

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Robert Gregory Wagoner</td> <td>09/21/2012</td> </tr> <tr> <td>Allen Michael Ritter</td> <td>09/24/2012</td> </tr> <tr> <td>Einar Vaughn Larsen</td> <td>09/27/2012</td> </tr> <tr> <td>Anthony Michael Klodowski</td> <td>09/21/2012</td> </tr> <tr> <td>Sidney Allen Barker</td> <td>09/21/2012</td> </tr> </tbody> </table>		Name	Execution Date	Robert Gregory Wagoner	09/21/2012	Allen Michael Ritter	09/24/2012	Einar Vaughn Larsen	09/27/2012	Anthony Michael Klodowski	09/21/2012	Sidney Allen Barker	09/21/2012
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Einar Vaughn Larsen	09/27/2012												
Anthony Michael Klodowski	09/21/2012												
Sidney Allen Barker	09/21/2012												
RECEIVING PARTY DATA													
Name:	General Electric Company												
Street Address:	1 River Road												
City:	SCHENECTADY												
State/Country:	NEW YORK												
Postal Code:	12345												
PROPERTY NUMBERS Total: 1													
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13606530</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13606530								
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CORRESPONDENCE DATA													
Fax Number:	5183853821												
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>													
Phone:	518-385-2725												
Email:	Shannon.Cannizzaro@GE.COM												
Correspondent Name:	GENERAL ELECTRIC COMPANY												
Address Line 1:	ONE RIVER ROAD, BLD. 43, ROOM 225												
Address Line 4:	SCHENECTADY, NEW YORK 12345												
ATTORNEY DOCKET NUMBER:	259393												
NAME OF SUBMITTER:	David A. Cornett												
This document serves as an Oath/Declaration (37 CFR 1.63).													

CH \$40.00 13606530

Total Attachments: 8

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COMBINED DECLARATION AND GLOBAL ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

**METHOD AND SYSTEMS FOR OPERATING A WIND TURBINE USING DYNAMIC BRAKING
IN RESPONSE TO A GRID EVENT**

- the specification of which is attached hereto OR
- United States application number 13/606,530 filed on September 7, 2012.
(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

<u>Robert Gregory Wagoner</u>	<u>of</u>	<u>Roanoke, Virginia</u> <i>(city, state or country)</i>
<u>Allen Michael Ritter</u>	<u>of</u>	<u>Roanoke, Virginia</u> <i>(city, state or country)</i>
<u>Einar Vaughn Larsen</u>	<u>of</u>	<u>Charlton, New York</u> <i>(city, state or country)</i>

Anthony Michael Klodowski of Hardy, Virginia
(city, state or country)

Sidney Allen Barker of Troutville, Virginia
(city, state or country)

hereby sell and assign to:

Name of Company: <i>General Electric Company</i>
Entity Type (optional): <i>New York corporation</i>
Address: <i>1 River Road, Schenectady, New York 12345 USA</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made and conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers, execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all counties including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

GE Docket No. 259393

"METHOD AND SYSTEMS FOR OPERATING A WIND TURBINE USING DYNAMIC BRAKING IN RESPONSE TO A GRID EVENT"

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1

Signature: Robert Gregory Wagoner
Robert Gregory Wagoner

Date: 21 SEPTEMBER 2012

Witnessed by: Paul Stephen Pate
Signature

Date: 21 SEPTEMBER 2012

PAUL STEPHEN PATE
Printed Name of Witness

Witnessed by: Laura Episcopo
Signature

Date: 21 September 2012

Laura Episcopo
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Robert Gregory Wagoner

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **Robert Gregory Wagoner**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 2

Signature: *Allen Michael Ritter*
Allen Michael Ritter

Date: Sept 21, 2012

Witnessed by: *David Smith*
Signature

Date: Sept 24, 2012

David Smith
Printed Name of Witness

Witnessed by: *Steven W. Sutherland*
Signature

Date: Sept. 24, 2012

Steven W. Sutherland
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Allen Michael Ritter

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **Allen Michael Ritter**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 3

Signature: Einar Vaughn Larsen Date: 9/27/12

Witnessed by: Louis N. Hayrett Date: 9/27/2012
Signature

Louis N. Hayrett
Printed Name of Witness

Witnessed by: Robert O'Aguals Date: 9/27/2012
Signature

Robert O'Aguals
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Einar Vaughn Larsen Date: _____

STATE OF _____
COUNTY OF _____ ss.

This _____ day of _____, _____ before me personally came the above-named Einar Vaughn Larsen, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____
(Notary Public)

INVENTOR 4

Signature: Anthony Michael Klodowski
Anthony Michael Klodowski

Date: 21 September 2012

Witnessed by: Laura Episcopo
Signature

Date: 21 September 2012

Laura Episcopo
Printed Name of Witness

Witnessed by: Paul Stephen Pate
Signature

Date: 21 SEPTEMBER 2012

PAUL STEPHEN PATE
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Anthony Michael Klodowski

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Anthony Michael Klodowski, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 5

Signature: Sidney Allen Barker
Sidney Allen Barker

Date: 21 September 2012

Witnessed by: Laura Episcopo
Signature

Date: 21 September 2012

Laura Episcopo
Printed Name of Witness

Witnessed by: Paul Stephen Pate
Signature

Date: 21 SEPTEMBER 2012

PAUL STEPHEN PATE
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Sidney Allen Barker

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **Sidney Allen Barker**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)