PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:		NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT		
CONVEYING PARTY	DATA			
N		Name	Execution Date	
Rakesh K. Lal			10/02/2012	
	ΔΤΑ			
Name:	Transphorm Inc.			
Street Address:	115 Castillian Drive			
City:	Goleta			
State/Country:	CALIFORNIA			
Postal Code:	93117			
PROPERTY NUMBERS Total: 1 Property Type Application Number: 13019		Number]	
Application Number:				9733
Application Number:	1301			13019733
CORRESPONDENCE	1301 E DATA 8777697945	9733		
CORRESPONDENCE Fax Number: <i>Correspondence will L</i>	E DATA 8777697945 be sent via US Mail v	9733 when the fax attempt is unsuccessful.		
CORRESPONDENCE	E DATA 8777697945 be sent via US Mail v (650) 839-507	9733 when the fax attempt is unsuccessful.		\$40.00
CORRESPONDENCE Fax Number: <i>Correspondence will L</i> Phone:	E DATA 8777697945 be sent via US Mail v (650) 839-507 apsi@fr.com	9733 when the fax attempt is unsuccessful. '0		\$40.00
CORRESPONDENCE Fax Number: <i>Correspondence will L</i> Phone: Email:	E DATA 8777697945 be sent via US Mail v (650) 839-507 apsi@fr.com : Roger S. Boro	9733 when the fax attempt is unsuccessful. '0		
CORRESPONDENCE Fax Number: <i>Correspondence will L</i> Phone: Email: Correspondent Name Address Line 1: Address Line 2:	E DATA 8777697945 be sent via US Mail u (650) 839-507 apsi@fr.com : Roger S. Bord FISH & RICH, P.O.BOX 102	9733 when the fax attempt is unsuccessful. 70 DVoy ARDSON P.C. 2		\$40.00
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ASSIGNMENT

For valuable consideration, I, RAKESH K. LAL of 6754 Abrego Road, #19, Isla Vista, California 93117, hereby assign to: Transphorm Inc., a corporation of Delaware having a place of business at: <u>115 Castillian Drive, Goleta, California 93117</u>; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled III-N DEVICE STRUCTURES AND METHODS, filed February 2, 2011, and assigned U.S. Serial Number 13/019,733, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date: Oct 2, 2012

Che

RAKESH K. LAL

Witnessed by:

Name: <u>Noriker Kagumi</u> Date: <u>10/02/2012</u> Name: <u>Ja be-4</u> Date: <u>10/2/2012</u>

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