

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT								
NATURE OF CONVEYANCE:	ASSIGNMENT								
CONVEYING PARTY DATA									
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Naofumi SHINYA</td> <td>10/23/2012</td> </tr> <tr> <td>Takehisa MINOWA</td> <td>10/23/2012</td> </tr> </tbody> </table>		Name	Execution Date	Naofumi SHINYA	10/23/2012	Takehisa MINOWA	10/23/2012		
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<table border="1"> <tr> <td>Name:</td> <td>Shin-Etsu Chemical Co., Ltd.</td> </tr> <tr> <td>Street Address:</td> <td>6-1, Ohtemachi 2-chome, Chiyoda-ku</td> </tr> <tr> <td>City:</td> <td>Tokyo</td> </tr> <tr> <td>State/Country:</td> <td>JAPAN</td> </tr> </table>		Name:	Shin-Etsu Chemical Co., Ltd.	Street Address:	6-1, Ohtemachi 2-chome, Chiyoda-ku	City:	Tokyo	State/Country:	JAPAN
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PROPERTY NUMBERS Total: 1									
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CORRESPONDENCE DATA									
Fax Number: 2028221111 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: 2028221100 Email: PatentMail@Whdapatentlaw.com Correspondent Name: Westerman, Hattori, Daniels & Adrian LLP Address Line 1: 1250 Connecticut Avenue NW, Address Line 2: Suite 700 Address Line 4: Washington,, DISTRICT OF COLUMBIA 20036									
ATTORNEY DOCKET NUMBER:	121336								
NAME OF SUBMITTER:	Crystal Boyer								
	This document serves as an Oath/Declaration (37 CFR 1.63).								
Total Attachments: 2 source=121336_Combined_Dec_Assignment#page1.tif source=121336_Combined_Dec_Assignment#page2.tif									

OP \$40.00 13675256

PATENT

Combined Declaration and Assignment for Utility and Design Patent Applications

DECLARATION

As a below named inventor, I hereby declare that:

I believe I am the original inventor or an original joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

Insert Title:

Negative Electrode Material for Lithium Ion Batteries

the application of which is attached hereto unless the following is checked

☐ was filed on _____ as United States Application Number _____ and was filed on _____ as PCT International Application Number _____ and was amended on _____ (if applicable).

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under section 1001 of title 18 by fine or imprisonment of not more than 5 years, or both.

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the adequacy and receipt of which is hereby acknowledged by the undersigned inventor(s) (hereinafter ASSIGNOR) by

Insert Assignee(s)
Name/Address:

**Shin-Etsu Chemical Co., Ltd. of
6-1, Ohtemachi 2-chome, Chiyoda-ku, Tokyo, Japan**

(hereinafter ASSIGNEE), the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the above-identified application (e.g., provisional or non-provisional) and all Letters Patent of the United States to be obtained therefore on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

The ASSIGNOR sells, assigns and transfers to said ASSIGNEE the entire and exclusive right, title and interest to the application(s) and the invention(s) disclosed therein for the United States of America and all countries foreign to the United States and do hereby authorize said ASSIGNEE to apply for patents therefore in its own name in countries where such procedure is proper and to claim the priority right under the International Convention and agrees to execute all papers necessary in connection with applications for such patents and any continuation, divisional, substitute, reissue or reexamination applications thereof and also execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

Docket No.:

Westerman, Hattori, Daniels & Adrian, LLP

Hereby executed by the undersigned on the date opposite the undersigned name:

Legal Name of inventor ⇒ Naofumi SHINYA Date: October 23, 2012

Inventor's Signature ⇒ *Naofumi Shinya*

Legal Name of inventor ⇒ Takehisa MINOWA Date: October 23, 2012

Inventor's Signature ⇒ *Takehisa Minowa*

Legal Name of inventor ⇒ Date:

Inventor's Signature ⇒

Legal Name of inventor ⇒ Date:

Inventor's Signature ⇒

Legal Name of inventor ⇒ Date:

Inventor's Signature ⇒

Legal Name of inventor ⇒ Date:

Inventor's Signature ⇒

Legal Name of inventor ⇒ Date:

Inventor's Signature ⇒

Note: Use an additional form for each additional inventor.