502254574 03/06/2013

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|----------------|----------------|
| Shih-Hung Tsai | 02/25/2013 |
| Chun-Hsien Lin | 02/25/2013 |
| Chien-Ting Lin | 01/09/2013 |

RECEIVING PARTY DATA

| Name: | UNITED MICROELECTRONICS CORP. |
|-----------------|---|
| Street Address: | No.3, Li-Hsin Road 2, Science-Based Industrial Park |
| City: | Hsin-Chu City |
| State/Country: | TAIWAN |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 13786485 |

CORRESPONDENCE DATA

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| ATTORNEY DOCKET NUMBER: | NAUP1606USA |
|-------------------------|-------------|
| NAME OF SUBMITTER: | SIBYL YU |

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PATENT REEL: 029930 FRAME: 0464 \$40.00 13/8648

502254574

COMBINE DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

Title of Invention: METHOD FOR FORMING FIN-SHAPED STRUCTURES

| As the below named inventor, I here This declaration is directed to: | by declare that: | | |
|---|---|---|-------------|
| The attached application, or | r | | |
| ☐ United States application nu | ımber | filed on | , or |
| ☐ PCT international applicatio | n number | filed on | |
| The above-identified application was | s made or authorized to be made | by me. | |
| I believe that I am the original invent application. | tor or an original joint inventor of a | a claimed invention in the | |
| I hereby acknowledge that any willfuunder18 U.S.C. 1001 by fine or impr | Il false statement made in this decisionment of not more than five (5 | claration is punishable) years, or both. | |
| In consideration of the payment by | UNITED MICROELECTROP | NICS having a postal add | lress of |
| No.3, Li-Hsin Road 2, Science | e-Based Industrial Park, Hs | n-Chu City 300, Taiwan | , R.O.C. |
| (referred to as "ASSIGNEE"below) to acknowledged, andfor other good ar | o I of the sum of One Dollar (\$ 1.0 and valuable consideration. | 00), the receipt of which is he | reby |
| I hereby sell, assign and transfer to a the entire right, title and interest in a invention as above-identified applica- invention by the above application of substitutes, or extensions thereof, ar | nd to any and all improvements w ition and, in and to, all Letters Pat r any continuations, continuation- | which are disclosed in the tent to be obtained for said in-part, divisions, renewals, | ΙΕΕ |
| I hereby covenant that no assignment entered into which would conflict with | nt, sale, agreement or encumbrar h this assignment; | ce has been or will be made | or |
| I further covenant that ASSIGNEE w and documents relating to said inver known and accessible to I and will te related thereto and will promptly exe | ntion and said Letters Patent and estify as to the same in any interfe | legal equivalents as may be rence, litigation proceeding | ts |
| representatives any and all papers, i maintain, issue and enforce said app equivalents thereof which may be ne IN WINTNESS WHEREOF, I have here | olication, said invention and said Lecessary or desirable to carry out | etters Patent and said | of signing) |
| Note: An application data sheet (PTC inventive entity, must accompany this | | | |

Page 1 of 6

NPO#NAU-P1606-USA:0
CUST#UMCD-2012-0284

F#NPO-P0002E-US1201 DSC0-101U017405

Docket No NAUP1606USA

| LEGAL NAME OF INVENTOR (ASSIGNOR) | | | | | |
|-----------------------------------|----------------|-------|-------|----------|--|
| Inventor: | Shih-Hung Tsai | Date: | FEB 2 | 2 5 2013 | |
| Signature: | Shile-Hung Tru | | | | |

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NPO#NAU-P1606-USA:0 CUST#UMCD-2012-0284 F#NPO-P0002E-US1201 DSC0-101U017405

