

Form PTO-1595 (Rev. 06-12)
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Oclaro Technology, PLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) June 30, 2010

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Oclaro Technology Limited

Internal Address: _____

Street Address: Caswell Office

City: Towcester

State: Northamptonshire

Country: UK Zip: NN12 8EQ

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

7602545

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael Rodriguez

Internal Address: IP/Legal

Street Address: 2560 Junction Ave.

City: San Jose

State: CA Zip: 95134

Phone Number: (408) 919-2771

Docket Number: _____

Email Address: michael.rodriguez@oclaro.com

6. Total number of applications and patents involved; ¹

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Jerry Turin
Signature

1/22/2013
Date

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 760254



**CERTIFICATE OF INCORPORATION
ON RE-REGISTRATION OF A PUBLIC COMPANY
AS A PRIVATE COMPANY**

Company No. 2298887

The Registrar of Companies for England/Wales hereby certifies that

OCLARO TECHNOLOGY PLC

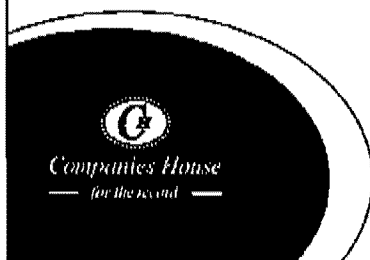
formerly registered as a public company has this day been re-registered
under the Companies Act 2006 as a private company is now incorporated
under the name of

OCLARO TECHNOLOGY LIMITED

and that the company is limited by shares.

Its registered office is situated in England/Wales.

Given at Companies House on 30th June 2010



THE OFFICIAL SEAL OF THE
REGISTRAR OF COMPANIES

PATENT