PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 RELEASE BY SECURED PARTY

CONVEYING PARTY DATA

Name	Execution Date
Knobbe, Martens, Olson & Bear, LLP	12/12/2012

RECEIVING PARTY DATA

Name:	The Stapleton Group, Inc.	
Street Address: 515 South Flower Street		
Internal Address:	36th Floor	
City:	Los Angeles	
State/Country:	CALIFORNIA	
Postal Code:	90071	

PROPERTY NUMBERS Total: 11

Property Type	Number
Patent Number:	7244294
Patent Number:	8034170
Application Number:	13269188
Patent Number:	7343751
Patent Number:	7331187
Patent Number:	7424343
Patent Number:	7469546
Patent Number:	7201006
Patent Number:	7114343
Patent Number:	7275377
Application Number:	13369067

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Email: jduncan@hdp.com

Correspondent Name: Harness, Dickey & Pierce, P.L.C.

Address Line 1: P.O. Box 828

Address Line 4: Bloomfield Hills, MICHIGAN 48303

ATTORNEY DOCKET NUMBER: 7578-500008

NAME OF SUBMITTER: Michael Malinzak

Total Attachments: 10

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MEMORANDUM OF ASSIGNMENT OF PATENTS

This Memorandum of Assignment of Patents ("Memorandum of Assignment") is made effective on this by of ______ 20 ("Effective Date") by and between:

The Stapleton Group, Inc., in its capacity as the court appointed Plan Administrator in the bankruptcy case of Lawrence Kates, Case No. 09-10188, pending in the United States Bankruptcy Court for the Western District of Washington, a California corp., with a principal place of business at 515 South Flower Street, 36th Floor, Los Angeles, CA 90071 ("ASSIGNOR"); and Emerson Climate Technologies, Inc., a Delaware corp., with a principal place of business at 1675 West Campbell Road, Sidney, Ohio 45365 ("ASSIGNEE").

WHEREAS, ASSIGNOR has assigned and transferred to ASSIGNEE all right, title and interest it holds in and to the Assigned Patents (as defined below).

NOW, THEREFORE, in consideration of the agreements related to this Memorandum of Assignment, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR, intending to be legally bound, and upon the terms set forth herein, has agreed as follows:

ASSIGNOR hereby sells, assigns, and transfers to ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the patents and patent applications identified as Lot 2 in Exhibit A ("Assigned Patents") of the ORDER APPROVING PARTICIPATION IN ICAP'S FALL AUCTION AND MODIFYING PATENT SALE PROCEDURES, entered under Docket Number 977 in the Bankruptcy Case of Lawrence Kates, pending in the United States Bankruptcy Court for the Western District of Washington, Case No. 09-10188-TDW, and attached hereto as Appendix A.

ASSIGNOR does hereby authorize a duly authorized representative of ICAP Patent Brokerage LLC to insert the Effective Date herein.

IN WITNESS WHEREOF, ASSIGNOR has caused this Memorandum of Assignment of Patents to be executed by its duly authorized representative on the date set forth below.

ASSIGNOR:

Drinted Name

Title (if applicable):

On this <u>/2</u> day of <u>December</u>, 20<u>/1</u> before me, a Notary Public, appeared <u>David Patrick</u> staple for who is personally known to me or proved to me on the basis of satisfactory evidence to be the same person whose name is subscribed to this Assignment document.

Witness my hand and official seal:

Notary Public

PATRICK A. HALL
Commission # 1835471
Notary Public - California
San Diego County
My Comm. Expires Feb 7, 2013