PATENT REEL: 029930 FRAME: 0466

COMBINE DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

METHOD FOR FORMING FIN-SHAPED STRUCTURES

| As the below named inventor, I here This declaration is directed to: | by declare that: | | | |
|--|---|--|---|------------------------|
| ☑ The attached application, or | - | | | |
| ☐ United States application nu | ımber | filed | on | , or |
| ☐ PCT international applicatio | n number | fi | led on | |
| The above-identified application was | s made or authorized to | o be made by me |) , | |
| I believe that I am the original invent application. | or or an original joint in | nventor of a claim | ned invention in t | the |
| I hereby acknowledge that any willfu under18 U.S.C. 1001 by fine or impr | | | | |
| In consideration of the payment by | UNITED MICROEI | LECTRONICS | having a pos | tal address of |
| No.3, Li-Hsin Road 2, Science | e-Based Industrial | Park, Hsin-Ch | u City 300, Ta | aiwan, R.O.C. |
| (referred to as "ASSIGNEE"below) to acknowledged, andfor other good are | | | e receipt of whic | h is hereby |
| I hereby sell, assign and transfer to a the entire right, title and interest in a invention as above-identified applica- invention by the above application o substitutes, or extensions thereof, an | nd to any and all impro ition and, in and to, all r any continuations, co | ovements which a Letters Patent to ontinuation-in-part | are disclosed in t be obtained for t, divisions, rene | he said wals, |
| I hereby covenant that no assignment entered into which would conflict with | | encumbrance ha | s been or will be | made or |
| I further covenant that ASSIGNEE wand documents relating to said inverknown and accessible to I and will terelated thereto and will promptly exe | ntion and said Letters f estify as to the same in | Patent and legal of any interference | equivalents as m , litigation proce | nay be |
| representatives any and all papers, i maintain, issue and enforce said app equivalents thereof which may be no IN WINTNESS WHEREOF, I have h | olication, said invention ecessary or desirable to | n and said Letters o carry out t <u>he</u> pr | Patent and said oposes thereof. | d (Date of signing) |
| Note: An application data sheet (PTC | D/SB/14 or equivalent) | , including namin | g the entire | |

Page 3 of 6

inventive entity, must accompany this form. Use this form for each additional inventor.

F#NPO-P0002E-US1201 DSC0-101U017405

Title of Invention:

Docket No NAUP1606USA

| LEGAL NAME OF INVENTOR(ASSIGNOR) | | | | | |
|----------------------------------|----------------|-------|-------------|--|--|
| Inventor: | Chun-Hsien Lin | Date: | FEB 25 2013 | | |
| Signature: | Chun-Hs:an | ر بر | | | |

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NPO#NAU-P1606-USA:0 CUST#UMCD-2012-0284 F#NPO-P0002E-US1201 DSC0-101U017405

PATENT REEL: 029930 FRAME: 0468

COMBINE DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

Title of Invention: METHOD FOR FORMING FIN-SHAPED STRUCTURES

| As the below named inventor, I here This declaration is directed to: | by declare that: | | | |
|---|--|--|--|----------|
| ☑ The attached application, or | • | | | |
| ☐ United States application nu | ımber | filed on | <u> </u> | or |
| ☐ PCT international applicatio | n number | filed on _ | | |
| The above-identified application was | made or authorized to be made | by me. | | |
| I believe that I am the original invent application. | or or an original joint inventor of a | a claimed inven | tion in the | |
| I hereby acknowledge that any willfu under18 U.S.C. 1001 by fine or impr | | | | |
| In consideration of the payment by | UNITED MICROELECTRON CORP. | NICS having | g a postal addre | ess of |
| No.3, Li-Hsin Road 2, Science | -Based Industrial Park, Hsi | n-Chu City 3 | 00, Taiwan, | R.O.C. |
| (referred to as "ASSIGNEE"below) to acknowledged, andfor other good an | o I of the sum of One Dollar (\$ 1.0 and valuable consideration. | 00), the receipt | of which is here | eby |
| I hereby sell, assign and transfer to A the entire right, title and interest in ar invention as above-identified applica invention by the above application or substitutes, or extensions thereof, ar | nd to any and all improvements w tion and, in and to, all Letters Pat any continuations, continuation- | hich are disclosent to be obtain n-part, division | sed in the ned for said s, renewals, | E |
| I hereby covenant that no assignmer entered into which would conflict with | | ce has been or | will be made o | r |
| I further covenant that ASSIGNEE wand documents relating to said inven known and accessible to I and will te related thereto and will promptly executed. | tion and said Letters Patent and stify as to the same in any interfe | legal equivalen rence, litigation | ts as may be | ; |
| representatives any and all papers, in maintain, issue and enforce said app equivalents thereof which may be ne IN WINTNESS WHEREOF, I have he | lication, said invention and said L cessary or desirable to carry out | etters Patent a | nd said jereof | signing) |
| Note: An application data sheet (PTC inventive entity, must accompany this | | | | |

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NPO#NAU-P1606-USA:0 CUST#UMCD-2012-0284 F#NPO-P0002E-US1201 DSC0-101U017405

Docket No NAUP1606USA

LEGAL NAME OF INVENTOR(ASSIGNOR)

inventor:

Chien-Ting Lin

Date:

1/9/2

Signature:

NPO#NAU-P1606-USA:0 CUST#UMCD-2012-0284 Page 6 of 6

F#NPO-P0002E-US1201 DSC0-101U017405

PATENT REEL: 029930 FRAME: 0470

RECORDED: 03/06/2013