PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|-----------------------|----------------|
| Michal Lipson | 05/09/2011 |
| Sasikanth Manipatruni | 05/12/2011 |
| Long Chen | 05/03/2011 |
| Kyle Preston | 05/09/2011 |

RECEIVING PARTY DATA

| Name: | CORNELL UNIVERSITY |
|-------------------|----------------------------|
| Street Address: | CCTEC - 395 Pine Tree Road |
| Internal Address: | Suite 310 |
| City: | Ithaca |
| State/Country: | NEW YORK |
| Postal Code: | 14850 |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 13697866 |

CORRESPONDENCE DATA

Fax Number: 6073304015

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 607-330-4000 Email: bskpto@bsk.com

Correspondent Name: BOND, SCHOENECK & KING, PLLC
Address Line 1: 10 BROWN ROAD, SUITE 102
Address Line 4: ITHACA, NEW YORK 14850-1248

| ATTORNEY DOCKET NUMBER: | 606P056B/591570 |
|-------------------------|-----------------|
| NAME OF SUBMITTER: | William Greener |

Total Attachments: 7

PATENT REEL: 029295 FRAME: 0121 H \$40 00 136

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MICRO-RING ELECTRO-OPTIC MODULATOR STRUCTURE, RELATED METHODS AND APPLICATIONS

ASSIGNMENT

WHEREAS, We, Michal Lipson, a citizen of the United States of America, residing at 1 Millcroft Way, Ithaca, NY 14850, Sasikanth Manipatruni, a citizen of India, residing at Apartment H1, 301 Maple Avenue, Ithaca, NY 14850, Long Chen, a citizen of the People's Republic of China, residing at 682B Village Drive South, North Brunswick, NJ 07733, and Kyle Preston, a citizen of the United States of America, residing at 100 Graham Road Apartment 2G, Ithaca, NY 14850, invented certain new and useful improvements in MICRO-RING ELECTRO-OPTIC MODULATOR STRUCTURE, RELATED METHODS AND APPLICATIONS for which a PCT application for Patent in the United States Receiving Office is filed herewith.

AND WHEREAS, Cornell University, with its principal place of business at Cornell Center for Technology, Enterprise & Commercialization ("CCTEC"), 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell University, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Cornell University, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said Cornell University, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said Cornell University, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

1834449.1 4/25/2011

CCTEC Ref. No. 5112-03 (PC) BSK Ref. No. 606P056A MICRO-RING ELECTRO-OPTIC MODULATOR STRUCTURE, RELATED METHODS AND APPLICATIONS

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CCTEC Ref. No. 5112-03 (PC) BSK Ref. No. 606P056A

MICRO-RING ELECTRO-OPTIC MODULATOR STRUCTURE, RELATED METHODS AND APPLICATIONS

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NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell University, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Cornell University, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

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1834449.1 4/25/2011

$\operatorname{\mathsf{MICRO-RING}}$ ELECTRO-OPTIC MODULATOR STRUCTURE, RELATED METHODS AND APPLICATIONS

| | IN TESTIMONY WHEREOF, I hereunto set my hand this 3rd day of 2011 |
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| | Long Chen |
| Date | 5/3/2011 Witness 18th Old |
| Date | 5/3/2011 Witness Quelle R. Que |
| | IN TESTIMONY WHEREOF, I hereunto set my hand this day of, 2011 |
| | Kyle Preston |
| Date | Witness |
| Date | Witness |

1834449.1 4/25/2011

CCTEC Ref. No. 5112-03 (PC)

BSK Ref. No. 606P056A

APPLICATIONS

CCTEC Ref. No. 5112-03 (PC)

BSK Ref. No. 606P056A

APPLICATIONS

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MICRO-RING ELECTRO-OPTIC MODULATOR STRUCTURE, RELATED METHODS AND APPLICATIONS

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PATENT REEL: 029295 FRAME: 0129

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