

PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Shawn X. Gao	10/19/2012
Roderick S. Van	10/19/2012
RECEIVING PARTY DATA	
Name:	Alcon Research, Ltd.
Street Address:	IP Legal, Mail Code TB4-8
Internal Address:	6201 SOUTH FREEWAY
City:	FORT WORTH
State/Country:	TEXAS
Postal Code:	76134
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13621571
CORRESPONDENCE DATA	
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<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Correspondent Name:	Alcon Research, Ltd.
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Address Line 2:	6201 SOUTH FREEWAY
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ATTORNEY DOCKET NUMBER:	3973 WDL
NAME OF SUBMITTER:	W. David Lee
Total Attachments: 4 source=3973_Assign#page1.tif source=3973_Assign#page2.tif source=3973_Assign#page3.tif source=3973_Assign#page4.tif	

CH \$40.00 13621571

ASSIGNMENT

WHEREAS, we, the undersigned, have invented certain new and useful improvements in the following:

ASPIRATION CASSETTE WITH GAS AND DEBRIS MANAGEMENT

for which we have made application for United States Letters Patent.

WHEREAS, ALCON RESEARCH, LTD., a Delaware corporation having a place of business at 6201 South Freeway, Fort Worth, Texas, USA, 76134 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives my entire right, title and interest in and to said invention and in and to said application and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interests are concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention

disclosed in said applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and

We further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or its successors, assigns and legal representatives, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

We further authorize and direct Alcon attorneys to insert below* the serial number and filing date of said application now identified as Attorney Docket No. 3569 as soon as the same shall have been made known to them by the United States Patent Office.

*Serial Number: 13/621571
Filing Date: September 17, 2012

This Assignment shall have an Effective Date of September 17, 2012.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 19 day
of October, 2012.



Shawn X. Gao
Address: 2 Fort Sumter
Irvine, CA 92620

ACKNOWLEDGMENT

State of California §
County of Orange §

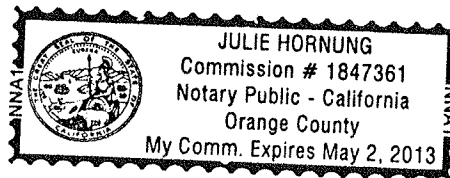
On this 19 day of October, 2012, before me,
Julie Hornung, Notary Public, personally appeared **Shawn X. Gao**, who
proved to me on the basis of satisfactory evidence to be the person whose name is
subscribed to the within instrument and acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on the instrument the person, or the
entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that
the foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature

Notary Public



IN WITNESS WHEREOF, I have hereunto set my hand and seal this 19th day of OCTOBER, 2012.



Roderick S. Van
Address: 1367 Peterson Avenue
Long Beach, CA 90813

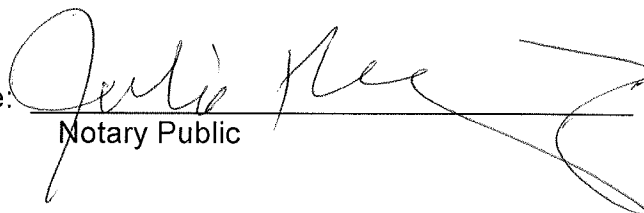
ACKNOWLEDGMENT

State of California §
County of Orange §

On this 19 day of October, 2012, before me, Julie Hornung, Notary Public, personally appeared **Roderick S. Van**, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature: 
Notary Public

