



01/07/2013



U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

Form PTO-1595 (Rev. 06-12)
OMB No. 0651-0027 (exp. 04/30/2015)

103653504

ET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

01/07/13

1. Name of conveying party(ies)

PHLB, LLC

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Aspen Ice, LLC

Internal Address: Suite 800

Street Address: 645 North Michigan Avenue

City: Chicago

State: Illinois

Country: USA Zip: 60611

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 31 August 2012

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

13/573,129

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Paul E Schaafsma

Internal Address: Suite 221

Street Address: 521 West Superior Street

City: Chicago

State: Illinois Zip: 60654

Phone Number: 312.664.0906

Docket Number: _____

Email Address: pschaafsma@novusip.com

6. Total number of applications and patents involved: _____

7. Total fee (37 CFR 1.21(h) & 3.41) \$ \$40.00

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name 01/07/2013 KINGUYEN1 00000050 13573129

01 FC:0021

40.00 0P

9. Signature:

Paul E Schaafsma

Signature

3 January 2013

Date

Paul E Schaafsma

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT, dated as of 31 August 2012, is made by PHLB, LLC, a Delaware limited liability company d/b/a HLB, LLC located at 345 North Canal Street, Chicago, Illinois 60606 ("Assignor") to Aspen Ice, LLC, an Illinois limited liability company located at 645 N. Michigan Avenue, Suite 800, Chicago, Illinois 60611 ("Assignee").

BACKGROUND

Assignor and Assignee have entered into an Agreement pursuant to which Assignor has agreed to assign United States Patent Application Serial No. 13/573,129, titled "Ice Machine" filed on 23 August 2012 (the "Patent"), in accordance with the terms and conditions set forth below:

PLS 11/30/2012

NOW, THEREFORE, in consideration of the covenants and conditions contained herein, the parties hereby agree as follows:

TERMS AND CONDITIONS

For good and valuable consideration, the sufficiency and receipt of which are hereby acknowledged, Assignor does hereby sell, assign, transfer and convey to Assignee the entire right, title and interest in and to the Patent, including all inventions and improvements claimed therein; all reissues, divisionals, continuations, renewals, and continuations-in-part of any of the foregoing; including all income, right, damages or payments now and hereafter due and/or payable with respect to the Patents, including without limitation damages or payments for infringement of the Patents, the royalty to use for infringements of the Patents, to be held and enjoyed by Assignee for Assignee's own use and enjoyment as fully and entirely as would have been held and enjoyed by Assignor if this assignment, transfer, conveyance and sale had not been made.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to record Assignee as the owner of the true right, title and interest in and to the Patents. Assignor and Assignee authorize the attorney of record to fill in the application number when known. Assignor hereby covenants that it has the full right to convey the entire interest herein assigned, and that it has not executed and will not execute any agreement in conflict herewith.

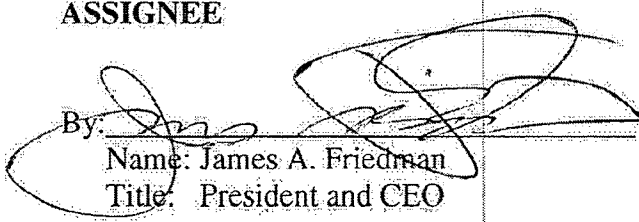
IN WITNESS WHEREOF, the parties hereto have executed this Agreement the day and year first above written.

ASSIGNOR

By: 

Name: Ford Pearson
Title: President

ASSIGNEE

By: 

Name: James A. Friedman
Title: President and CEO