salt

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The molecule has been described in the scientific literature, but its use against toxic algae bloom is new.



and all its ammonium salt

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This is new molecule.

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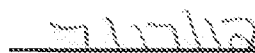
DEVELOPER



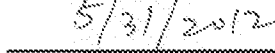
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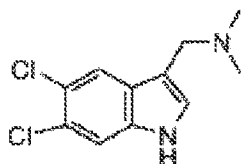


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Exhibit A

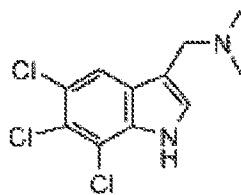
The two molecules described below are derivatives of natural product gramine and have been tested against a cyanobacterium (*Microcystis aeruginosa*) responsible for toxic algae bloom. Both of them are found to be very effective against the cyanobacterium strain.



and all its ammonium salt

5,6-dichlorogramine, Compound 9 in the document, molecular formula $C_{11}H_{12}Cl_2N_2$

The molecule has been described in the scientific literature, but its use against toxic algae bloom is new.



and all its ammonium salt

5,6,7-trichlorogramine, New Compound in the document, molecular formula $C_{11}H_{11}Cl_3N_2$

This is new molecule.

The patent will cover these molecules and related gramine derivatives such as other halogenated chemicals.