Calibraia Acknowledgement AHached

PATENT

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California All-Pu	rpose Acknowledgement —		The state of the s
State of California } County of	Diego		
On <u>December</u>	12, 2012 before me, Patr	ick A Has	, a Notary Public
personally appeared			
	DO	avid ps-	tapleton,
ANNA 1 C.	PATRICK A. HALL Commission # 1835471 Notary Public - California San Diego County My Comm. Expires Feb 7, 2013	to be the person(s the within instrum she/they executed capacity(ies), and the instrument the of which the perso I certify under PEI of the State of Cal is true and correct. WITNESS my har	e on the basis of satisfactory evidence whose name(s) is/are subscribed to the ent and acknowledged to me that he the same in his/her/their authorized that by his/her/their signature(s) or e person(s), or the entity upon behalton(s) acted, executed the instrument. NALTY OF PERJURY under the laws ifornia that the foregoing paragraph and and official seal.
No	otary Public Seal	Notary Public Sign	
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Thumbprint of Signer 1	☐ Individual ☐ Corporate Officer: ☐ Partner - ☐ Limited ☐ General ☐ Attorney-in-Fact ☐ Trustee ☐ Guardian or Conservator ☐ Other: Signer is Representing:	Thumbprint of Signer 2	☐ Individual ☐ Corporate Officer: ☐ Partner - ☐ Limited ☐ General ☐ Attorney-in-Fact ☐ Trustee ☐ Guardian or Conservator ☐ Other: Signer is Representing:

Appendix A

Entered on Docket October 3, 2012

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Below is the Order of the Court.



Timothy W. Dore

U.S. Bankruptcy Court

(Dated as of Entered on Docket date above)

UNITED STATES BANKRUPTCY COURT WESTERN DISTRICT OF WASHINGTON AT SEATTLE

In Re: Lawrence Kates,)	No. 09-10188-TWD
	Debtor.)))))	ORDER APPROVING PARTICIPATION IN ICAP'S FALL AUCTION AND MODIFYING PATENT SALE PROCEDURES

THIS MATTER having come before the Court upon the Plan Administrator's (the "PA's") Motion to Approve Participation in ICAP's Fall Auction And Modify Patent Sale Procedures (the "Motion"); and the Court having duly considered the Motion with all the supporting declarations; and the Court having considered that pursuant to the First Amended Plan of Reorganization (the "Plan"), the Stapleton Group, Inc. is the duly appointed PA of the bankruptcy estate (the "Estate"); and the Court having determined that the notice given was sufficient and appropriate under the circumstances and that good cause exists to grant the Motion; IT IS NOW, THEREFORE

ORDERED as follows:

1. The Motion is hereby GRANTED.

CORDER ADDROVANCE DARTICIDAT

ORDER APPROVING PARTICIPATION IN ICAP'S FALL AUCTION AND MODIFYING PATENT SALE PROCEDURES - 1

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LANE POWELL PC 1420 FIFTH AVENUE, SUITE 4100 SEATTLE, WASHINGTON 98101-2338 206.223.7000 FAX: 206.223.7107

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- 2. The PA is hereby authorized to participate in ICAP's November 28-29, 2012 auction (the "Auction") pursuant to the terms set forth in the Fall Auction Consignment Agreement (the "Agreement"), a copy of which is attached to the Declaration of Anthony Craig in support of the Motion.
- 3. The PA is hereby authorized to consummate the sales of the following groups of Patent-Related Assets, free and clear of all liens and without further approval of the Court, upon receiving bids in the amounts meeting or exceeding the following reserve prices:
 - group of (#14) 'Security Detection' as a single lot with a reserve price of \$150,000;
 - the group of (#16) 'Refrigerant/Air Treatment' as a single lot with a reserve price of \$140,000;
 - the group of (#6) 'Water Leak Detection and Sensors' as a single lot with a reserve price of \$120,000;
 - the three groups (#3) 'Animal Training' (#12) 'Method for Pest Control' and (#10) 'Method for monitoring Food' as a single lot with a reserve price of \$80,000; and
 - the combination of groups (#1) 'Fire Detection / Sprinklers', (#5) 'Common Cold Defense', (#8) 'Navigation System for the Blind', (#9) 'Assistance System for the Deaf,' (#13) 'Wearable Electronics', (#2) 'Zone Heating', (#17) 'Parallel Video', and (#18) 'Computer Virus Protection' as a single lot with a reserve price of \$50,000.

Schedule 1.1 attached hereto as Exhibit A outlines the specific assets being sold in each of the lots noted above.

