#### 502243773 02/26/2013

#### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Leo RUBIN	01/31/2013
Shaker MOUSA	02/21/2013

#### RECEIVING PARTY DATA

Name:	Avant Garde Therapeutics and Technologies LLC	
Street Address:	3 Lynn Court	
City:	Suffern	
State/Country:	NEW YORK	
Postal Code:	10987	

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12796273

#### **CORRESPONDENCE DATA**

**Fax Number**: 9739125236

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (973)912-5232
Email: file@hoxpat.com
Correspondent Name: Hoxie & Associates LLC
Address Line 1: 75 Main Street, Suite 301
Address Line 4: Millburn, NEW JERSEY 07041

ATTORNEY DOCKET NUMBER:	AV-09-US
NAME OF SUBMITTER:	Matthew SEIFERT

#### **Total Attachments: 5**

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source=AV-09 Leo Rubin T3np Patent Assign. to AGTT#page1.tif source=AV-09 Leo Rubin T3np Patent Assign. to AGTT#page2.tif source=MOUSA Assignement AGTT LLC 02212013#page1.tif source=MOUSA Assignement AGTT LLC 02212013#page2.tif

PATENT REEL: 029876 FRAME: 0643 OP \$40.00 12/962/3

	RECORDATION FORM COVER SHEET			
PATENTS ONLY				
To the Director of the U.S. Patent and Trademark Office: Pleas	e record the attached documents or the new address(es) below.			
1. Name of conveying party(les)	2. Name and address of receiving party(ies)			
a money and the sections	Name: Avant Garde Therapeutics and Technologies LLC			
Leo RUBIN and Shaker MOUSA	Internal Address:			
Additional name(s) of conveying party(ies) attached? Yes No	Olympa Osyst			
3. Nature of conveyance/Execution Date(s):  Execution Date(s) 01/31/2013, 02/21/2013	Street Address: 3 Lynn Court			
Assignment Merger	City: Suffern			
Security Agreement Change of Name  Joint Research Agreement	siate. New York			
Government Interest Assignment				
Executive Order 9424, Confirmatory License	Country: U.S. Zip: 10987			
Other	Additional name(s) & address(es) attached? Yes No			
	document serves as an Oath/Declaration (37 CFR 1.63).			
A. Patent Application No.(s)	B. Patent No.(s)			
12/796,273				
Additional numbers attached? Yes No				
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:			
	***************************************			
Name: Hoxie & Associates LLC				
	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00			
Name: Hoxie & Associates LLC Internal Address:	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00			
Internal Address:				
	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged to deposit account			
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Internal Address:  Street Address: 75 Main Street, Suite 301	7. Total fee (37 CFR 1.21(h) & 3.41) \$\frac{40.00}{			
Internal Address:  Street Address: 75 Main Street, Suite 301  City: Millburn  State: New Jersey Zip: 07041	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged to deposit account  Enclosed None required (government interest not affecting title)  8. Payment Information			
Internal Address:  Street Address: 75 Main Street, Suite 301  City: Millburn  State: New Jersey Zip: 07041  Phone Number: (973)912-5232	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged to deposit account  Enclosed None required (government interest not affecting title)  8. Payment Information  Deposit Account Number 50-4255			
Internal Address:  Street Address: 75 Main Street, Suite 301  City: Millburn  State: New Jersey Zip: 07041  Phone Number: (973)912-5232  Docket Number: AV-09-US	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged to deposit account  Enclosed None required (government interest not affecting title)  8. Payment Information			
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PATENT REEL: 029876 FRAME: 0644

# ASSIGNMENT

Attorney Ref: AV-09-US

Whereas I/We, Leo RUBIN and Shaker MOUSA, hereinafter referred to as "ASSIGNOR(s)", have Invented certain new and useful improvements disclosed in an application for United States Letters Patent entitled,

### **NOVEL FORMULATIONS**

for which an Application for a United States Patent was filed on June 08, 2010 and assigned application number 12/796,273, claiming priority to U.S. Provisional Application 61/184,903, filed June 08, 2009 and also claiming priority to U.S. Provisional Application 61/248,127, filed October 02, 2009;

