PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Giorgio Caravatti	01/23/2013
Robin Alec Fairhurst	01/24/2013
Pascal Furet	01/28/2013
Frederic Stauffer	01/30/2013
Frank Hans Seiler	02/01/2013
Heinrich Rueeger	01/30/2013
Clive McCarthy	01/21/2013

RECEIVING PARTY DATA

Name:	Novartis Pharma AG
Street Address:	Lichtstrasse 35
City:	Basel
State/Country:	SWITZERLAND
Postal Code:	4056

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13773947

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 617-871-4027

Email: pip_inbox.phchbs@novartis.com

Correspondent Name: Nicholas J. Landry
Address Line 1: 220 Massachusetts Ave.

Address Line 4: Cambridge, MASSACHUSETTS 02139

ATTORNEY DOCKET NUMBER:	PAT054969-US-NP
	PATENT

REEL: 029857 FRAME: 0032

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Total Attachments: 12 source=PAT054969-US-NP_assignment inventors to NPHAG#page1.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page2.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page3.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page4.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page5.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page6.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page7.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page8.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page9.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page10.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page11.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page11.tif source=PAT054969-US-NP_assignment inventors to NPHAG#page12.tif



ASSIGNMENT

This Assignment Agreement is entered into by and between

Giorgio CARAVATTI	citizen of Switzerland	Novartis Pharma AG
		Werk Klybeck
		Postfach
		4002 Basel
		CH
Robin Alec FAIRHURST	citizen of United Kingdom	Novartis Pharma AG
		Werk Klybeck
		Postfach
		4002 Basel
		CH
Pascal FURET	citizen of France	Novartis Pharma AG
		Werk Klybeck
		Postfach
·		4002 Basel
		CH
Frédéric STAUFFER	citizen of Switzerland	Novartis Pharma AG
		Werk Klybeck
		Postfach
		4002 Basel
	•	СН
Frank Hans SEILER	cilizen of Germany	Novartis Pharma AG
		Werk Klybeck
		Postfach
		4002 Basel
		СН
Clive MCCARTHY	cilizen of United Kingdom	Evotec
		114 Milton Park
		Abingdon, Oxfordshire OX14 4SA
		G8
Heinrich RUEEGER	citizen of Switzerland	Novartis Pharma AG
		Werk Kiybeck
		Postfach
		4002 Basel
		СН

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(hereinafter "Inventor(s)"), and NOVARTIS PHARMA AG, Lichtstrasse 35, 4056 Basel, Switzerland, a company organized under the laws of Switzerland.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, NOVARTIS PHARMA AG is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

- If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to NOVARTIS PHARMA AG and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to
- (1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

OXAZOLIDIN-2-ONE COMPOUNDS AND USES THEREOF [Patent Application PAT054969-US-PSP02]

and filed in the	Office on	, 20 and accorded
Application Number	and/or filed as a	PCT International Application
on	, 20 and accorded Internations	al Patent Application Number
PCT/	and/or filed in the United State	es Patent and Trademark Office
on February 24, 2012	and accorded Application Number 61/603	2,954; and/or filed in the United
States Patent and Tra	demark Office on December 13, 2012 and	I accorded Application Number
61/736,707;		

- (2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s):
- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2):

- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property

office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment is effective as from the earliest priority date as stated above.

Executed this 23 day of January, 2043.
BY <u>Livy's lacal</u> L.S. Giorgio Caravans
*
Executed this
BY Links Alexander LS.
Robin Alec Fairhurst
Executed this 28 day of 1 and a 20 1 3
BY Pascal Furet L.S.
Executed this $\frac{30}{4}$ day of $\frac{3000000}{4000000}$, $\frac{200}{1000000000000000000000000000000000$
BY L.S.
Frederio Stauffer

Executed this <u>Of</u> day of <u>February</u>	, 20 <u>/3</u> .
BY Frank Hans Sciler	L.S.
Executed this day of	_, 20
BYClive McCarthy	1S.
Executed this 20 day of 20	_, 20]3.
BY And Pages Heinrich Ruceger	L.S.
NOVARTIS PHARMA AG Executed this day of	_, 20
BY	L.S.
BY PAUDUL Name: Title: Reto Halbeisen Authorized signatory	L.S.

ATTESTATION

I, the undersigned, Civil Law Notary in Basel, Switzerland, Dr. Matthias Staehelin, certify herewith that the signatures attached heretofore are the genuine signatures of Mr. Giorgio Caravatti, citizen of Biasca, Switzerland, residing in Bottmingen, Switzerland; of Robin Alec Fairhurst, British citizen, born on September 16 (sixteen), 1965 (nineteen hundred sixty five), with business address Klybeck, Postfach, 4002 Basel; of Mr. Pascal Furet, French citizen, residing in Thann, France; of Mr. Frédéric Stauffer, citizen of Homberg BE, residing in Hésingue, France; of Mr. Frank Hans Seiler, German citizen, residing in Freiburg, Germany; and of Mr. Heinrich Rüeger, citizen of Elaus ZH, Switzerland, residing in Flüh SO, Switzerland.

