

Form PTO-1595 (Rev. 06-12)  
OMB No. 0651-0027 (exp. 04/30/2015)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Dart Neuroscience LLC

Additional name(s) of conveying party(ies) attached?  Yes  No**2. Name and address of receiving party(ies)**Name: Dart Neuroscience (Cayman) Ltd.Internal Address: c/o Atlas Holdings Limited

Suite 3107, 1st Floor, Gardenia Court

Street Address: 45 Market StreetCity: Camana BayState: Grand CaymanCountry: Cayman Islands Zip: KY1-1205Additional name(s) & address(es) attached?  Yes  No**3. Nature of conveyance/Execution Date(s):**Execution Date(s) June 28, 2012

- Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

**4. Application or patent number(s):** This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

See Exhibit A

Additional numbers attached?  Yes  No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Erin Ator ThomsonInternal Address: Vinson & Elkins LLPStreet Address: 1001 Fannin, Suite 2500City: HoustonState: TX Zip: 77002-6760Phone Number: 512.542.8762Docket Number: DAR567/13000Email Address: iptldocket@velaw.com**6. Total number of applications and patents involved:** 19**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 760.00

- Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**Deposit Account Number 22-0365Authorized User Name Erin A. Thomson**9. Signature:** Erin A. Thomson

Signature

November 6, 2012

Date

Erin A. Thomson

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

*Execution Version***Exhibit A**

<i>Application No.</i>	<i>Filing Date</i>	<i>Patent No.</i>	<i>Issue Date</i>
<b>Family 1—COMPOUND USEFUL FOR THE TREATMENT OF NEUROPATHIC PAIN/MEMORY IMPAIRMENTS</b>			
10/637,299	8/7/2003	7,220,775	5/22/2007
11/608,746	12/8/2006	7,642,281	1/5/2010
12/651,638	1/4/2010		
<b>Family 2—THERAPEUTIC PIPERAZINES</b>			
11/679,782	2/27/2007	7,829,713	11/9/2010
12/873,452	9/1/2010		
12/861,213	8/23/2010		
12/887,920	9/22/2010		
<b>Family 3—PYRAZOLE COMPOUNDS AND USES THEREOF</b>			
11/679,775	2/27/2007	7,919,826	4/5/2011
12/849,418	8/3/2010		
12/875,862	12/22/2010		
<b>Family 4—THERAPEUTIC PYRAZOLOQUINOLINE UREA DERIVATIVES</b>			
12/135,023	6/6/2008	7,863,266	1/4/2011
12/955,792	11/29/2010		
<b>Family 5—THERAPEUTIC PYRAZOLOQUINOLINE DERIVATIVES</b>			
12/135,036	6/6/2008	7,872,002	1/18/2011
12/949,655	11/18/2010		
<b>Family 6—THERAPEUTIC PYRAZOLONAPHTHYRIDINE DERIVATIVES</b>			
12/135,045	6/6/2008	7,858,614	12/28/2010
12/949,619	11/18/2010		

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*Exhibit A*

US 1416486v.2

*Execution Version*

Family 7			
13/270,892			
Family 8—THERAPEUTIC ISOXAZOLE COMPOUNDS			
12/198,686	8/26/2008		
13/448,052	4/16/2012		

*Execution Version*

### **Patent Assignment**

THIS PATENT ASSIGNMENT (this "*Assignment*"), dated as of January 1, 2012 (the "*Effective Date*"), is made by and between:

Dart Neuroscience LLC, a Delaware limited liability company ("*Assignor*"), and

Dart Neuroscience (Cayman) Ltd., an exempted company incorporated under the laws of the Cayman Islands ("*Assignee*").

Assignor and Assignee are individually referred to as a "*Party*" and collectively as the "*Parties*."

