

Form PTO-1595 (Rev. 03-11)
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MR3315-596

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

YU-YUN LO; YI-RU HUANG; CHIH-LING WU;
TZU-YANG LIN; YUN-LI LI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 10/8/12; 10/8/12; 10/8/12; 10/8/12;

- ☒ Assignment ☐ Merger 10/8/12
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: GENESIS PHOTONICS INC.

Internal Address: _____

Street Address: NO. 5, DALI 3RD RD., SHANHUA DIST.

City: TAINAN CITY

State: _____

Country: TAIWAN

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

13/661,272

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: MORTON J. ROSENBERG, ESQ.

Internal Address: ROSENBERG KLEIN & LEE

Street Address: 3458 ELLICOTT CENTER DRIVE, SUITE 101

City: ELLICOTT CITY

State: MARYLAND

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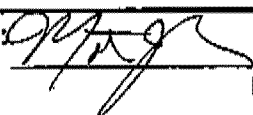
6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-5298

Authorized User Name ROSENBERG KLEIN & LEE

9. Signature


Signature

10/26/2012

Date

MORTON J. ROSENBERG

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

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PATENT
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ASSIGNMENT DEED

This Assignment agreement is applicable to an invention entitled (invention title): _____
LIGHT EMITTING DIODE DEVICE AND FLIP-CHIP PACKAGED LIGHT EMITTING DIODE DEVICE

The PATENT RIGHTS referred to in this agreement are:

(Check one)

☒ a Patent Application for this invention, executed by the ASSIGNOR(S) concurrently with this

Assignment

☐ U.S. Patent Application Serial No. _____, filed _____

☒ U.S. Patent No. 13/661,272, issued 10/26/2012

The PATENT RIGHTS assigned under this agreement are:

(Check One)

☒ U.S. Patent rights only

☐ worldwide Patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. Patent Application identified above.

I/WE believe that I/WE am/are the original inventor(s) of a claimed invention in the application.

The above-identified Application was made or authorized by me/us.

I/WE hereby acknowledge that any will false statements made in this Assignment is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

The ASSIGNOR(S) referred to in this agreement is (or are):

(Full name of sole or first assignor) Yu-Yun, Lo

(Address) No.5, Dali 3rd Rd., Shanhu Dist., Tainan City, Taiwan

(Full name of second joint assignor, if any) Yi-Ru, Huang

(Address) No.5, Dali 3rd Rd., Shanhu Dist., Tainan City, Taiwan

(Full name of third joint assignor, if any) Chih-Ling, Wu

(Address) No.5, Dali 3rd Rd., Shanhu Dist., Tainan City, Taiwan

(Full name of fourth joint assignor, if any) Tzu-Yang, Lin

(Address) No.5, Dali 3rd Rd., Shanhu Dist., Tainan City, Taiwan

(Full name of fifth joint assignor, if any) Yun-Li, Li

(Address) No.5, Dali 3rd Rd., Shanhu Dist., Tainan City, Taiwan

☐ Additional assignors are being named on separately numbered sheets attached hereto.

The sole or first ASSIGNEE(S) referred to in this agreement is (or are):

(Name of Assignee) Genesis Photonics Inc.

(Address of Assignee) No. 5, Dali 3rd Rd., Shanhua Dist., Tainan City, Taiwan

The sole or first ASSIGNEE is: (Check One)

☐ an individual

☐ a partnership

☒ a Corporation of Taiwan, R.O.C.

(State or Country)

The second ASSIGNEE(S) (if any) referred to in this agreement is (or are):

(Name of Assignee) _____

(Address of Assignee) _____

The sole or second ASSIGNEE is: (Check One)

☐ an individual

☐ a partnership

☐ a Corporation of _____

(State or Country)

The ASSIGNOR(S), in consideration of \$1.00 paid by each ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to each ASSIGNEE; their successors and assigns:

the full and exclusive right to the invention;

an equal interest in and to the entire right, title and interest in and to the PATENT RIGHTS in the invention, all continuations, continuations-in-part, divisionals, re-issues, and re-examination patents and patent applications; and

the right to claim priority under 35 U.S.C. § 119, based on any earlier foreign applications for this invention.

As to all U.S. Patent Applications assigned under this Agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(S) as the ASSIGNEE(S) of an equal interest in the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE(S), their successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE(S), or their representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(S), their successors and assigns, to obtain and enforced proper protection for said invention.

I hereby give our Attorney authorization to insert the Serial Number and filing date of the above-referenced Patent Application when known.

Yu Yun, Lo
(Signature of sole or first assignor)

2012/10/8
(Date)

Chi-Pu, Huang
(Signature of second assignor, if any)

2012/10/8
(Date)

Chih-Ling, Wu
(Signature of third assignor, if any)

2012/10/8
(Date)

Lin, Tsung-yang
(Signature of fourth assignor, if any)

2012/10/8
(Date)

Li, Li
(Signature of fifth assignor, if any)

2012/10/8
(Date)

I believe the above-named inventor(s) to be the original inventors or co-joint inventors of a claimed invention in the Application.

The above-identified was made or authorized to be made by the Assignor(s).

I hereby authorize our Attorneys to insert the Serial Number and Filing date of the above referenced Patent Application when known.

I hereby acknowledge that any willful false statement made in this statement is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

FOR: Genesis Photonics Inc.

Li, Li
(First Assignee Signature)

2012/10/8
Date

Yun-Li, Li
(Typed Name)

Chief Technology Officer
(Title)