

PATENT ASSIGNMENT

Electronic Version v1.1
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| SUBMISSION TYPE: | NEW ASSIGNMENT | | | | | | | | | | |
|--|-------------------|---------------|----------------|---------------------|-------------------|-------------|------------|----------------|-------------|--------------|------|
| NATURE OF CONVEYANCE: | ASSIGNMENT | | | | | | | | | | |
| CONVEYING PARTY DATA | | | | | | | | | | | |
| <table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>ROBERT RADEMACHER</td> <td>03/06/2008</td> </tr> <tr> <td>DAVID MALOY</td> <td>08/29/2007</td> </tr> </tbody> </table> | | Name | Execution Date | ROBERT RADEMACHER | 03/06/2008 | DAVID MALOY | 08/29/2007 | | | | |
| Name | Execution Date | | | | | | | | | | |
| ROBERT RADEMACHER | 03/06/2008 | | | | | | | | | | |
| DAVID MALOY | 08/29/2007 | | | | | | | | | | |
| RECEIVING PARTY DATA | | | | | | | | | | | |
| <table border="1"> <tr> <td>Name:</td> <td>UBS AG</td> </tr> <tr> <td>Street Address:</td> <td>Bahnhofstrasse 45</td> </tr> <tr> <td>City:</td> <td>Zurich</td> </tr> <tr> <td>State/Country:</td> <td>SWITZERLAND</td> </tr> <tr> <td>Postal Code:</td> <td>8001</td> </tr> </table> | | Name: | UBS AG | Street Address: | Bahnhofstrasse 45 | City: | Zurich | State/Country: | SWITZERLAND | Postal Code: | 8001 |
| Name: | UBS AG | | | | | | | | | | |
| Street Address: | Bahnhofstrasse 45 | | | | | | | | | | |
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| State/Country: | SWITZERLAND | | | | | | | | | | |
| Postal Code: | 8001 | | | | | | | | | | |
| PROPERTY NUMBERS Total: 1 | | | | | | | | | | | |
| <table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>09597881</td> </tr> </tbody> </table> | | Property Type | Number | Application Number: | 09597881 | | | | | | |
| Property Type | Number | | | | | | | | | | |
| Application Number: | 09597881 | | | | | | | | | | |
| CORRESPONDENCE DATA | | | | | | | | | | | |
| <p>Fax Number:</p> <p><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Email: Docket_IP@pillsburylaw.com</p> <p>Correspondent Name: Pillsbury Winthrop Shaw Pittman</p> <p>Address Line 1: 650 Tysons Boulevard</p> <p>Address Line 4: McLean, VIRGINIA 22102</p> | | | | | | | | | | | |
| ATTORNEY DOCKET NUMBER: | 092005-0410232 | | | | | | | | | | |
| NAME OF SUBMITTER: | Jack S. Barufka | | | | | | | | | | |
| <p>Total Attachments: 4</p> <p>source=09597881Executed#page1.tif</p> <p>source=09597881Executed#page2.tif</p> <p>source=09597881Executed#page3.tif</p> <p>source=09597881Executed#page4.tif</p> | | | | | | | | | | | |

CH \$40.00 09597881

ASSIGNMENT OF PATENT APPLICATION

For value received, the undersigned sell(s), assign(s) and transfer(s) a 100% interest to:

UBS AG

a corporation organized under the laws of: **SWITZERLAND**
and having a place of business at: **Bahnhofstrasse 45**
Zurich, Switzerland 8001

to Assignee's successors, assigns and legal representatives or nominees as it may designate (collectively, hereinafter, "Assignee"), the entire right, title and interest, for:

___ The United States of America and Its Territories and Commonwealth and Possessions X All countries throughout the world

in and to all inventions and improvements disclosed in an application for United States Patent entitled:

LEVERAGE MARGIN MONITORING AND MANAGEMENT

The above-entitled United States Patent application was:

___ executed by the undersigned on: _____
 X filed in the United States Patent and Trademark Office on: June 20, 2000
and assigned serial number: 09/597,881
___ filed as PCT International Application No.: _____
on: _____

The undersigned assign(s) to Assignee the rights for all patents, divisions, reissues, reexamination certificates, continuing applications and extensions thereof, which may be granted based on the above patent application, together with the right of priority of any earlier corresponding patent application filed by the undersigned in the United States or elsewhere.

The undersigned covenant(s) that the rights and property conveyed by this Assignment are free and clear of any encumbrance, and that the undersigned have (has) full right to convey the rights and property as expressed herein.

ELP.

The undersigned authorize(s) and request(s) that any and all patents on the aforesaid inventions be issued to Assignee.

Date: 3/6/08


Robert RADEMACHER

Date: _____

David ADKISSON

Date: _____

David MALOY

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The undersigned authorize(s) and request(s) that any and all patents on the aforesaid inventions be issued to Assignee.

The undersigned agree(s), when requested, without further charge to Assignee but at its expense, to communicate to Assignee or its representatives all facts known to the undersigned regarding the aforesaid inventions and improvements, testify in any legal proceeding, sign all papers, make all rightful oaths or declarations, execute all divisional, continuing, re-examination and reissue applications and generally perform all acts, which may be necessary, desirable or convenient, to aid Assignee in securing, maintaining and enforcing patents for the aforesaid inventions and improvements in the aforesaid countries, and for vesting title thereto in Assignee.

Date: _____

Robert RADEMACHER

Date: _____

David ADKISSON

Date: Aug 29 2007

David Maloy
David MALOY