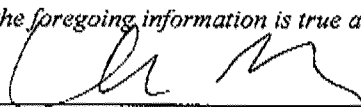


Customer Service Window – **Mail Stop Assignment**  
 Randolph Building  
 401 Dulany Street  
 Alexandria, VA 22314

Atty. Docket No.: **32860-002059/US**  
 Page 1 of 1

## RECORDATION FORM COVER SHEET

**To the Honorable Commissioner of Patents and Trademarks:**  
**Please record the attached original documents or copy thereof.**

<p>1. Name of conveying party(ies):  <b>Klaus GRÜL</b>  <b>Harald KRIEGER</b>          Additional name(s) of conveying party(ies) attached?  <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>	<p>2. Name and address of receiving party(ies)          Name: <b>SIEMENS AKTIENGESELLSCHAFT</b>            Street Address: <b>Wittelsbacherplatz 2</b>            City: <b>Munich</b>          Country: <b>GERMANY</b>      Postal Code: <b>D-80333</b>            Additional name(s) &amp; address(es) attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>
<p>3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment    <input type="checkbox"/> Merger    <input type="checkbox"/> Security Agreement  <input type="checkbox"/> Change of Name    <input type="checkbox"/> Other:          Execution Date: 1) <b>October 10, 2012</b> &amp; 2) <b>October 5, 2012</b></p>	<p>4. Application number(s) or patent number(s):          If this document is being filed together with a new application, the execution date of the application is:            A. Patent Application No(s).             <b>13/602,622</b>            B. Patent No.(s).            Additional numbers attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>
<p>5. Name and address of party to whom correspondence concerning document should be mailed:            Name: <b>HARNESS, DICKEY &amp; PIERCE, P.L.C.</b>          Street Address: <b>P.O. BOX 8910</b>          City: <b>RESTON</b>    State: <b>VA</b>    ZIP: <b>20195</b>          Country: <b>USA</b></p>	<p>6. Total No. of applications/patents involved: <b>One (1)</b>          7. Total fee (37 C.F.R. § 3.41): <b>\$40.00</b>  <input checked="" type="checkbox"/> Enclosed  <input checked="" type="checkbox"/> Authorized to be charged to deposit account, if no fee attached.          8. Deposit account number: <b>08-0750</b>            (Attach triplicate copy of this page if paying by deposit account)</p>
DO NOT USE THIS SPACE	
<p>9. Statement and signature.    <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>    <div style="display: flex; justify-content: space-between;"> <div style="width: 30%;"> <u>Donald J. Daley/34,313</u>              Name of Person Signing/Reg. No.           </div> <div style="width: 30%; text-align: center;">               Signature           </div> <div style="width: 30%; text-align: right;"> <u>October 25, 2012</u>              Date           </div> </div> </p>	
Total number of pages including cover sheet, attachments, and document: <b>seven (7)</b>	

DJD/clc

CH \$40.00 080750 13602622

## ASSIGNMENT

For good and valuable consideration, I (We),

**Klaus Grül**, residing at Wegscheid 62, Alfter, 53347, DE, citizen of DE

**Harald Krieger**, residing at Finstermühle 52 a, Neuhaus, 91284, DE, citizen of DE

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to **Siemens Aktiengesellschaft**, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Wittelsbacherplatz 2, München, 80333, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

**Patent Application Title: Locking apparatus for an electromechanical switching device having a manual actuation option**

**Filing Date (MM/DD/YYYY): 09/04/2012**

**Filing Number: 13/602,622**

**Internal Case Number(s): 2011P13487 US**

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

**PATENT**

**REEL: 029210 FRAME: 0013**

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

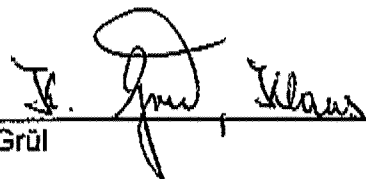
(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.



Witness Signature

Peter Orrowicki

printed name



Klaus Grul

10.10.2012

Date

Witness Signature

Harald Krieger

printed name

Date

Companies Representative(s)

Siemens Aktiengesellschaft

Signature 

Name

Michael Gollwitzer

Date

16. OKT. 2012

Signature 

Name

Katharina Klinger

Date

16. OKT. 2012

## ASSIGNMENT

For good and valuable consideration, I (We),

**Klaus Grül**, residing at Wegscheid 62, Alfter, 53347, DE, citizen of DE

**Harald Krieger**, residing at Finstermühle 52 a, Neuhaus, 91284, DE, citizen of DE

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(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

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and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

**PATENT**

**REEL: 029210 FRAME: 0016**

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

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Witness Signature

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Klaus Gröl

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printed name


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Date

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Witness Signature

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Harald Krieger

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Scharf Christian  
printed name

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5.10.2012  
Date

Companies Representative(s)

Siemens Aktiengesellschaft

Signature 

Name

Michael Gollwitzer

Date

16. OKT. 2012

Signature 

Name

Katharina Klinger

Date

16. OKT. 2012