

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies): Bryan D. Greer (11/19/2012) and Erik Bjornard (11/21/2012) Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>SAGE Electrochromics, Inc.</u> Internal Address: _____ Street Address: _____ One Sage Way City: <u>Faribault</u> State: <u>Minnesota</u> Country: <u>United States of America</u> Zip: <u>55021</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
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3. Nature of conveyance/Execution Date(s):
 Execution Date(s): In parentheses after inventor name
 Assignment Merger Change of Name
 Security Agreement Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

4. Application or patent number(s): This document is being filed together with a new application.
 A. Patent Application No.(s)
 13/650,952
 B. Patent No.(s)

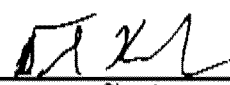
 Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:
 Name: David J. Kohn
LENER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

 Internal Address: Atty. Dkt.: SAGE 3.0-047
 Street Address: 600 South Avenue West

 City: Westfield
 State: NJ Zip: 07090
 Phone Number: 908-654-5000
 Fax Number: 908-654-7866
 Email Address: ataylor@ldlkm.com
6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information
 Deposit Account Number 12-1095
 Authorized User Name David J. Kohn
9. Signature:


 Signature

 Date November 29, 2012

 Name of Person Signing David J. Kohn - 68,909
 Total number of pages including cover sheet, attachments, and documents: 3

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CH \$40.00 121095 13850952

former PTO/SB/15 (8-00) Modified LDLK&M

ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional) SAGE 3.0-047
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Whereas, I, Bryan D. Greer of
1803 Aspen Ct; Northfield, Minnesota 55057

hereafter referred to as assignor, have with others invented certain new and useful improvements in
PARTIALLY TINTED CLEAR STATE FOR IMPROVED COLOR AND SOLAR-HEAT GAIN
CONTRAL OF ELECTROCHROMIC GLAZINGS

- for which an application for a United States Letters Patent was filed on October 12, 2012
Application Number 13/650,952
- for which an application for a United States Letters Patent was executed by me on _____
and _____

Whereas, SAGE Electrochromics, Inc.
a corporation of New Jersey herein referred to as "assignee" whose mailing address is
One Sage Way; Faribault, Minnesota 55021

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

Nov 19, 2012 (Date) Bryan D Greer (Signature)

State of Minnesota) SS:
County of Rice)

On this 19 day of November, 2012 before me personally came the above-named Bryan D. Greer, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Katherine M. Riewe
(Notary Public)

*Total of 2 forms are submitted.

former PTO/SB/15 (8-00) Modified LDLK&M

ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional) SAGE 3.0-047
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Whereas, I, Erik Bjornard of 5590 Endwood Trail; Northfield, Minnesota 55057

hereafter referred to as assignor, have with others invented certain new and useful improvements in PARTIALLY TINTED CLEAR STATE FOR IMPROVED COLOR AND SOLAR-HEAT GAIN CONTRAL OF ELECTROCHROMIC GLAZINGS

for which an application for a United States Letters Patent was filed on October 12, 2012

Application Number 13/650,952

for which an application for a United States Letters Patent was executed by me on _____ and _____

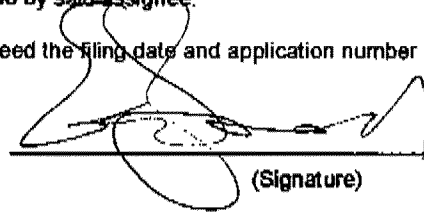
Whereas, SAGE Electrochromics, Inc. a corporation of New Jersey herein referred to as "assignee" whose mailing address is One Sage Way, Faribault, Minnesota 55021

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

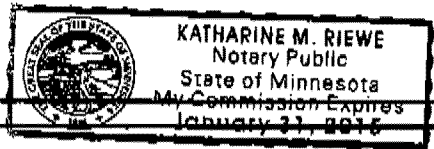
11/21/12
(Date)


(Signature)

State of Minnesota) SS:
County of Rice)

On this 21 day of November, 2012 before me personally came the above-named Erik Bjornard, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Katharine M. Riewe
(Notary Public)



*Total of 2 forms are submitted.