

11/01/2012

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



103651081

HEET

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Alcan Finances Switzerland AG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 12/14/2010

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Engineered Products Switzerland AG

Internal Address:

Street Address: Max Hogger-Strasse 6

City: Zurich

State:

Country: Switzerland Zip: CH-8048

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

6,709,119, 6,848,797

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Fisher, Christen & Sabol

Internal Address:

Street Address: 1120 Connecticut Ave., N.W.

City: Washington

State: D.C. Zip: 20036

Phone Number: 202-659-2000

Docket Number: ATM-2209-1, ATM-2209

Email Address: FCSabol@aol.com

6. Total number of applications and patents involved: two

7. Total fee (37 CFR 1.21(h) & 3.41) \$80

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

11/01/2012 AMULLINS 00000020 6709119
Deposit Account Number

Authorized User Name

9. Signature:

Kara M. Armstrong
Signature

October 22, 2012
Date

Kara M. Armstrong

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

10

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Identification Number	Legal Status	Entry	Cancelled	Carried	
CH-170.3.005.840-6	Limited or Corporation (Ltd)	14.09.2005		from: on:	1

All Datas

In	Ca	Business name	Ref	Legal seat
0	1	Novelis Distribution AG	0	So far: Zug
0	1	(Novelis Distribution SA) (Novelis Distribution Ltd)	1	Zürich
1	3	Alcan Finances Switzerland AG		
1	3	(Alcan Finances Switzerland SA) (Alcan Finances Switzerland Ltd.)		
3	16	Engineered Products Switzerland AG		
3	16	(Engineered Products Switzerland SA) (Engineered Products Switzerland Ltd.)		
16		Constellium Switzerland AG		
16		(Constellium Switzerland SA) (Constellium Switzerland Ltd.)		

In	Ca	Share Capital (CHF)	Paid in (CHF)	Shares	In	Ca	Company address
0	1/ 3	400,000.00	400,000.00	400 bearer shares at CHF 1,000.00 100 registered shares at CHF 1,000.00	1		Max Högger-Strasse 6 8048 Zürich
1 3	3	600,000.00	600,000.00	600 registered shares at CHF 1,000.00			

In	Ca	Purpose	In	Ca	Postal address
1	3	The purpose of the company is the financing of affiliates and associated companies related to it in the same group in the domestic and international capital market and the execution of all activities required in connections with such financing. The company can be direct or indirect shareholders or the company or companies in which such direct or indirect shareholders are involved, grant direct or indirect financing, be this by means of loans or by the provision of securities of any kind, where their interests are promoted without fair equivalent. The company can acquire, recompense, administer and dispose of real estate. The company can, whilst observing the legal requirements, extend its activity to other areas which are directly or indirectly related to its purpose. The company can maintain branches and business premises at home and abroad. The company can have involvements in any form in companies at home and abroad, whose purpose is consistent with or serves that described hereinbefore, and can found, acquire, operate or sell such companies.			
3	12	As an affiliate furnishes services (in particular coordination, monitoring, planning, promotion, operational management, business management, administration, consultancy, trade, transport) for other affiliates or third parties operating in the areas of mining, metallurgy and metal processing (in particular of aluminium and its alloys) as well as in related or directly or indirectly associated areas in any kind. The company can acquire, recompense, administer and dispose of real estate. The company can, whilst			

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Constellium Switzerland AG

Zürich

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In	Ca	Purpose	In	Ca	Postal address
		observing the legal requirements, extend its activity to other areas which are directly or indirectly related to its purpose. The company can maintain branches and business premises at home and abroad. The company can have involvements in any form in companies at home and abroad, whose purpose is consistent with or serves that described hereinbefore, and can found, acquire, operate or sell such companies.			
12	13	The purpose of the company is the furnishing of services (in particular coordination, monitoring, planning, promotion, operational management, production management, development, business management, administration, consultancy, trade, buying and selling, selling of receivables (factoring), treasury and financial administration, transport, logistics and other commercial functions) for itself, for other affiliates or for third parties operating in the areas of mining, metallurgy and metal processing (in particular of aluminium and its alloys) as well as in related or directly or indirectly associated areas in any kind. These services include trade (including for its own account) with raw materials and other goods as well as currencies (including all derivatives and other financial products) for protection purposes (hedging) and other purposes. The company can grant its direct or indirect subsidiaries as well as third parties including its direct or indirect shareholders and its direct or indirect subsidiaries, direct or indirect financing and provide securities of all kinds for its own commitments and those of other companies, including by means of liens or fiduciary assignments of assets of the company or guarantee of any kind, whether in return for payment or not. The company can further enter into an equalization of liquidity/concentration of net liquidity (cash pooling) with the aforesaid companies or concur with such; including periodic account balancing (zero balancing). This under preferential conditions without interest, excluding the company's purpose of profit and undertaking cluster risks. The company can acquire, recompense, administer and dispose of real estate. The company can, whilst observing the legal requirements, extend its activity to other areas which are directly or indirectly related to its purpose. The company can maintain branches and business premises at home and abroad. The company can have involvements in any form in companies at home and abroad, whose purpose is consistent with or serves that described hereinbefore, and can found, acquire, operate or sell such companies.			
13		The purpose of the company is the furnishing of services (in particular coordination, monitoring, planning, promotion, operational management, production management, development, business management, administration, consultancy, trade, buying and selling, selling of receivables (factoring), treasury and financial administration, transport, logistics and other commercial functions)			

