

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Sylvestre Marillonnet (05/19/2005), Victor Klimyuk (05/19/2005), Yuri Gleba (05/19/2005), Stefan Werner (05/19/2005),

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

2. Name and address of receiving party(ies)

Name: ICON GENETICS AG

Internal Address: _____

Street Address: _____

Briennerstr. 12a

City: Munich

State: _____

Country: Germany Zip: 80333

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

8,193,410

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Shawn P. Foley
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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Shawn P. Foley

9. Signature:

Shawn P. Foley
Signature

December 17, 2012

Date

Shawn P. Foley - 33,071

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

RECORDATION FORM COVER SHEET (PTO-1595) (supplemental sheet)	
Additional Conveying Party(ies)/Execution Date(s) (1. Continued): Anatoly Gritch (05/19/2005), and Serik Eliby (05/19/2005)	
Additional Assignees (2. Continued):	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Additional Applications and/or Patents (4. Continued):	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	

Q5(THU) 15:43

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TEL:9198622260

1.000

PCT-42135

ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

Icon Genoties AG
Briennerstr. 12a
80333 München, Germany DE

its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries in and to the invention in **"TRANSGENIC PLANTS WITH CONTROLLED DISTRIBUTION OF A TRAIT TO PROGENY"**

as set forth in this United States Patent Application

- ☐ executed concurrently herewith
☐ executed on
☒ Application No. 10/514,905, filed November 17, 2004
☒ Application claims priority from International Application No. PCT/EP03/02986, filed March 21, 2003, which claims priority to German Application No. 102 24 214.3 filed May 31, 2002, and German Application No. 102 24 980.6 filed June 5, 2002, all applications listed above being hereinafter referred to as the "application(s)";

said application for United States Letters Patent, including all divisional, renewal, substitute, continuation, nonprovisionals, continuation-in-parts, and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues, reexaminations, and extensions of Letters Patent granted for said inventions or upon said applications and every priority right that is or may be predicated upon or arise from said inventions, said applications, and said Letters Patent; said Assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said Assignee or otherwise as said Assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America being hereby authorized to issue or transfer all said Letters Patent to said Assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will do all acts reasonably serving to ensure that the said inventions, patent applications and Letters Patent shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, declarations, and lawful affidavits in form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may embody said inventions, and to testify in any proceedings relating to said inventions, patent applications, and/or Letters Patent.

RTA01/2167758v1

PATENT
REEL: 016351 FRAME: 0052

PATENT
REEL: 029582 FRAME: 0146

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The undersigned hereby grant(s) an authorized representative of Assignee the power to insert in this Assignment any further identification that may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

May 19, 2005
Date
Signature of Inventor: Stefan Werner

Witness [Signature] Date May 19, 2005

Witness [Signature] Date May 19, 2005

May 19, 2005
Date
Signature of Inventor: Alexoly Giritch

Witness [Signature] Date May 19, 2005

Witness [Signature] Date May 19, 2005

May 19, 2005
Date
Signature of Inventor: S. Erby

Witness [Signature] Date May 19, 2005

Witness [Signature] Date May 19, 2005

May 19, 2005
Date
Signature of Inventor: Sylvestre Marillonnet

Witness [Signature] Date May 19, 2005

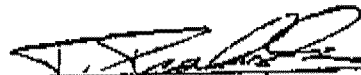
Witness [Signature] Date May 19, 2005

Attorney Docket No. 049202/283286
RTA01/2167758v1

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

May 19, 2005
Date


Signature of Inventor: Victor Klimyuk


Witness 

Date May 19, 2005

Witness 

Date May 19, 2005

May 19, 2005
Date


Signature of Inventor: Yuri Gleba

Witness 

Date May 19, 2005

Witness 

Date May 19, 2005

Attorney Docket No. 049202/283286
RTA01/2167758v1

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RECORDED: 06/16/2005

PATENT
REEL: 016351 FRAME: 0054

RECORDED: 12/17/2012

PATENT
REEL: 029582 FRAME: 0148