Whereas Avant Garde Therapeutics & Technologies LLC, having an address of 3 Lynn Court Suffern, New York 10987, hereafter referred to as "ASSIGNEE" is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, I/we, as ASSIGNOR(S), have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, its successors and/or assigns, the entire right, title and interest for all countries in and to all inventions and/or improvements I/we have made or may have made and/or all inventions and/or improvements disclosed in the aforesaid Patent Applications, including the aforementioned Provisional Patent Applications and International Patent Application(s), as well as any and all applications and patents claiming priority therefrom, including all divisions, continuations, continuations-in-part, substitutions, registrations and/or renewals thereof, all Letters Patent which may be granted there from and related thereto, and all reissues or extensions, supplementary Protection Certificates or the like, of such patents, as well as in and to any and all applications which have been or shall be filed in any foreign country(ies) for Letters Patent on said inventions and/or improvements, including an assignment of all rights under the provisions of the International Convention or similar provisions thereof, and all Letters Patent of foreign countries which may be granted there from; and I/we do hereby authorize and request the United States Commissioner of Patents and Trademarks and/or any other authority(ies) granting Letters of Patent, to issue any and all Letters Patent for the aforesaid inventions and/or improvements to said ASSIGNEE as the ASSIGNEE of the entire right, title and interest in and to the same, for the use of said ASSIGNEE, its successors and/or assigns.

AND, for the consideration aforesaid, I/we, as ASSIGNOR(S), do hereby agree that I/we and my/our executors and/or legal representatives, will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and/or representatives all facts known to me/us relating to said inventions and/or improvements, the history thereof and relevant related art, and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure 1029876 FRAME: 0645

ASSIGNEE, its successors and/or assigns the entire right, title and interest in and to said improvements, inventions, Patent Applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, I/we, the ASSIGNOR(S) covenant and agree with said ASSIGNEE, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us, individually and/or jointly and that full right to convey the same as herein expressed is possessed by me/us.

aduli M	<u> </u>
Leo RUBIN	Date
Shaker MOUSA	Date

## **ASSIGNMENT**

Attorney Ref: AV-09-US

Whereas I/We, Leo RUBIN and Shaker MOUSA, hereinafter referred to as "ASSIGNOR(s)", have Invented certain new and useful improvements disclosed in an application for United States Letters Patent entitled,

#### **NOVEL FORMULATIONS**

for which an Application for a United States Patent was filed on June 08, 2010 and assigned application number 12/796,273, claiming priority to U.S. Provisional Application 61/184,903, filed June 08, 2009 and also claiming priority to U.S. Provisional Application 61/248,127, filed October 02, 2009;

Whereas Avant Garde Therapeutics & Technologies LLC, having an address of 3 Lynn Court Suffern, New York 10987, hereafter referred to as "ASSIGNEE" is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, I/we, as ASSIGNOR(S), have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, its successors and/or assigns, the entire right, title and interest for all countries in and to all inventions and/or improvements I/we have made or may have made and/or all inventions and/or improvements disclosed in the aforesaid Patent Applications. including the aforementioned Provisional Patent Applications and International Patent Application(s), as well as any and all applications and patents claiming priority therefrom, including all divisions, continuations, continuations-in-part, substitutions, registrations and/or renewals thereof, all Letters Patent which may be granted there from and related thereto, and all reissues or extensions, supplementary Protection Certificates or the like, of such patents, as well as in and to any and all applications which have been or shall be filed in any foreign country(ies) for Letters Patent on said inventions and/or improvements, including an assignment of all rights under the provisions of the International Convention or similar provisions thereof, and all Letters Patent of foreign countries which may be granted there from; and I/we do hereby authorize and request the United States Commissioner of Patents and Trademarks and/or any other authority(ies) granting Letters of Patent, to issue any and all Letters Patent for the aforesaid inventions and/or improvements to said ASSIGNEE as the ASSIGNEE of the entire right, title and interest in and to the same, for the use of said ASSIGNEE, its successors and/or assigns.

AND, for the consideration aforesaid, I/we, as ASSIGNOR(S), do hereby agree that I/we and my/our executors and/or legal representatives, will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and/or representatives all facts known to me/us relating to said inventions and/or improvements, the history thereof and relevant related art, and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said

PATENT REEL: 029876 FRAME: 0647 ASSIGNEE, its successors and/or assigns the entire right, title and interest in and to said improvements, inventions, Patent Applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, I/we, the ASSIGNOR(S) covenant and agree with said ASSIGNEE, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us, individually and/or jointly and that full right to convey the same as herein expressed is possessed by me/us.

Leo RUBIN

Date

Shaker MOUSA

Date