PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Charles W. Gainey Jr	02/27/2013
Marcel Mitran	02/21/2013
Chung-Lung K. Shum	02/27/2013
Kevin Stoodley	02/20/2013

RECEIVING PARTY DATA

Name:	International Business Machines Corporation
Street Address:	New Orchard Road
City:	Armonk
State/Country:	NEW YORK
Postal Code:	10504

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13784861

CORRESPONDENCE DATA

Fax Number: 8602860115

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 860-286-2929

Email: usptopatentmail@cantorcolburn.com

Correspondent Name: CANTOR COLBURN LLP

Address Line 1: 20 Church Street Address Line 2: 22nd Floor

Address Line 4: Hartford, CONNECTICUT 06103

ATTORNEY DOCKET NUMBER:	POU920110238US2	
NAME OF SUBMITTER:	Eric J. Baron	
	This document serves as an Oath/Declaration (37 CFR 1.63).	

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Total Attachments: 8	
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PATENT REEL: 029920 FRAME: 0127

IBM DOCKET NUMBER: POU920110238US2

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns,

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IBM DOCKET NUMBER: POU920110238US2

whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: Charles W. Gainey, Jr.

Signature: <u>DECEASED INVENTOR - PLEASE SEE SUBSTITUTE STATEMENT ATTACHED HEREWITH</u>

(2) Legal Name of Inventor: Marcel Mitran

Signa	ature:	Date: Feb 215t, 201
(3) Lega	al Name of Inventor: Chung-Lung K. Shum	•
Signa	afure:	Date:
(4) Lega	l Name of Inventor: Kevin Stoodley	
Signa	ature;	Date:

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DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

TITLE OF INVENTION: RUN-TIME INSTRUMENTATION DIRECTED SAMPLING

As a below named and undersigned inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
[] United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the
application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns,

Page 1 of 2

IBM DOCKET NUMBER: POU920110238US2

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I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: Charles W. Gainey, Jr.

Signature: <u>DECEASED INVENTOR - PLEASE SEE SUBSTITUTE STATEMENT ATTACHED HEREWITH</u>

(2)	Legal Name of Inventor: Marcel Mitran	
	Signature:	Date:
(3)	Legal Name of Inventor: Chung-Lung K. Shum	
	Signature: Chyly K	Date: 2/27/2013
(4)	Legal Name of Inventor: Kevin Stoodley	
	Signature	Date:

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

TITLE OF INVENTION: RUN-TIME INSTRUMENTATION DIRECTED SAMPLING.

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As a below named and undersigned inventor, I hereby declare that:		
This declaration is directed to the attached application, or (if following box is	checked):	:
[] United States application or PCT international application number		<u></u> -
filed on	:	

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions. continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns.

IBM DO	CKET NUMBER	: POU920110238US2

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(1) Legal Name of Inventor: Charles W. Gainey, Jr.

Signature: <u>DECEASED INVENTOR - PLEASE SEE SUBSTITUTE STATEMENT ATTACHED</u> HEREWITH

(2) Legal Name of Inventor: Marcel Mitran	
Signature:	Date:
(3) Legal Name of Inventor: Chung-Lung K. Shum	
·	
Signature:	Date:

(4) Legal Name of Inventor: Kevin Stoodley

Signature: Date: Feb 20, 201

Doc code: Oath Document Description: Oath or declaration filed



PTO/AIA/02 (06-12)
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SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

				1			
Title of Invention	RUN-TIME INSTRUMENTATION DIRECTED SAMPLING						
This stateme	ent is directed to:						
The attached application,							
OR .							
United States application or PCT international application numberfiled on							
LEGAL NAME of inventor to whom this substitute statement applies: Charles W. Gainey, Jr.							
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)							
Charles W. Gainey, Jr.							
Residence (r	except for a deceased or legally incapa	scitated inventor):					
	1						
City		State (Country				
City		State	Zip	Country			
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.							
The above-identified application was made or authorized to be made by me.							
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.							
Relationship to the inventor to whom this substitute statement applies:							
Legal Representative (for deceased or legally incapacitated inventor only),							
Assignee,							
Person to whom the inventor is under an obligation to assign,							
Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or							
ok [pint Inventor.						

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This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to fife (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tradement Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SUBSTITUTE STATEMENT

		_				
Circumstances permitting execution of this substitute statement:						
Inventor is deceased.						
Inventor is under legal incapacity,	Inventor is under legal incapacity,					
Inventor cannot be found or reached after	Inventor cannot be found or reached after diligent effort, or					
Inventor has refused to execute the oath or declaration under 37 CFR 1.63.						
If there are joint inventors, please check the appropriate box below:						
An application data sheet under 37 CFR or is currently submitted.	An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.					
OR						
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).						
WARNING:						
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identify theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. PERSON EXECUTING THIS SUBSTITUTE STATEMENT:						
Name: Pryor A. Garnett, Senior Counsel, Reg. No. 32,136 2/27/2013						
Signature: Py A Ga						
city Portland	State OR	Country US				
Mailing Address (unless provided in an application data sheet, PTO/A/A/14 or equivalent) 1385 NW Amberglen Parkway						
city Hillsboro	State OR	_{zip} 97006	Country US			
Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.						

[Page 2 of 2]

RECORDED: 03/05/2013