### 502231899 02/14/2013

### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
Ming Chen HAMMOND	01/22/2013
Alexander J. WESTERMANN	01/29/2013
Qian QIN	01/30/2013

# RECEIVING PARTY DATA

Name:	The Regents of the University of California
Street Address:	1111 Franklin Street
Internal Address:	12th Floor
City:	Oakland
State/Country:	CALIFORNIA
Postal Code:	94607-5200

### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13747395

# CORRESPONDENCE DATA

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Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 4152686237

Email: jshaw@mofo.com

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Address Line 2: 425 Market Street

Address Line 4: San Francisco, CALIFORNIA 94105-2482

ATTORNEY DOCKET NUMBER:	416272009600
NAME OF SUBMITTER:	Michael R. Ward

# Total Attachments: 4

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> PATENT REEL: 029815 FRAME: 0958

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### ASSIGNMENT

This assignment is by:

- Ming Chen HAMMOND 1515 Oxford St. Apt. 2C Berkeley, California 94709
- Alexander J. WESTERMANN Domerschulstr. 11 97070 Wuerzburg GERMANY
- Qian QIN
   2535 Buena Vista Way
   Berkeley, California 94708

(referred to in this Assignment as "Assignors"), who reside at or have a mailing address as listed above.

This Assignment is to:

Assignee: The Regents of the University of California

Address: 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200 A corporation duly organized under and pursuant to the laws of: California

(referred to in this Assignment as "Assignee"), who desire to acquire the entire right, title and interest in said inventions and said application for letters patent of the United States, and in any United States or foreign, letters patent or patents, to be obtained therefor and thereon.

Assignors have invented certain new and useful inventions in:

#### A P5SM SUICIDE EXON FOR REGULATING GENE EXPRESSION

for which Assignors have filed an application for patent in the United States of America concurrently herewith. Where this Assignment is not filed concurrently with the application, the following identifying information may be added after execution:

Serial No.: 13/747,395 Filing Date: January 22, 2013

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged,

- 1. Assignors hereby sell, assign, transfer and set over, to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for letters patent, and any and all letters patent or patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all provisionals, divisions, continuations, continuations-in-part and substitutions of said application, or reissues, re-examinations or extensions of said letters patent or patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee (including any right to institute actions and to recover for past, present and future infringement), for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which letters patent or patents may be granted, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.
- 2. Assignors represent, warrant and covenant that, at the time of execution and delivery of this agreement, Assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and said

1

application for letters patent, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner set forth in this agreement.

- 3. Assignors shall promptly sign and execute all papers and documents, take all lawful oaths, and do all acts necessary, required or useful for the procurement, maintenance, enforcement, defense or otherwise to secure title thereto to the Assignee, at the sole cost and expense of Assignee, its successors, legal representatives and assigns, in each case including, without limitation, arising from or relating to (a) said inventions, or said application for letters patent; (b) letters patent for said inventions in any country, including any reissue, re-examination or extension of or interference proceedings; or (c) any provisional, division, continuation, continuation-in-part, or substitutions of any application for letters patent or any reissues, re-examinations, extensions or interference proceedings involving any letters patent, to be obtained thereon. To be clear, for purposes of this paragraph, "desirable" shall include, without limitation, any act necessary or useful in connection with United States laws and/or international conventions.
- 4. Assignors hereby authorize and request the Commissioner of Patents in the United States to issue the above mentioned letters patents of the United States to Assignee as the assignee of said inventions and the letters patent to be issued thereon for the sole use of Assignee, its successors, legal representatives and assigns.
- 5. "I hereby grant Assignee's attorneys, all of Morrison & Foerster LLP, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark office for recordation of this document, including the power to insert on this assignment the application number and filing date of said application when known."

In witness whereby, executed by the unders	signed on the date(s) opposite the undersigned name(s).
Date: 1/22/13	Signature: Ming Chen HAMMOND
/ /	Ming Chen HAMMOND
Date:	Signature:
•	Alexander J. WESTERMANN
Date:	Signature:
<i>D</i> ((C)	Qian QIN

application for letters patent, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner set forth in this agreement.

- Assignors shall promptly sign and execute all papers and documents, take all lawful oaths, and do all acts necessary, required or useful for the procurement, maintenance, enforcement, defense or otherwise to secure title thereto to the Assignee, at the sole cost and expense of Assignee, its successors, legal representatives and assigns, in each case including, without limitation, arising from or relating to (a) said inventions, or said application for letters patent; (b) letters patent for said inventions in any country, including any reissue, re-examination or extension of or interference proceedings; or (c) any provisional, division, continuation, continuation-in-part, or substitutions of any application for letters patent or any reissues, re-examinations, extensions or interference proceedings involving any letters patent, to be obtained thereon. To be clear, for purposes of this paragraph, "desirable" shall include, without limitation, any act necessary or useful in connection with United States laws and/or international conventions.
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In witness whereby, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date:	Signature:
Dute: James of 29th, 2013	Ming Chen HAMMOND  Signature:
	Alexander J. WESTERMANN
Date:	Signature:
	Qian QIN

application for letters patent, and that the same are unencombered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner set forth in this agreement.

- 3. Assignors shall promptly sign and execute all papers and documents, take all lawful oaths, and do all acts necessary, required or useful for the procurement, maintenance, enforcement, defense or otherwise to secure title thereto to the Assignee, at the sole cost and expense of Assignee, its successors, legal representatives and assigns, in each case including, without limitation, urising from or relating to (a) said inventions, or said application for letters patent; (b) letters patent for said inventions in any country, including any reissue, re-examination or extension of or interference proceedings; or (c) any provisional, division, continuation, commutation-in-part, or substitutions of any application for letters patent or any reissues, re-examinations, extensions or interference proceedings involving any letters patent, to be obtained thereon. To be clear, for purposes of this paragraph. "desirable" shall include, without limitation, any act necessary or useful in connection with United States laws and/or international conventions.
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In witness whereby, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date:	Signature:Ming Chen HAMMOND
Date:	Signature: Alexander J. WESTERMANN
Dave: Jan 30, 2013	Signature: Q-Q- Qian QIN

sf-3240495

RECORDED: 02/14/2013

PATENT REEL: 029815 FRAME: 0962