

## PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Victor Sperandeo	11/29/2004
RECEIVING PARTY DATA	
Name:	Alpha Financial Technologies, LLC
Street Address:	1143 South Main Street
City:	Grapevine
State/Country:	TEXAS
Postal Code:	76051
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13365173
CORRESPONDENCE DATA	
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<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Correspondent Name:	Cynthia Minchillo
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ATTORNEY DOCKET NUMBER:	AFT:1004CON
NAME OF SUBMITTER:	Cynthia Minchillo
Total Attachments: 1 source=AFT 1004CON Assignment 121212#page1.tif	

OP \$40.00 13365173

**ASSIGNMENT OF PATENT APPLICATION**

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to **Alpha Financial Technologies, LLC** (the "Assignee"), having a place of business at **14643 Dallas Parkway, Suite 230, Dallas, Texas 75254**, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for **United States Letters Patent, Serial No. 10/987,583**, which has been filed on **11/12/2004** and is entitled "**Multi-Asset Participation Structured Note and Swap Combination**," such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

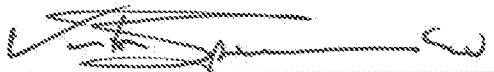
AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned:

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

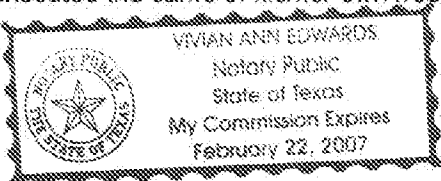
  
Inventor's Signature

11/29/04  
DATE

Victor Sperandio  
Inventor's Printed Name

STATE OF Texas }  
COUNTY OF Dallas } SS

On this 29<sup>th</sup> day of November, 2004, before me personally came the above named        who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



  
NOTARY PUBLIC  
My Commission Expires: 2/22/07