

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Jonathan D. Bradbury</td> <td>02/20/2013</td> </tr> <tr> <td>Charles W. Gainey Jr.</td> <td>02/27/2013</td> </tr> <tr> <td>Michael K. Gschwind</td> <td>02/20/2013</td> </tr> <tr> <td>Eric M. Schwarz</td> <td>02/20/2013</td> </tr> </tbody> </table>		Name	Execution Date	Jonathan D. Bradbury	02/20/2013	Charles W. Gainey Jr.	02/27/2013	Michael K. Gschwind	02/20/2013	Eric M. Schwarz	02/20/2013
Name	Execution Date										
Jonathan D. Bradbury	02/20/2013										
Charles W. Gainey Jr.	02/27/2013										
Michael K. Gschwind	02/20/2013										
Eric M. Schwarz	02/20/2013										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>International Business Machines Corporation</td> </tr> <tr> <td>Street Address:</td> <td>New Orchard Road</td> </tr> <tr> <td>City:</td> <td>Armonk</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>10504</td> </tr> </table>		Name:	International Business Machines Corporation	Street Address:	New Orchard Road	City:	Armonk	State/Country:	NEW YORK	Postal Code:	10504
Name:	International Business Machines Corporation										
Street Address:	New Orchard Road										
City:	Armonk										
State/Country:	NEW YORK										
Postal Code:	10504										
PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13784868</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13784868						
Property Type	Number										
Application Number:	13784868										
CORRESPONDENCE DATA											
<p>Fax Number: 8602860115  <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 860-286-2929</p> <p>Email: usptopatentmail@cantorcolburn.com</p> <p>Correspondent Name: CANTOR COLBURN LLP</p> <p>Address Line 1: 20 Church Street</p> <p>Address Line 2: 22nd Floor</p> <p>Address Line 4: Hartford, CONNECTICUT 06103</p>											
ATTORNEY DOCKET NUMBER:	POU920120016US2										
NAME OF SUBMITTER:	Eric J. Baron										
This document serves as an Oath/Declaration (37 CFR 1.63).											

CH \$40.00 13784868

Total Attachments: 4

source=6LP3571#page1.tif

source=6LP3571#page2.tif

source=6LP3571#page3.tif

source=6LP3571#page4.tif

**PATENT**

**REEL: 029920 FRAME: 0279**

**DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN  
APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT**

TITLE OF INVENTION: RUN-TIME INSTRUMENTATION INDIRECT SAMPLING BY  
INSTRUCTION OPERATION CODE

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

☐ United States application or PCT international application number \_\_\_\_\_  
filed on \_\_\_\_\_.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the  
application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information  
known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred  
to as the "Invention") disclosed in the above-identified patent application and further identified by the  
IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a  
corporation of New York having a place of business at Armonk, New York, desires to acquire, and I  
desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and  
in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby  
acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have  
transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide  
right, title, and interest in and to the Invention, the above-identified United States patent application, and  
any and all other patent applications and patents for the Invention which may be applied for or granted  
therefor in the United States and in all foreign countries and jurisdictions, including all divisions,  
continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions  
thereof, and all rights of priority resulting from the filing of such applications and granting of such  
patents. In addition, I hereby authorize and request the Director of the United States Patent and  
Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign  
patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my  
entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its  
successors, legal representatives, and assigns to the full end of the terms for which any and all such  
patents may be granted, as fully and entirely as would have been held and enjoyed by me had this  
Assignment not been made; and I agree to execute any and all documents and instruments and perform all  
lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all  
related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns.

whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: **Jonathan D. Bradbury**

Signature: Jonathan D. Bradbury Date: 2/20/13

(2) Legal Name of Inventor: **Charles W. Gainey, Jr.**

Signature: DECEASED INVENTOR - PLEASE SEE SUBSTITUTE STATEMENT ATTACHED  
HEREWITH

(3) Legal Name of Inventor: **Michael K. Gschwind**

Signature: [Signature] Date: 2/20/13

(4) Legal Name of Inventor: **Eric M. Schwarz**

Signature: Eric M. Schwarz Date: 2/20/13

Doc code: Oath  
Document Description: Oath or declaration filed

POU 9 20120016US2

PTO/AIA/02 (06-12)  
Approved for use through 01/31/2014. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY  
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

<b>Title of Invention</b>	RUN-TIME INSTRUMENTATION INDIRECT SAMPLING BY INSTRUCTION OPERATION CODE		
This statement is directed to:			
<input checked="" type="checkbox"/> The attached application.			
OR			
<input type="checkbox"/> United States application or PCT international application number _____ filed on _____			
<b>LEGAL NAME of inventor to whom this substitute statement applies:</b> Charles W. Gainey, Jr.			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Charles W. Gainey, Jr.			
<b>Residence (except for a deceased or legally incapacitated inventor):</b>			
City	State	Country	
<b>Mailing Address (except for a deceased or legally incapacitated inventor):</b>			
City	State	Zip	Country
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
<b>Relationship to the inventor to whom this substitute statement applies:</b>			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only).			
<input checked="" type="checkbox"/> Assignee.			
<input type="checkbox"/> Person to whom the inventor is under an obligation to assign.			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

**PATENT**  
**REEL: 029920 FRAME: 0282**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- ☒ Inventor is deceased,  
☐ Inventor is under legal incapacity,  
☐ Inventor cannot be found or reached after diligent effort, or  
☐ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☒ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

### WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

### PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: Pryor A. Garnett, Senior Counsel, Reg. No. 32,136	2/27/2013 Date (Optional):
---	-------------------------------

Signature: 

Residence (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City Portland	State OR	Country US
------------------	-------------	---------------

Mailing Address (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

1385 NW Amberglen Parkway

City Hillsboro	State OR	Zip 97006	Country US
-------------------	-------------	--------------	---------------

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.