

Form PTO-1595 (Rev. 06-12)  
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Leon SHAW

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 01 March 2013

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Illinois Institute of Technology

Internal Address: \_\_\_\_\_

Street Address: 10 West 33rd Street

City: Chicago

State: Illinois

Country: US Zip: 60616

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).  
A. Patent Application No.(s)  
B. Patent No.(s)

13/782,409

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Nick C. Kottis

Internal Address: Pauley Petersen & Erickson

Street Address: 2800 West Higgins Road  
Suite 365

City: Hoffman Estates

State: Illinois Zip: 60169

Phone Number: (847) 490-1400

Docket Number: IIT-272

Email Address: \_\_\_\_\_

### 6. Total number of applications and patents involved: One (1)

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 19-3550

Authorized User Name Pauley Petersen & Erickson

### 9. Signature:

*Nick C. Kottis*

Signature

01 March 2013

Date

Nick C. Kottis

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 193550 13782409

Customer No.: 42419

**ASSIGNMENT**

WHEREAS, the following inventor

Name: Leon SHAW  
Residence: Chicago, Illinois  
Post Office Address: 1203 West 33rd Street  
Chicago, Illinois 60608  
Citizenship: United States of America

hereinafter referred to as ASSIGNOR, has invented certain new and useful improvements in an invention entitled

**THREE-DIMENSIONAL SUPERCAPACITORS AND  
BATTERIES WITH HIGH ENERGY DENSITIES**

which is described and claimed in an application for Letters Patent of the United States executed by ASSIGNOR on even date herewith, and naming as sole inventor Leon SHAW; said improvements as described and claimed in said application for Letters Patent of the United States, being hereinafter referred to as said "Invention"; and

WHEREAS, Illinois Institute of Technology, a not-for-profit corporation duly organized and existing under and by virtue of the laws of the State of Illinois and having its principal office and place of business at 10 West 33rd Street, Chicago, Illinois 60616, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S entire rights, title and interest in and to said Invention, and in, to and under Letters Patent therefor in the United States and countries foreign thereto;

NOW THEREFORE BE IT KNOWN THAT, for and in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR has sold, assigned, transferred and set over and by these presents does hereby sell, assign, transfer and set over to and unto ASSIGNEE, its successors and assigns ASSIGNOR'S entire rights, title and interest in and to said Invention, and in, to and under the above-identified application and all other applications for Letters Patent therefor of the United States and countries foreign thereto, and in, to and under all divisions, reissues, continuations, extensions and reexaminations thereof, and covenants that ASSIGNOR has the full right so to do; and the Commissioner of Patents is hereby authorized and requested to issue all United States Letters Patent on said Invention included herein to ASSIGNEE, as assignee of ASSIGNOR'S entire interest.

IIT-272

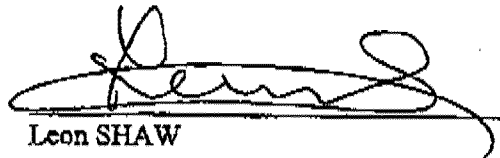
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## ASSIGNMENT

ASSIGNOR further agrees to communicate to ASSIGNEE or its representatives or agents all facts and information known or available to ASSIGNOR regarding said Invention including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other proceedings; to execute and deliver, on request, all lawful papers including original, divisional, continuing and reissue applications, renewals, assignments, powers of attorney, declarations, oaths, affidavits, depositions, etc.; and to generally do everything possible to aid ASSIGNEE, its successors and assigns, but at the expense of ASSIGNEE, in obtaining and enforcing proper protection for said Invention, in the United States and countries foreign thereto.

3/1/2013  
Date

  
Leon SHAW

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