4. Any prospective purchaser of the Patent-Related Assets through the ICAP Auction shall disclose to this Court all of its known Affiliations, as that term is defined in Section 2 of the Order Granting in Part and Denying in Part Debtor's Motion Regarding Sales

ORDER APPROVING PARTICIPATION IN ICAP'S FALL AUCTION AND MODIFYING PATENT SALE PROCEDURES - 2

LANE POWELL PC 1420 FIFTH AVENUE, SUITE 4100 SEATTLE, WASHINGTON 98101-2338 206.223,7000 FAX: 206.223,7107

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EXHIBIT "A"

Schedule 1.1

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List of Assigned IP and Licenses

Patent Number	Application	Title
US 7244294	11/130,569	
	11/130,569	
US 8034170	11/779,203	
	11/779,203	AIR FILTER MONITORING SYSTEM
US 2006032379	11/130,569	
US 2008015797		
	13/269,188	
		APPARATUS FOR MONITORING REFRIGERANT-CYCLE
EP 1914483		SYSTEMS
US 7343751	11/417,609	<u></u>
	11/417,609	-
US 2006196197	11/417,609	┪
	 -(
EP 1914483	İ	INTELLIGENT THERMOSTAT SYSTEM FOR LOAD
US 20080216495	12/050,821	MONITORING A REFRIGERANT-CYCLE APPARATUS
	12/050,821	7
US 2008223051	12/033,765	1
	12/033,765	
US 7331187	11/130,562	↓
US 2006032246	11/130,562	INTELLIGENT THERMOSTAT SYSTEM FOR
	11/130,562	MONITORING A REFRIGERANT-CYCLE APPARATUS
US 2006196196	11/417,557	
	11/417,557	
US 7424343	10/916,223	
US 2006036349	10/916,223	-
US 2006U36349	10/916,223	METHOD AND APPARATUS FOR LOAD REDUCTION II
US 2008051945	11/927,425	AN ELECTRIC POWER SYSTEM
03 2008031343	11/927,425	
	[11/32/,423	
US 2009187281	12/338,917	
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US 7469546	11/417,701	METHOD & APPARATUS FOR MON. A CAUBRATED
US 2006201168	11/417,701	CONDENSER UNIT IN A REFRIGERANT-CYCLE SYSTEM
	11/417,701	
US 2006032248	11/130,871	METHOD & APPARATUS FOR MON, AIR-EXCHANGE
US 7201006	11/130,871	1 ' '
A3 1502000	11/130,871	EVAPORATION IN A REFRIGERANT-CYCLE SYSTEM
	1/	Accessors to the second
US 7114343	11/130,601	

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	11/130,601	METHOD AND APPARATUS FOR MONITORING A
US 2006032247	111/130.601	
US 2011054842	12/902,563	CONDENSER UNIT IN A REFRIGERANT-CYCLE SYSTEM
	12/902.563	

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10/016 222	
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10/916,222	
	METHOD AND APPARATUS FOR MONITORING
	REFRIGERANT- CYCLE SYSTEMS
	THE RIGERANT CICLE STATEMS
11/866,295	
11/866,295	

	NO TITLE AVAILABLE
13/369.067	
	- 44 ₂
	PORTABLE METHOD AND APPARATUS FOR
	MONITORING REFRIGERANT-CYCLE SYSTEMS
	MONITORING REFRIGERANT *CTCLE 3131 EIVIS
	
111/830,729	
·- 	
	Method and apparatus for airflow monitoring
	refrigerant-cycle systems
11/41/,55/	
T	
	SAFTION AND ADDADATION OF THE ADDRAGE OF
	METHOD AND APPARATUS FOR TEMPERATURE-
	BASED LOAD MANAGEMENT METERING IN AN
11/426,548	ELECTRIC POWER SYSTEM
	Method and apparatus for load management in an
10/934,954	electric power system
10/934,954	
	Method and apparatus for load management
10/934,309	Method and apparatus for load management metering in an electric power system
	11/866,295 13/369,067 13/369,067 12/612,198 12/612,198 11/830,729 11/830,729 11/417,557 11/417,557 11/417,557 11/426,548 11/426,548 11/426,548

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RECORDED: 01/29/2013

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