

11/13/2012



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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Sumio SHIMIZU
Robert Gordon SMITH

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) OCTOBER 2, 2012

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other

2. Name and address of receiving party(ies)

Name: SEIKO INSTRUMENTS INC.

Internal Address: _____

Street Address: 8, NAKASE 1-CHOME, MIHAMA-KU

City: CHIBA-SHI, CHIBA

State: _____

Country: JAPAN Zip: 261-8507

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

13/598, 825

B. Patent No.(s)

Additional numbers attached? Yes No



5. Name and address to whom correspondence concerning document should be mailed:

Name: BRUCE L. ADAMS, ESQ.

Internal Address: _____

Street Address: ADAMS & WILKS
17 BATTERY PLACE-SUITE 1343

City: NEW YORK

State: NEW YORK Zip: 10004

Phone Number: 212-809-3700

Docket Number: S004-7011

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged to deposit account -- any deficiency in enclosed fee
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 01-0268

11/14/2012 AMULL THE 00000027 17500325
Authorized User Name ADAMS & WILKS

01 FC:8921 40.00

9. Signature:

BRUCE L. ADAMS
Signature

OCT. 31, 2012
Date

BRUCE L. ADAMS
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ADDITIONAL NAME(S) AND ADDRESS(ES) OF RECEIVING PARTY(IES)

Name: SEIKO INSTRUMENTS (Thailand) LTD.

Street Address: 60/83 Moo 19, Nava-Nakorn Industrial
Estate Zone 3, Klong Nueng, Klong Luang

City: Pathumthani

State: _____

Country: Thailand Zip: 12120

Name: _____

Street Address: _____

City: _____

State: _____

Country: _____ Zip: _____

Name: _____

Street Address: _____

City: _____

State: _____

Country: _____ Zip: _____

ASSIGNMENT

WHEREAS, we, Sumio SHIMIZU, residing at c/o SEIKO INSTRUMENTS INC., 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan; and Robert Gordon SMITH, residing at c/o SEIKO INSTRUMENTS (Thailand) LTD., 60/83 Moo 19, Nava-Nakorn Industrial Estate Zone 3, Klong Nueng, Klong Luang, Pathumthani, 12120, Thailand, have invented new and useful improvements in BEARING APPARATUS AND INFORMATION RECORDING/REPRODUCTION APPARATUS, for which we have prepared an application for Letters Patent of the United States of America;

AND WHEREAS, SEIKO INSTRUMENTS INC., a Japanese corporation located and doing business at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba 261-8507, Japan; and SEIKO INSTRUMENTS (Thailand) LTD., a Thailand corporation located and doing business at 60/83 Moo 19, Nava-Nakorn Industrial Estate Zone 3, Klong Nueng, Klong Luang, Pathumthani, 12120, Thailand, are desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;


NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of Ten Dollars (\$10.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we have assigned, sold and transferred and by these presents do hereby assign, sell and transfer unto the said SEIKO INSTRUMENTS INC. and SEIKO INSTRUMENTS (Thailand) LTD. for the territory of the United States of America, our entire right, title and interest in and to the said invention, and in and to the said application, prepared and executed by us on SEP. 30, 2012; & OCT. 2, 2012, respectively,

filed August 30, 2012, Appln. No. 13/598,825, preparatory to obtaining Letters Patent thereon and in and to any and all divisions, reissues and continuations thereof, and in and to any Letters Patent that may be granted thereon; said invention, application and Letters Patent to be held and enjoyed by the said SEIKO INSTRUMENTS INC. and SEIKO INSTRUMENTS (Thailand) LTD., its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment, sale and transfer not been made.

AND, we hereby covenant and agree that we will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and we hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said SEIKO INSTRUMENTS INC. and SEIKO INSTRUMENTS (Thailand) LTD. in accordance with this assignment.

Executed this 2nd day of October 2012.

Witness:


Sumio SHIMIZU (L.S.)


Robert Gordon SMITH (L.S.)