

Atty Ref/Docket No.: 1592.181US1 Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Ple	
Name of conveying party(ies):	2. Name and address of receiving party(ies):
	Name: NCR Corporation
Lyle Howard Sandler, William Lidwell, Jill Butler, Mariel Pina, Jared Thorn	Street Address: NCR Corporation, Law Dept. 3097 Satellite Blvd, 2nd Floor
Additional name(s) of conveying party(ies) attached?	City: <u>Duluth</u> State: <u>GA</u> Zip: <u>30096</u>
[]Yes [X]No	Country: United States of America
3. Nature of conveyance:	Additional name(s) & address(es) attached? []Yes [X]No
[X] Assignment [] Merger [] Security Agreement [] Change of Name [] Other	
Execution Date: <u>January 24, 2013, January 15, 2013, January 15, 2913, January 15, 2013, January 15, 2013</u>	
4. Application number(s) or patent number(s): If this document is being filed together with a new application	n, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
Serial No. 13/739,474, filed January 11, 2013	
Additional numbers attached? []Yes [X]No	
	6. Total number of applications and patents involved: 1
5. Name and address of party to whom correspondence concerning document should be mailed:	7. Total fee (37 CFR 3.41):\$ <u>40.00</u>
Name: Joseph P. Mehrle	[]Enclosed
Address:	[X]Authorized to be charged to deposit account
Schwegman, Lundberg & Woessner, P.A.	14-0225
P.O. Box 2938 Minneapolis, MN 554020938	8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 14-0225
DO NOT USE	E THIS SPACE
9. Statement and signature. To the best of my knowledge and belief, the foregoing inform of the original document. Joseph P. Mehrle/Reg. No. 45,535 Name of Person Signing Tot	nation is true and correct and any attached copy is a true copy O1-29-2013 Signature Date tal number of pages including cover sheet: 8
Mail documents to be recorded with required cover sheet info	ormation to:
Commissioner of Patents and Trademarks	

Commissioner of Patents and Trademarks Mail Stop Assignment Recordation Services P.O. Box 1450 Alexandria, VA 22313-1450

PATENT

Attorney Docket No. 1592.181US1 Client Ref. No. 12-149US

ASSIGNMENT

WHEREAS, Lyle Howard Sandler, William Lidwell, Jill Butler, Mariel Pina and Jared Thorn (hereinafter the "Undersigned") have made one or more inventions and other subject matter (hereinafter collectively referred to as the "Invention") which are described in a patent application filed on January 11, 2013, which application was assigned US patent application serial number 13/739,474, and which is titled TECHNIQUES FOR TRANSACTING VIA AN ANIMATED ASSISTANT; which are described in a provisional patent application filed on January 11, 2012, which application was assigned US application serial number 61/585,312, and which is titled ESSENTIAL SERVICES ASSISTANT.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency, and adequacy of which are hereby acknowledged by the Undersigned, the Undersigned do hereby irrevocably and unconditionally:

CONVEY, ASSIGN, AND TRANSFER to NCR Corporation (the "Assignee"), a corporation of the State of Maryland, having a place of business at NCR Corporation, Law Dept., 3097 Satellite Blvd, 2nd Floor, Duluth, GA 30096, the Undersigned's entire right, title, and interest for the United States and all foreign countries and jurisdictions in and to:

the Invention which is disclosed in the above-identified application or applications (including the provisional application 61/585,312);

such application or applications, provisional applications, and all divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other applications for a patent or patents which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries and jurisdictions based in whole or in part on any of such Invention (including any application for a utility model or an innovation patent application);

all original and reissued patents which have been or shall be issued in the United States and all foreign countries and jurisdictions based in whole or in part on any of such Invention;

including the right to claim priority to the above-identified patent application or applications in relation to subject matter based in whole or in part on the above-identified patent application or applications and any of the foregoing including the right to file foreign applications under the provisions of any convention or treaty;

and including the right to all causes of action, remedies, and other enforcement rights related to the above-identified application or applications, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such Invention to the Assignee;

PATENT REEL: 029726 FRAME: 0768 Assignment
Assignors: Lyle Howard Sandler et al.
Title: TECHNIQUES FOR TRANSACTING VIA AN ANIMATED ASSISTANT
Page 2 of 7

Docket No: 1592.181USI Client Ref. No. 12-149US

AUTHORIZE AND REQUEST that any attorney associated with U.S. Patent and Trademark Office (USPTO) Customer No. 107681 may (directly or through his/her designee) delete, insert, or alter any information related to the above-identified patent application or applications or any of the foregoing, after execution of this Assignment;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or shall be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the Undersigned shall (1) execute all provisional, non-provisional, divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other patent applications for the Invention; (2) execute all rightful oaths, declarations, assignments, powers of attorney and other papers for the Invention; (3) communicate to the Assignee all facts known to the Undersigned relating to the Invention and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for the Invention; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such Invention in the Assignee, or for securing, maintaining and enforcing proper patent protection for the Invention;

COVENANT, that should any provision of this agreement be held unenforceable by an authority of competent jurisdiction, such a ruling shall not affect the validity and enforceability of the remaining provisions. To the extent that any such provision is found to be unenforceable, the Undersigned, when requested and without compensation shall act in good faith to substitute for such provision a new provision with content and purpose as close as possible to the provision deemed unenforceable.

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

AGREED as of the date of my signature below:

PATENT

Assignors: Lyle Howard Sandler et al.

Title: TECHNIQUES FOR TRANSACTING VIA AN ANIMATED ASSISTANT
Page 3. of 7

Docket No: 1592.181US1 Client Ref. No. 12-149US

Assignor:

Name: Lyle Howard Sandler

City/State: Morganville, NJ

Date: 1/24/2013

(Witness Signature):

Print Name:

EXECUTED on

Assignment

Assignors: Lyle Howard Sandler et al.
Tide: TECHNIQUES FOR TRANSACTING VIA AN ANIMATED ASSISTANT

Page 4 of 7

Docket No: 1592.181US1 Client Ref. No. 12-149US

Assignor:

(Signature):

Name: William Lidwell

City/State: Houston, TX

1115 1 201 Date:

(Witness Signature):

055

1/1517の3(date) EXECUTED on _

PATENT

Assignment
Assignors: Lyle Howard Sandler et al.
Title: TECHNIQUES FOR TRANSACTING VIA AN ANIMATED ASSISTANT

Page 5 of 7

Docket No: 1592.181USI Client Ref. No. 12-149US

Assignor:

(Signature)

Name: Jill Butler

City/State: Houston, TX

(Witness Signature):

WILLIAM Print Name:_

1115/2013 EXECUTED on __ _(date)

Assignment

Assignors: Lyle Howard Sandler et al.
Title: TECHNIQUES FOR TRANSACTING VIA AN ANIMATED ASSISTANT

Docket No: 1592.181US1 Client Ref. No. 12-149US

Assignor:

(Signature)

Name: Mariel Pina

City/State: Houston, TX

(Witness Signature):

_(date) EXECUTED on 1

PATENT

Assignment

Assignors: Lyle Howard Sandler et al.
Title: TECHNIQUES FOR TRANSACTING VIA AN ANIMATED ASSISTANT
Page 7 of 7

Docket No: 1592.181USt Client Ref. No. 12-149US

Assignor:

(Signature):

.Name: Jared Thorn

City/State: Houston, TX

(Witness Signature):

J111 Print Name:

<u>ルカ13</u> (date) **EXECUTED** on

PATENT