Form PTO-1595 (Rev. 03-11) OMB No. 0651-0027 (exp. 03/31/2015)	U.S. DEPARTMENT OF COMMERC United States Patent and Trademerk Offic		
RECORDATION FOR	RM COVER SHEET		
PATENTS ONLY			
To the Director of the U.S. Patent and Trademark Office; Please record the attached documents or the new address(es) below.			
Name of conveying party(les):	2. Name and address of receiving party(les)		
James I. Fann			
	Name: Mitralsolutions, Inc.		
Additional name(s) of conveying party(les) attached?	Internal Address:		
3. Nature of conveyence/Execution Date(s):	Street Address:		
Execution Date(s): July 2, 2009	4700 Foot Los Olos Boutoned Buildings		
	1700 East Las Olas Boulevard, Suite 203		
Security Agreement Joint Research Agreement	Clty: Ft. Lauderdale		
Government Interest Assignment	State: Florida		
Executive Order 9424, Confirmatory License	Country: United States of America Zip: 33301		
Other	Additional name(s) & address(es)		
	attached?		
	This document is being filed together with a new application.		
A. Palent Application No.(s) 12/757,687	B. Patent No.(s)		
PCT/US2008/000014			
60/878,068	<u> </u>		
Additional numbers attached?	Yes X No		
5. Name and address to whom correspondence concerning document should be malled:	6. Total number of applications and patents involved:		
Name: Stephen B, Goldman			
LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP			
·	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 120.00		
Internal Address: Atty. Dkl.: STJUDE 3.9-186 CON Street Address: 600 South Avenue West			
The second field	X Authorized to be charged to deposit account		
	Enclosed		
	None required (government interest not affecting title)		
City: Westfield			
State: NJ Zip: 07090	8. Payment information		
Phone Number: 908-654-5000			
Fax Number: 908-654-7866	Deposit Account Number 12-1095		
Email Address: ateylor@ldlkrn.com	Authorized User Name Stephen B. Goldman		
9. Signature:			
- u	October 23, 2042		
Signature	October 23, 2012 Date		
Stephen B. Goldman - 28,512	Total number of pages including cover 4		
Name of Person Signing	sheet, attachments, and documents:		

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PATENT REEL: 029208 FRAME: 0001

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(Worldwide Rights)

ASSIGNMENT NUNC PRO TUNC

WHEREAS, JAMES I. FANN of 65 Prado Court, Portola Valley, California 94028 [hereinafter referred to as Assignor] is a contributing inventor to the Inventions disclosed in the patent applications listed in Schedule A hereto [hereinafter referred to as the Applications];

AND WHEREAS, MITRALSOLUTIONS, INC., a Delaware corporation with its principal place of business at 1700 East Las Olas Boulevard, Suite 203, Ft. Lauderdale, Florida 33301, [hereinafter referred to as Assignee], previously acquired and secured the entire right, title and interest in and to the Inventions in all countries throughout the world, and in and to all the Applications and the Letters Patent to be issued upon the Applications;

AND WHEREAS, Assignor desires to confirm the sale, assignment and/or transfer of all rights, title and interest in and to the Inventions and the Applications to Assignee.

NOW, THEREFORE, be it known that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor has sold, assigned, transferred and/or set over, nunc pro tune as of the respective filing dates listed for each of the Applications in Schedule A hereto, and does hereby sell, assign, transfer and/or set over unto the Assignee, its lawful successors and assigns, Assignor's entire right, title and interest in and to the Inventions and the Applications, including: (a) all nonprovisionals, divisions, substitutions, continuations, continuations-in-part, reissues, reexamainations, international applications, foreign counterparts, renewals, and extensions of the Applications; (b) all Letters Patent, both foreign and domestic, which may be granted on any of the Applications or any nonprovisionals, divisions, substitutions, continuations, continuations-in-part, reissues, reexamainations, international applications, foreign counterparts, renewals, or extensions thereof; (c) all rights to claim priority on the basis of any of the Applications; (d) all applications for Letters Patent which may hereafter be filed for the Inventions in any foreign country and all Letters Patent which may be granted on the Inventions in any foreign country, and all extensions, renewals, and reissues thereof; and (e) all claims, causes of action, and other rights, including the right to sue for past damages;

AND, Assignor HEREBY authorizes and requests the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for the Inventions to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, Assignor HEREBY covenants that Assignor had the full right to convey the interest assigned by this Assignment, and Assignor has not executed and will not execute any agreement in conflict with this Assignment;

AND, Assignor HEREBY further covenants and agrees that Assignor will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to Assignor respecting the Inventions, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to the Inventions in said Assignee, its successors or assigns, execute all nonprovisionals, divisions, substitutions, continuations, continuations-in-part, reissues, reexamainations, international applications, foreign counterparts, renewals, and extensions of the Applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for the Inventions in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by Assignee, it successors and assigns;

AND, Assignor HEREBY agrees that this Assignment will be effective <u>nunc pro tunc</u> as of the respective filing dates listed for each of the Applications in Schedule A hereto.

PATENT REEL: 029208 FRAME: 0002

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IN WITNESS WHEREOF, the parties hereto execute or have caused to be executed by their respective duly authorized representatives.

ASSIGNOR:

Signature

James I. FANN, Inventor

PATENT REEL: 029208 FRAME: 0003

(Worldwide Rights) Page 3 of 3

Schedule A

LDLKM

Application Number	Filing Date	Title
U.S. Prov. App. No. 60/878,068	January 3, 2007	Implantable Devices for Controlling the Size and Shape of an Anatomical Structure or Lumen
PCT App. No. PCT/US08/00014	January 3, 2008	Implantable Devices for Controlling the Size and Shape of an Anatomical Structure or Lumen
U.S. App. No. 12/522,089	July 2, 2009	Implantable Devices for Controlling the Size and Shape of an Anatomical Structure or Lumen
U.S. Prov. App. No. 61/106,790	October 20, 2008	Method of Post-Operative Adjustment for Mitral Valve Implant
PCT App. No. PCT/US09/61285	October 20, 2009	Method of Post-Operative Adjustment for Mitral Valve Implant

PATENT REEL: 029208 FRAME: 0004

RECORDED: 10/23/2012