

01/09/2013



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US

RECORDATION FORM COVER SHEET

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (1) Tuukka KAUPPINEN (2) Joakim MODEEN (3) Tomi SIPILÄ Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		2. Name and address of receiving party(ies) Name: KONE Corporation Street Address: Kartanontie 1 City: Helsinki Country: Finland Postal Code: FI-00330 Additional name(s) & address(es) attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: (1) November 6, 2012; (2) November 12, 2012; (3) November 20, 2012			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s). 13/680,537 B. Patent No.(s). Additional numbers attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: HARNESS, DICKEY & PIERCE, P.L.C. Street Address: P.O. BOX 8910 City: RESTON State: VA ZIP: 20195 Country: USA		6. Total No. of applications/patents involved: One (1) 7. Total fee (37 C.F.R. § 3.41): \$40.00 <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account, if no fee attached. 8. Deposit account number: 08-0750 (Attach triplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE			
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> _____ Name of Person Signing/Reg. No. John A. Castellano/35,094 _____ Signature _____ Date January 7, 2013			
Total number of pages including cover sheet, attachments, and document: 10 01/11/2013 KNOUWENI 00000001 13680537 43.00 0P			

JAC/jce

DECLARATION AND ASSIGNMENT¹

The undersigned acknowledges that this document is being used both as an assignment of the invention and as the declaration (37 CFR 1.63) for a Utility or Design Application entitled:

**METHOD FOR LIMITING THE LOADING OF AN ELEVATOR ASSEMBLY, AND AN
ELEVATOR ASSEMBLY**

As a below named inventor, I hereby declare that:

This declaration is directed to (check one):

- ☐ The attached application, or
- ☒ United States application or PCT international application number
PCT/FI2011/050452 filed on May 17, 2011.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the above-identified application.

I hereby acknowledge that any willful false statement made in this Declaration and Assignment is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims.

I acknowledge that I am aware of the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

For good and valuable consideration, the receipt and adequacy whereof I hereby acknowledge, I hereby confirm any prior assignment to KONE Corporation, Kartanontie 1, FI-00330 Helsinki, Finland (hereinafter "Assignee"), and to the extent that I have not already done so, agree to assign, and hereby do, sell, assign and transfer unto Assignee and its successors in interest, the full and exclusive right, title and interest in the United States of America and throughout the world, including the right to claim priority under the laws of the United States, the Paris Convention, and any foreign countries, to the inventions as described in the above-identified

¹ This form requires an ADS if one has not yet been filed.

DECLARATION AND ASSIGNMENT

application, to the above-identified application itself, and all divisions, continuations, continuations-in-part, or other applications claiming priority directly or indirectly from the above-identified application, and any United States or foreign Letters Patent, utility models, or other similar rights which may be granted thereon, including reissues, reexaminations and extensions thereof, and all copyright rights throughout the world in the aforesaid application and the subject matter disclosed therein, these rights, title and interest to be held and enjoyed by Assignee to the full end of the term for which the Letters Patent, utility model, or other similar rights, are granted and any extensions thereof as fully and entirely as the same would have been held by me had this assignment and sale not been made, and the right to sue for, and recover for past infringements of, or liabilities for, any of the rights relating to any of the applications, patents, utility models, or other similar rights, resulting therefrom, and the copyright rights.

I hereby covenant and agree to execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights, and for copyright, in the United States and in all foreign countries including, but not limited to, any provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, any derivation proceedings relating thereto, and as to Letters Patent any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and for litigation regarding, or for the purpose of protecting title and to the said invention, the United States application for patent, or Letters Patent therefor, and to testify in support thereof, for the benefit of Assignee without further or other compensation than that above set forth.

I hereby covenant that I have not made and will not make any assignment, sale, license, agreement or encumbrance which would conflict with this Declaration and Assignment.

I hereby request the United States Patent and Trademark Office to issue the Letters Patent of the United States of America to Assignee, and request that any official of any country or countries foreign to the United States, whose duty it is to issue or grant patents and applications as aforesaid, to issue the Letters Patent, Utility Model Registration or other similar right to Assignee.

DECLARATION AND ASSIGNMENT

I hereby grant the law firm of Harness, Dickey & Pierce, P.L.C. the power to insert on this Declaration and Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

Tuukka Kauppinen
Tuukka KAUPPINEN

6.11.2012
Dated

Joakim Modeen
Joakim MODEEN

Dated

Tomi Sipilä
Tomi SIPILÄ

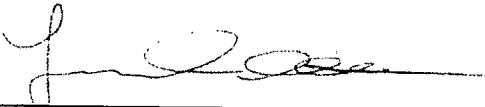
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Tuukka KAUPPINEN

Dated



Joakim MODEEN

2012-11-12

Dated

Tomi SIPILÄ

Dated

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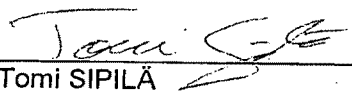
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Tuukka KAUPPINEN

Dated

Joakim MODEEN

Dated



Tomi SIPILÄ

20.11.2012

Dated