

Form PTO-1595 (Rev. 06-12)
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Seattle Polymer Company

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) July 23, 2012

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Empire Technology Development LLC

Internal Address: Suite 400

Street Address: 2711 Centerville Road

City: Wilmington

State: Delaware

Country: US Zip: 19808

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

PCT/US2012/063211

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Knobbe Martens Olson & Bear LLP

Internal Address: 14th Floor

Street Address: 2040 Main Street

City: Irvine

State: California Zip: 92614

Phone Number: (415) 954-4114

Docket Number: LLLCB.034WO

Email Address: efiling@knobbe.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 11-1410

Authorized User Name Knobbe Martens Olson & Bear LLP

9. Signature:



Signature

November 2, 2012

Date

Eli A. Loots

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA. 22313-1450

CH \$40.00 111410 US201206321

ASSIGNMENT OF PATENT RIGHTS

For valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Gregory David Phelan of 20 Hickory Park Road, Cortland, NY 13045 U.S. on behalf of Seattle Polymer Company, having a principal place of business of 700 NW 42nd Street, Suite 125, Seattle, WA 98107 U.S. ("Assignor"), effective as of December 28, 2010, hereby sells, assigns, transfers, and conveys unto

(A) Empire Technology Development LLC, a Delaware limited liability company, with an address at 2711 Centerville Road, Suite 400, Wilmington, DE 19808 ("U.S. Assignee"), all rights, title, and interests that exist today and may exist in the future in and to any and all of the following items (1) through (8) below:

1. the inventions disclosed in the solution report/invention disclosure title "Pyranose polymers for cosmetics" and all inventions claimed and/or described in the Application (collectively, the "Invention");
2. the patent applications listed in the table below (the "Application");

Patent Application No.	Country	Filing Date	Title
PCT/US2012/063211	PCT	November 2, 2012	Acrylamide Derivatives

3. all rights with respect to the Invention, including all United States patents or other governmental grants or issuances that may be granted with respect to the Invention or from any direct or indirect divisionals, continuations, continuations-in-part, or other patent applications claiming priority rights from the Application ("Potential Patents");
4. all reissues, reexaminations, extensions, or registrations of the Potential Patents;
5. all non-United States patents, patent applications, and counterparts relating to any or all of the Invention, the Application, and the Potential Patents, including, without limitation, certificates of invention, utility models, industrial design protection, design patent protection, and other governmental grants or issuances ("Foreign Rights"), and including the right to file foreign applications directly in the name of Assignee, its successors and assigns;
6. all rights to claim priority rights deriving from the Application;
7. all causes of action and remedies related to any or all of the Application, the Invention, the Potential Patents, and the Foreign Rights (including, without limitation, the right to sue for

past, present, or future infringement, misappropriation or violation of rights related to any of the foregoing and the right to collect royalties and other payments under or on account of any of the foregoing); and

8. any and all other rights and interests arising out of, in connection with, or in relation to the Application, the Invention, Potential Patents, and the Foreign Rights.

As used in this Assignment, "Assignee" means, collectively, the U.S. Assignee and the Non-U.S. Assignee. Assignor will not sign any document or do any act conflicting with this Assignment, and, without further compensation, will sign all documents and do such additional acts as Assignee, its successors, legal representatives, and assigns deem necessary or desirable to perfect enjoyment of the Rights, conduct proceedings regarding the Rights (including any litigation or interference proceedings), or perfect or defend title to the Rights. Assignor requests the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models, or other governmental grants or issuances that may be granted upon any of the Rights in the name of the Assignee, as the assignee to the entire interest therein.

Assignor hereby authorizes and requests authorized representative to insert on this Assignment the filing date and Patent Application Numbers in the table above when known.

The terms and conditions of this Assignment will inure to the benefit of Assignee, its successors, legal representatives, and assigns and will be binding upon Assignor, their successors, legal representatives and assigns.

[Signature Page(s) to Follow]

By:



Gregory David Phelan, on behalf of Seattle
Polymer Company,
700 NW 42nd Street, Suite 125
Seattle, WA 98107 U.S.

NOTARIZATION

STATE OF New York)
) ss.
COUNTY OF Cortland)

On July 23, 2012, before me, Jackie L. Brown Notary Public,
personally appeared Gregory David Phelan, who proved to me on the basis of satisfactory
evidence to be the person whose name is subscribed to the within instrument and
acknowledged to me that he executed the same in his authorized capacity, and that by his
signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s)
acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of New York that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature



JACKIE L. BROWN
Notary Public, State of New York
Registration No. 01BR5015758
Qualified in Cortland County
Commission Expires July 28, 2013