PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Andreas Barth	11/29/2012
Julius Muschaweck	12/14/2012
Uli Hiller	11/19/2012
Michael Sailer	12/04/2012

RECEIVING PARTY DATA

Name:	OSRAM Opto Semiconductors GmbH
Street Address:	Leibnizstrasse 4
City:	Regensburg
State/Country:	GERMANY
Postal Code:	93055

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13695802

CORRESPONDENCE DATA

Fax Number: 2156562498

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

215-656-3381 Phone:

Email: pto.phil@dlapiper.com Correspondent Name: T. Daniel Christenbury Address Line 1: One Liberty Place

Address Line 2: 1650 Market Street, Suite 4900 Philadelphia, PENNSYLVANIA 19103 Address Line 4:

ATTORNEY DOCKET NUMBER:	EHF-12-1213
NAME OF SUBMITTER:	Carol Conev

Total Attachments: 15 **PATENT**

REEL: 029525 FRAME: 0668 502175188



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S.021

Docket No.: EHF-12-1213 / P2010,0913 US N Page 1 of 3

ASSIGNMENT

For good and valuable consideration, I (We),

Andreas <u>Barth</u>, residing at Drosselweg 9 91220 Schnaittach Germany citizen of Germany

Julius Muschaweck, residing at Zugspitzstr. 66 82131 Gauting Germany citizen of Germany

Dr. Uli <u>Hiller</u>, residing at Am Schwimmbad 5 93077 Bad Abbach Germany citizen of Germany

Michael Sailer, residing at Nachtweide 5 93098 Wolfskofen Germany citizen of Germany

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to OSRAM Opto SemIconductors GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstraße 4 in 93055 Regensburg, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted

Sheet 11 of 15

Docket No.: EHF-12-1213 / P2010,0913 US N Page 2 of 3

Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: Planar light guide and lighting device

Filing Date: 11/02/2012 (MM/DD/YYYY)

Filing Number: 13/695,802

Internal Case Number: EHF-12-1213

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

- (II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and
- (III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Witness Signature

KARIN SCHICKLINSKI printed name of witness

S.023

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Docket No.: EHF-12-1213 / P2010,0913 US N Page 3 of 3

Witness Signature	Julius Muschaweck
printed name of witness	Date
Witness Signature	Uli Hiller
withess Signature	Oli Miliel
printed name of witness	Date
Witness Signature	Michael Sailer
printed name of witness	Date
	Companies Representative(s) OSRAM Opto Semiconductors GmbH Signature
	Name Date
	Signature
	Name
	Date

S.003

Sheet 1 of 15

Docket No.: EHF-12-1213 / P2010,0913 US N Page 1 of 3

ASSIGNMENT

For good and valuable consideration, I (We),

Andreas Barth, residing at Drosselweg 9 91220 Schnaittach Germany citizen of Germany

Julius Muschaweck, residing at Zugspitzstr. 66 82131 Gauting Germany citizen of Germany

Dr. Uli Hiller, residing at Am Schwimmbad 5 93077 Bad Abbach Germany citizen of Germany

Michael <u>Sailer</u>, residing at Nachtweide 5 93098 Wolfskofen Germany citizen of Germany

hereafter individually or collectively referred to as "Assignor(s)";

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(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted

Sheet 2 of 15

Docket No.: EHF-12-1213 / P2010,0913 US N Page 2 of 3

Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: Planar light guide and lighting device

EPPING HERMANN FISCHER

Filing Date: 11/02/2012 (MM/DD/YYYY)

Filing Number: 13/695,802

Internal Case Number: EHF-12-1213

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

- (II). Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and
- (III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Andreas Barth
Date

S.005

Sheet 3 of 15

Docket No.: EHF-12-1213 / P2010,0913 US N Page 3 of 3

printed name of witness Witness Signature Uli Hiller Date printed name of witness Witness Signature Michael Sailer printed name of witness Date Companies Representative(s) OSRAM Opto Semiconductors GmbH Signature Name Date Signature Name Date

Sheet 4 of 15

Docket No.: EHF-12-1213 / P2010,0913 US N Page 1 of 3

ASSIGNMENT

For good and valuable consideration, I (We),

Andreas <u>Barth</u>, residing at Drosselweg 9 91220 Schnaittach Germany citizen of Germany