### **ASSIGNMENT**

NOW THEREFORE, in consideration of the mutual covenants and promises contained herein, and for other good and valuable consideration, the receipt and adequacy of which are hereby acknowledged:

The Assignor does hereby:

SELL, ASSIGN AND TRANSFER to Assignee, the entire right, title and interest in the patents and patent applications listed on **Exhibit A**, attached hereto, and any additions, provisionals, continuations, continuations-in-part, divisionals, continued prosecution applications, reissues, re-examination patents or applications thereof, all corresponding foreign applications or patents thereof, or any other applications or patents claiming benefit of the filing date of any such application or patent listed on Exhibit A (the "*Assigned Interests*");

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on any of the Assigned Interests to Assignee;

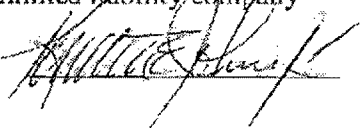
WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by Assignor, and that the full right to convey the same as herein expressed is possessed by Assignor; and

COVENANT that, when requested by Assignee and at the sole expense of Assignee, to carry out in good faith the intent and purpose of this Assignment, Assignor will (a) execute all divisional, continuing, continuation-in-part, substitute, renewal, reissue, and all other patent applications on any and all of the Assigned Interests, (b) execute all rightful oaths, declarations, assignments, powers of attorney and other papers related to the Assigned Interests, (c) communicate to Assignee all facts known to Assignor relating to the Assigned Interests and the history thereof, and (d) generally do everything possible which the Assignee will consider desirable for securing, maintaining and enforcing proper patent protection for such Assigned Interests and for vesting title to the Assigned Interests in the Assignee;

*Execution Version*

TO BE BINDING on the heirs, assigns, representatives and successors of Assignor and extend to the successors, assigns and nominees of Assignee.

**DART NEUROSCIENCE LLC,**  
a Delaware limited liability company

By: 

Dated: \_\_\_\_\_

~~This instrument was acknowledged before me on \_\_\_\_\_, 2012, by \_\_\_\_\_, \_\_\_\_\_ of Dart Neuroscience LLC, a Delaware limited liability company, on behalf of said company.~~

[SEAL]

*see attached paper*

\_\_\_\_\_  
Notary or Consular Officer

# CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

State of California

County of San Diego

On June 28, 2012 before me, Z. Sabir, Notary Public  
(here insert name and title of the officer)

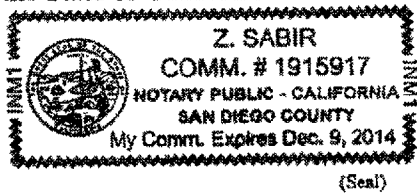
personally appeared Kenneth Johns

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he~~ she they executed the same in ~~his~~ her their authorized capacity(ies), and that by ~~his~~ her their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Z. Sabir  
Signature of Notary Public



## ADDITIONAL OPTIONAL INFORMATION

### INSTRUCTIONS FOR COMPLETING THIS FORM

Any acknowledgment completed in California must contain verbiage exactly as appears above in the notary section or a separate acknowledgment form must be properly completed and attached to that document. The only exception is if a document is to be recorded outside of California. In such instances, any alternative acknowledgment verbiage as may be printed on such a document so long as the verbiage does not require the notary to do something that is illegal for a notary in California (i.e. certifying the authorized capacity of the signer). Please check the document carefully for proper notarial wording and attach this form if required.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. he/she/they- is /are ) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
  - ❖ Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document.
  - ❖ Indicate title or type of attached document, number of pages and date.
  - ❖ Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document

### DESCRIPTION OF THE ATTACHED DOCUMENT

(Title or description of attached document)

(Title or description of attached document continued)

Number of Pages \_\_\_\_\_ Document Date \_\_\_\_\_

(Additional information)

### CAPACITY CLAIMED BY THE SIGNER

- Individual (s)
- Corporate Officer

(Title)

- Partner(s)
- Attorney-in-Fact
- Trustee(s)
- Other \_\_\_\_\_