

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2015)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Regine Sitruk-Ware (01/28/2013), Michael Maria
Helmut Schumacher (01/08/2013), Roberta
Brinton (01/22/2013),Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): In parentheses after inventor name

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: The Population Council, Inc.

Internal Address: _____

Street Address: _____

One Dag Hammarskjold Plaza

City: New YorkState: New YorkCountry: United States of America Zip: 10017Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
13/725,064☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Arnold H. Krumholz
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLPInternal Address: Atty. Dkt.: CBR 3.3-056 CIP
Street Address: 600 South Avenue WestCity: Westfield
State: NJ Zip: 07090
Phone Number: 908-654-5000
Fax Number: 908-654-7866
Email Address: ataylor@ldlkm.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 12-1095
Authorized User Name Arnold H. Krumholz

9. Signature:



Signature

February 8, 2013

Date

Arnold H. Krumholz - 25,428
Name of Person SigningTotal number of pages including cover
sheet, attachments, and documents:

8

CH \$40.00 121095 13725064

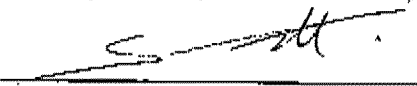

RECORDATION FORM COVER SHEET (PTO-1595) (supplemental sheet)	
Additional Conveying Party(ies)/Execution Date(s) (1. Continued): Martine El-Etr (01/08/2013), Abdelmouman Ghoumari (01/08/2013), and Rachida Guennoun (01/08/2013)	
Additional Assignees (2. Continued):	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Additional Applications and/or Patents (4. Continued):	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	

former PTO/SB/18 (3-06) Modified LDLKM

ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional) CBR 3.3-056 CIP
<p>Whereas, I, <u>Regine Sitruk-Ware</u> of <u>1230 York Avenue, New York, New York 10065</u> hereafter referred to as assignor, have with others invented certain new and useful improvements in <u>NEUROPROTECTION AND MYELIN REPAIR USING NESTORONE®</u> <input checked="" type="checkbox"/> for which an application for a United States Letters Patent was filed on <u>December 21, 2012</u> Application Number <u>13/725,064</u> <input type="checkbox"/> for which an application for a United States Letters Patent was executed by me on _____ and _____</p> <p>Whereas, <u>The Population Council, Inc.</u> a corporation of <u>New York</u> herein referred to as "assignee" whose mailing address is <u>One Dag Hammarskjöld Plaza, New York, New York 10017</u> is desirous of acquiring the entire right, title and interest in the same;</p> <p>NOW, THEREFORE, in consideration of the sum of <u>one</u> dollars (\$ <u>1.00</u>), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.</p> <p>And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.</p> <p><u>January 28, 2013</u> (Date) <u>Regine Sitruk-Ware</u> (Signature)</p> <p>State of <u>New York</u>) SS: <u>005-90-2002</u> County of <u>NEW YORK</u>)</p> <p>On this <u>28</u> day of <u>JANUARY</u>, <u>2013</u> before me personally came the above-named <u>Regine Sitruk-Ware</u>, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.</p> <p><u>[Signature]</u> (Notary Public)</p>	
<input checked="" type="checkbox"/> Total of <u>2</u> forms are submitted.	

2984154_1.DOCX2

BENJAMIN BILBAO
Notary Public - State of New York
No. 01816128773
Qualified in New York County
Commission ends: June 13, 2013

<small>(former PTO/SB/15 (6-95) Modified LDLKM)</small> ASSIGNMENT OF UTILITY APPLICATION		Docket Number (Optional) CBR 3,3-056 CIP
<p>Whereas, I, <u>Michael Maria Helmut Schumacher</u> of <u>105 Avenue Charles Gide, 94270 Kremlin-Bicêtre, FRANCE</u> hereafter referred to as assignor, have with others invented certain new and useful improvements in <u>NEUROPROTECTION AND MYELIN REPAIR USING NESTORONE®</u> <input checked="" type="checkbox"/> for which an application for a United States Letters Patent was filed on <u>December 21, 2012</u> Application Number <u>13/725,064</u> <input type="checkbox"/> for which an application for a United States Letters Patent was executed by me on _____ <input type="checkbox"/> for which an International Application was filed on _____, as _____, designating the United States.</p> <p>And</p> <p>Whereas, <u>The Population Council, Inc.</u> a corporation of <u>New York</u> herein referred to as "assignee" whose mailing address is <u>One Dag Hammarskjöld Plaza, New York, New York 10017</u> is desirous of acquiring the entire right, title and interest in the same;</p> <p>NOW, THEREFORE, in consideration of the sum of <u>one</u> dollar (\$ <u>1.00</u>), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.</p> <p>And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="text-align: center;"> <u>January 8, 2013</u> Date </div> <div style="text-align: center;">  Michael Maria Helmut Schumacher </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="text-align: center;"> <u>8/01/2013</u> Witness </div> <div style="text-align: center;">  SORQUE Monique Typed Name of Witness </div> </div>		
<input checked="" type="checkbox"/> Total of <u>4</u> forms are submitted.		

