

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT						
NATURE OF CONVEYANCE:	ASSIGNMENT						
CONVEYING PARTY DATA							
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Ravi R. Upadhyay</td> <td>10/31/2012</td> </tr> <tr> <td>Michael J. Newman</td> <td>11/01/2012</td> </tr> </tbody> </table>		Name	Execution Date	Ravi R. Upadhyay	10/31/2012	Michael J. Newman	11/01/2012
Name	Execution Date						
Ravi R. Upadhyay	10/31/2012						
Michael J. Newman	11/01/2012						
RECEIVING PARTY DATA							
Name:	AMERIPRISE FINANCIAL, INC.						
Street Address:	5226 AMERIPRISE FINANCIAL CENTER						
City:	MINNEAPOLIS						
State/Country:	MINNESOTA						
Postal Code:	55474						
PROPERTY NUMBERS Total: 1							
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13665447</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13665447		
Property Type	Number						
Application Number:	13665447						
CORRESPONDENCE DATA							
Fax Number:	5123275575						
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>							
Phone:	(512) 327-5515						
Email:	kmeals@tlgiplaw.com						
Correspondent Name:	TOLER LAW GROUP						
Address Line 1:	8500 BLUFFSTONE COVE						
Address Line 2:	SUITE A201						
Address Line 4:	AUSTIN, TEXAS 78759						
ATTORNEY DOCKET NUMBER:	3055-0009						
NAME OF SUBMITTER:	/Katherine Meals/						
Total Attachments: 2 source=3055-0009_Assignment#page1.tif source=3055-0009_Assignment#page2.tif							

CH \$40.00 13665447

ASSIGNMENT OF INVENTION

I, one of the inventors listed below, in consideration of my entry into Ameriprise's quarterly patent program drawing and the right to receive a cash award if this submission is selected, the receipt and sufficiency of which is hereby acknowledged, hereby irrevocably assign to Ameriprise Financial, Inc. all of my rights, title and interest in and to the idea entitled **SYSTEM AND METHOD FOR AUTOMATED FUNDS MANAGEMENT**(Attorney Docket No. **3055-0009**), as well as all associated patent, copyright, trade secret and all other intellectual property rights. I understand and agree that Ameriprise Financial, Inc. and its successors and assigns, shall have the sole right to file and hold in its name any intellectual property applications and registrations it, in its sole discretion, may choose to obtain related to such idea without the payment of any additional consideration, and I agree to provide any and all cooperation Ameriprise Financial, Inc. may request to perfect, secure, enforce and/or such rights.

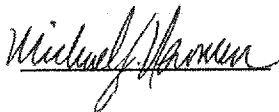
EXECUTED as of the date written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>Ravi R. Upadhyay</u>	<u>Plot No. 81, Sector-32</u> <u>Gurgaon, Haryana, India, 122001</u>		10/31/12

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EXECUTED as of the date written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>Michael J. Newman</u>	<u>5226 Ameriprise Financial Center</u> <u>Minneapolis, MN 55474</u>		<u>11/1/12</u>