Julius Muschaweck, residing at Zugspitzstr. 66 82131 Gauting Germany citizen of Germany

Dr. Uli Hiller, residing at Am Schwimmbad 5 93077 Bad Abbach Germany citizen of Germany

Michael Sailer, residing at Nachtweide 5 93098 Wolfskofen Germany citizen of Germany

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(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted

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Docket No.: EHF-12-1213 / P2010,0913 US N Page 2 of 3

Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: Planar light guide and lighting device

Filing Date: 11/02/2012 (MM/DD/YYYY)

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Internal Case Number: EHF-12-1213

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filled in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filled in the United States and all foreign countries on the inventions and/or improvements;

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- (III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Witness Signature	Andreas Barth	
printed name of witness	Date	

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Docket No.: EHF-12-1213 / P2010,0913 US N Page 3 of 3

	•
Witness Signature	Julius Muschaweck
printed name of witness	Date
In Muther	Walte
Witness Signature	Uli Hiller
Amin Wetterer	November 19, 2012
printed name of witness	Date
Add Add No. (1997)	
Witness Signature	Michael Sailer
printed name of witness	Date
printed name of witness	Date
	Companies Representative(s)
	OSRAM Opto Semiconductors GmbH
	Signature
	Name
	Date
	Signature Name
	Date
•	Date

Sheet 7 of 15

S.015

Docket No.: EHF-12-1213 / P2010,0913 US N Page 1 of 3

ASSIGNMENT

For good and valuable consideration, I (We),

Andreas <u>Barth</u>, residing at Drosselweg 9 91220 Schnaittach Germany citizen of Germany

Julius Muschaweck, residing at Zugspitzstr. 66 82131 Gauting Germany citizen of Germany

Dr. Uli <u>Hiller</u>, residing at Am Schwimmbad 5 93077 Bad Abbach Germany citizen of Germany

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Docket No.: EHF-12-1213 / P2010,0913 US N Page 2 of 3

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Patent Application Title: Planar light guide and lighting device

Filing Date: 11/02/2012 (MM/DD/YYYY)

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- (III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Witness Signature	Andreas Barth
printed name of witness	Date

Sheet 9 of 15

Docket No.: EHF-12-1213 / P2010,0913 US N Page 3 of 3

Witness Signature	Julius Muschaweck
printed name of witness	Date
Witness Signature	Uli Hiller
printed name of witness	Date
Witness Signature Byzycki Oliver printed name of witness	Michael Sailer O4/12/2012 Date
	Companies Representative(s) OSRAM Opto Semiconductors GmbH Signature Name Date Signature Name Date

Sheet 13 of 15

Docket No.: EHF-12-1213 / P2010,0913 US N Page 1 of 3

ASSIGNMENT

For good and valuable consideration, I (We),

EPPING HERMANN FISCHER

Andreas Barth, residing at Drosselweg 9 91220 Schnaittach Germany citizen of Germany

Julius Muschaweck, residing at Zugspitzstr. 66 82131 Gauting Germany citizen of Germany

Dr. Uli Hiller, residing at Am Schwimmbad 5 93077 Bad Abbach Germany citizen of Germany

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Sheet 14 of 15

Docket No.: EHF-12-1213 / P2010,0913 US N Page 2 of 3

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Patent Application Title: Planar light guide and lighting device

Filing Date: 11/02/2012 (MM/DD/YYYY)

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Witness Signature	Andreas Barth	
printed name of witness	Date	

Sheet 15 of 15

Docket No.: EHF-12-1213 / P2010,0913 US N Page 3 of 3

Witness Signature	Julius Muschaweck
printed name of witness	Date
Addition	
Witness Signature	UJi Hiller
printed name of witness	Date
Witness Signature	Michael Sailer
printed name of witness	Date
	Companies Representative(s) OSRAM Opto Semiconductors GmbH Signature
	Name Aldo Kalmper President & CEO
	Date 1 5 NAV 2012
·	Signature / Cyrll
	Name Josef Ringsgwandl
	Date TENCY 2012