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In	Ca	Purpose	In	Ca	Postal address
		for itself, for other affiliates or for third parties operating in the areas of mining, metallurgy and metal processing (in particular of aluminium and its alloys) as well as in related or directly or indirectly associated areas in any kind. These services include trade (including for its own account) with raw materials and other goods as well as currencies (including all derivatives and other financial products) for protection purposes (hedging) and other purposes. The company can grant its direct or indirect subsidiaries as well as third parties including its direct or indirect shareholders and its direct or indirect subsidiaries, direct or indirect financing and provide securities of all kinds for its own commitments and those of other companies, including by means of liens or fiduciary assignments of assets of the company or guarantee of any kind, whether in return for payment or not. The company can further enter into an equalization of liquidity/concentration of net liquidity (cash pooling) with the aforesaid companies or concur with such; including periodic account balancing (zero balancing). This under preferential conditions without interest, excluding the company's purpose of profit and undertaking cluster risks. The company can acquire, recompense, administer and dispose of real estate. The company can, whilst observing the legal requirements, extend its activity to other areas which are directly or indirectly related to its purpose. The company can maintain branches and business premises at home and abroad. The company can have involvements in any form in companies at home and abroad, whose purpose is consistent with or serves that described hereinbefore, and can found, acquire, operate or sell such companies.			

In	Ca	Remarks	Ref	Date of the acts
0		The facts deleted prior to entry in the trade register of Zurich Canton as well as any previous journal entries and SHAB quotations can be seen in the register extract of the previous registered headquarters which is found in the deposited commercial register files.	0 1 3	26.04.1974 08.08.2005 13.11.2009
1		Communications of the company are made in writing to the addresses of the shareholders entered in the stock register or by one-off publication in the SHAB.	12 16	14.12.2010 16.06.2011
1		The transferability of the registered share is restricted according to the statutes.		
3		Proper increase in share capital.		

In	Ca	Qualified facts	Ref	Official publication
			0	SHAB

Zürich, 14.10.2011

COMMERCIAL REGISTER OF CANTON ZÜRICH

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Constellium Switzerland AG

Zürich

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In	Ca	Branch offices	In	Ca	Branch offices

Vis	Ref	No Journal	Date	SOGC	Date SOGC	Page / Id
ZH	0					
ZH	1	25550	14.09.2005	182	20.09.2005	20 / 3025438
ZH	2	18391	29.06.2007	128	05.07.2007	29 / 4010348
ZH	3	46722	01.12.2009	237	07.12.2009	25 / 5377012
ZH	4	B 2468	19.01.2010	B 16	25.01.2010	33 / 5457400
ZH	5	4294	28.01.2010	23	03.02.2010	28 / 5476066
ZH	6	6662	11.02.2010	33	17.02.2010	27 / 5498918
ZH	7	N 7271	16.02.2010	N 36	22.02.2010	28 / 5505976
ZH	8	10066	09.03.2010	51	15.03.2010	26 / 5541138
ZH	9	26572	19.07.2010	141	23.07.2010	27 / 5741896
ZH	10	34608	29.09.2010	193	15.10.2010	23 / 5838888
ZH	11	37684	26.10.2010	212	01.11.2010	24 / 5875436
ZH	12	44179	15.12.2010	248	21.12.2010	33 / 5950512
ZH	13	B 44820	20.12.2010	B 251	24.12.2010	33 / 5957624
ZH	14	111	03.01.2011	5	07.01.2011	34 / 5976092
ZH	15	7250	21.02.2011	40	25.02.2011	22 / 6050350
ZH	16	22535	22.06.2011	122	27.06.2011	6222102
ZH	17	24810	07.07.2011	133	12.07.2011	6249822
ZH	18	32858	09.09.2011	178	14.09.2011	6334988