2092134, 1 (00) X

ASSIGNMENT OF UTILITY APPLICATION

former PTO/SB/15 (8-00) Modified Locust
Docket Number (Optional)

CBR 3.3-056 CIP

Whereas, I, Roberta Brinton of
5752 Wildbrier Drive, Rancho Palos Verdes, California 90275

hereafter referred to as assignor, have with others invented certain new and useful improvements in
NEUROPROTECTION AND MYELIN REPAIR USING NESTORONE®

☒ for which an application for a United States Letters Patent was filed on December 21, 2012

Application Number 13/725,064

☐ for which an application for a United States Letters Patent was executed by me on _____
 and _____

Whereas, The Population Council, Inc.

a corporation of New York herein referred to as "assignee" whose mailing address is
One Dag Hammarskjöld Plaza, New York, New York 10017

is desirous of acquiring the entire right, title and interest in the same.

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to said assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

01-25-2013

(Date)

Roberta Brinton

(Signature)

State of California) SS:
 County of Los Angeles)

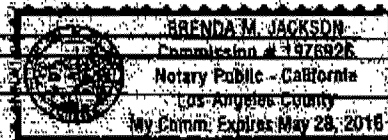
On this 22nd day of January, 2013 before me personally came the above-named Roberta Brinton, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

[Signature]

(Notary Public)

☒ Total of 2 forms are submitted.

2012/541 DOCID



<p style="font-size: small; margin: 0;">(former PTO/SB/16 (9-00) Modified LDLKSM)</p> <p style="margin: 0;">ASSIGNMENT OF UTILITY APPLICATION</p>	<p style="margin: 0;">Docket Number (Optional)</p> <p style="margin: 0;">CBR 3.3-056 CIP</p>
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Whereas, I, Martine El-Etr of 112 rue Rambuteau, 75001 Paris, FRANCE

hereafter referred to as assignor, have with others invented certain new and useful improvements in NEUROPROTECTION AND MYELIN REPAIR USING NESTORONE®

☒ for which an application for a United States Letters Patent was filed on December 21, 2012

Application Number 13/725,064

☐ for which an application for a United States Letters Patent was executed by me on _____

☐ for which an international Application was filed on _____, as _____, designating the United States.

And

Whereas, The Population Council, Inc. a corporation of New York herein referred to as "assignee" whose mailing address is One Dag Hammarskjöld Plaza, New York, New York 10017 is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

1/8 / 2013
 Date

Martine El-Etr
 Martine El-Etr

 Witness

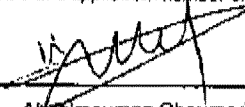

DELESPERRE
 Typed Name of Witness

[Signature]

☒ *Total of 4 forms are submitted.

2094130-1 DCLX

former PTO/SF/15 (8-00) modified LDLKM

ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional) CBR 3.3-056 CIP
Whereas, I, <u>Abdelmouman Ghoumari</u> of	
<u>30 bis rue du Parc, 92160 Antony, FRANCE</u>	
hereafter referred to as assignor, have with others invented certain new and useful improvements in <u>NEUROPROTECTION AND MYELIN REPAIR USING NESTORONE®</u>	
<input checked="" type="checkbox"/> for which an application for a United States Letters Patent was filed on <u>December 21, 2012</u> Application Number <u>13/725,064</u>	
<input type="checkbox"/> for which an application for a United States Letters Patent was executed by me on _____	
<input type="checkbox"/> for which an International Application was filed on _____, as _____, designating the United States.	
And	
Whereas, <u>The Population Council, Inc.</u>	
a corporation of <u>New York</u> herein referred to as "assignee" whose mailing address is <u>One Dag Hammarskjöld Plaza, New York, New York 10017</u>	
is desirous of acquiring the entire right, title and interest in the same;	
NOW, THEREFORE, in consideration of the sum of <u>one</u> dollar (\$ <u>1.00</u>), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.	
And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known	
<u>1/8/2013</u> Date	 Abdelmouman Ghoumari
_____ Witness	
<u>ERECANO</u> Typed Name of Witness	
<input checked="" type="checkbox"/> Total of <u>4</u> forms are submitted.	

208-130-11000

PATENT

REEL: 029803 FRAME: 0948

Former PTO/SB/15 (8-00) Modified LDL&M

ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional) CBR 3.3-056 CIP
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Whereas, I, Rachida Guennoun of
8 Allée Berlioz, 94800 Villejuif, FRANCE
 hereafter referred to as assignor, have with others invented certain new and useful improvements in
NEUROPROTECTION AND MYELIN REPAIR USING NESTORONE®
☒ for which an application for a United States Letters Patent was filed on December 21, 2012
 Application Number 13/725,064
☐ for which an application for a United States Letters Patent was executed by me on _____
☐ for which an International Application was filed on _____, as
 _____, designating the United States.

And

Whereas, The Population Council, Inc.
 a corporation of New York herein referred to as "assignee" whose mailing address is
One Dag Hammarskjöld Plaza, New York, New York 10017
 is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

01/08/2013
 Date

 Rachida Guennoun
 Witness
SERGUE MENISQUE
 Typed Name of Witness

☒ *Total of 4 forms are submitted

2004151, 1 OF 1 X

PATENT

RECORDED: 02/08/2013

REEL: 029803 FRAME: 0949