I further certify that the signatures attached heretofore are the genuine signatures of Ms. Sabine Zeller, citizen of Ormalingen, Switzerland, residing in Gelterkinden, Switzerland; and of Mr. Reto Halbeisen, citizen of Wahlen/BL, Switzerland, residing in Basel, Switzerland, both acting for Novartis Pharma AG, in Basel, Switzerland, both as proxy holders and both with joint signature.

The authoriticity of the signatures was established by means of comparison.

BASEL, Switze and, this 11th (eleventh) day of February 2013 (two thousand and thirteen)

Or. M. Stoehelfn

M. Stacher Notes

Leg.Prot.Nr. 3세/2013

ASSIGNMENT

This Assignment Agreement is entered into by and between

Giorgio CARAVATTI	citizen of Switzerland	Novartis Pharma AG	
		Werk Klybeck	
		Postfach	
		4002 Basel	
		СН	
Robin Alec FAIRHURST	citizen of United Kingdom	Novartis Pharma AG	
		Werk Klybeck	
		Postfach	
		4002 Basel	
		СН	
Pascal FURET	citizen of France	Novartis Pharma AG	
		Werk Klybeck	
		Postfach	
		4002 Basel	
		CH	
Frèdéric STAUFFER	citizen of Switzerland	Novartis Pharma AG	
		Werk Klybeck	
		Postfach	
		4002 Basel	
		СН	
Frank Hens SEILER	citizen of Germany	Novartis Pharma AG	
		Werk Klybeck	
		Postfach	
		4002 Basel	
		CH	
Dive MCCARTHY	citizen of United Kingdom	Evotec	
		114 Milton Park	
		Abingdon, Oxfordshire OX14 4SA	
		G8	
leinrich RUEEGER	citizen of Switzenland	Novartis Pharma AG	
		Werk Klybeck	
		Postfach	
		4002 Basel	
		CH	

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(hereinafter "Inventor(s)"), and NOVARTIS PHARMA AG, Lichtstrasse 35, 4056 Basel, Switzerland, a company organized under the laws of Switzerland.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, NOVARTIS PHARMA AG is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to NOVARTIS PHARMA AG and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

OXAZOLIDIN-2-ONE COMPOUNDS AND USES THEREOF [Patent Application PAT054969-US-PSP02]

and filed in the		Office or	1		and accorded
Application Number		and	or filed as a l	PCT Interna	ntional Application
on	, 20 a	nd accorded	International	Patent Ap	pplication Number
PCT/	; and/or	filed in the	United States	Patent and	Trademark Office
on February 24, 2012	and accorded A	pplication Nu	mber 61/602,9	954; and/or	filed in the United
States Patent and Tra-	demark Office or	n December	13, 2012 and	accorded A	pplication Number
61/736,707;					5 D

- (2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);
- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);

- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property

office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

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This Assignment is effective as from the earliest priority date as stated above.

Executed this	day of	, 20
BYGiorgio Caravatti		L.S.
Executed this	day of	, 20
BY	ırst	L.S.
Kobin Alec raimu	REST	
Executed this	day of	, 20
ВҮ		L.S.
Pascal Furet		
Executed this	day of	, 20
BY		L.S.
Frederic Stauffer		

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Executed the	hisday of	, 20
BY	n Sailar	L.S.
Frank Hans	s Seiler	
Executed the	his day of	, 20
BY Clive McC	Carthy	L,S.
Executed to	his <u>241</u> day of <u>Jawaar</u> y	, 20 <u>/_3</u> ,
BY Heinrich R	i jaaryar	L.S.
NOVART	IS PHARMA AG	20 /C
	Sabine Zeller	
BY Name:	Authorized signatory Authorized signatory Reto Halbelsen Authorized signatory	L.S.

ATTESTATION

I, the undersigned, Civil Law Notary in Basel, Switzerland, Dr. Matthias Staehelin, certify herewith that the signature attached heretofore is the genuine signature of **Mr. Clive McCarthy**, British citizen, residing in Froidefontaine, France.

I further certify that the signatures attached heretofore are the genuine signatures of Ms. Sabine Zeller, citizen of Ormalingen, Switzerland, residing in Gelterkinden, Switzerland; and of Mr. Reto Halbeisen, citizen of Wahlen/BL, Switzerland, residing in Basel, Switzerland, both acting for Novartis Pharma AG, in Basel, Switzerland, both as proxy hollers and both with joint signature.

The atthenticity of the signatures was established by means of comparison.

BASEL, Syitzerland, this 11th (eleventh) day of February 2013 (two thousand and thirteen)

Dr. M. Stadadin

\$4000E

M. Stadel Notes

Leg.Prot.Nr. 3/2 /2013

PATENT REEL: 029857 FRAME: 0045

RECORDED: 02/22/2013