In	Mo	Ca	Personal Data	Function	Signature
0		3m	Burkhalter, Peter, from Langnau in Emmental, in Hembrechtliken	President of the Board of Directors	Joint signature of two
0		2	Davidsson, Peter, Swedish citizen, in Zelliken	Member of the Board of Directors	Joint signature of two
0		2m	Stenek, Michael, from Birsfelden, in Zelliken	Member of the Board of Directors	Joint signature of two
0		1	Fidertis Revisions AG, in Zürich	Auditor	
1		11	Zwehlen, Dirk, from Matten bei Interlaken, in Winkel		Joint signature of two
1		9m	PricewaterhouseCoopers AG, in Zürich	Auditor	
2		15	Guth, Andreas, German citizen, in Zumliken	Member of the Board of Directors	Joint signature of two
	2	3	Stenek, Michael, from Birsfelden, in Frick	Member of the Board of Directors	Joint signature of two
3		15	Lambert, Michael, from Chippis, in Venthône	President of the Board of Directors	Joint signature of two
	3	15	Burkhalter, Peter, from Langnau in Emmental, in Hembrechtliken	Member of the Board of Directors	Joint signature of two
3		17	Curry, Duncan, Canadian citizen, in Freienbach	Director	Joint signature of two
3		7m	Schmitz, Dr. Wolfgang, German citizen, in Küsnacht ZH	Director	Joint signature of two
3		18	Brodbeck, Ralf, German citizen, in Zürich	Vice director	Joint signature of two
3			Bürgi, Walter, from Fischingen, in Berneck	Vice director	Joint signature of two
3		6	Fretz, Ramon, from Bettenwil AG, in Oberengstringen	Vice director	Joint signature of two
3		4m	Baumann-Sick, Sieglinde, German citizen, in Steisslingen (DE)		Joint signature of two

Zürich, 14.10.2011

PATENT
REEL: 029340 FRAME: 0311

COMMERCIAL REGISTER OF CANTON ZÜRICH

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In	Mo	Ca	Personal Data	Function	Signature
3			Burton, Reiner, German citizen, in Ispringen (DE)		Joint signature of two
3			Leduc, Michel, French citizen, in Colmar (FR)		Joint signature of two
3			Rouault, Philippe, French citizen, in Sierre		Joint signature of two
3		17	Müller, Edith, from Ermensee, in Hünenberg-See		Joint agent signature of two
3			Strecker, Dr. Nanja, German citizen, in Zürich		Joint agent signature of two
	4		Baumann-Sick, Sieglinde, German citizen, in Steisslingen (DE)		Joint agent signature of two
5			Villemin, Christophe, French citizen, in Montreux	Director	Joint signature of two
5			Delbos, Clotilde, French citizen, in Houilles (FR)		Joint signature of two
5		17	<u>Habib, Chadi, Canadian citizen, in Paris (FR)</u>		<u>Joint signature of two</u>
5		15	<u>Jones, Rodney Charles, British citizen, in Northants (UK)</u>		<u>Joint signature of two</u>
5			Musy, Laurent, French citizen, in Paris (FR)		Joint signature of two
5			Tournay, Bertrand, French citizen, in Marly le Roi (FR)		Joint signature of two
5			Le Tadic, Fabienne, French citizen, in Lausanne		Joint agent signature of two
6		15	Dodi, Maure, Italian citizen, in Abbiateggrasse (IT)		Joint signature of two
6		17	Leimbach, Hilmer, German citizen, in Stöfe		Joint agent signature of two
	7	9	Schmitz, Dr. Wolfgang, German citizen, in Küsnacht ZH	Director	Joint signature of two
8			Knobelspies, Dieter, German citizen, in Orsingen-Nenzingen (DE)		Joint agent signature of two
		9	PricewaterhouseCoopers AG (CH-020.3.020.876.5), in Zürich	Auditor	
10			Gamba, Elenia, Italian citizen, in Zürich		Joint signature of two
14		15m	Mortier, Patrick, Belgian citizen, in Hamburg (DE)	Director	Joint signature of two
	15		Mortier, Patrick, Belgian citizen, in Hamburg (DE)	President of the Board of Directors	Joint signature of two
15		17	Caferio, Francesco, Italian citizen, in Paris (FR)	Member of the Board of Directors	Joint signature of two
15			Ith, Peter, from Schaffhausen, in Maur		
17			Altmeyer, Daniel James, American citizen, in Zug	Member of the Board of Directors	Joint signature of two
17			Dermarkar, Salim, French citizen, in Urdorf		Joint signature of two
17			Künzi, Sylvain, from Erlach, in Miège		Joint signature of two
17			Krempper, Bruno, French citizen, in Wissembourg (FR)		Joint signature of two
17			Raquet, René, German citizen, in Konstanz (DE)		Joint signature of two
18			Lens, William J.M.J, Belgian citizen, in Magden		Joint signature of two
18			Roger, Pascal, French citizen, in Au (DE)		Joint signature of two

Zürich, 14.10.2011

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Zürich, 14.10.2011

RECORDED: 10/25/2012

PATENT
REEL: 029340 FRAME: 0312