

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Sheng-Ping CHEN	02/18/2013
RECEIVING PARTY DATA	
Name:	Forging Adapter Co., Ltd.
Street Address:	N0. 27, Heli St., Taiping Dist.
City:	Taichung City
State/Country:	TAIWAN
Postal Code:	41144
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	29448167
CORRESPONDENCE DATA	
Fax Number:	2127917276
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Correspondent Name:	CHARLES E. BAXLEY, ESQUIRE
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Address Line 4:	NEW YORK, NEW YORK 10038
ATTORNEY DOCKET NUMBER:	19417 B (AP-5820) XX
NAME OF SUBMITTER:	Charles E. Baxley
Total Attachments: 2 source=19417Assign#page1.tif source=19417Assign#page2.tif	

CH \$40.00 29448167

ASSIGNMENT DEED

This assignment agreement is applicable to an invention entitled (Invention Title) SOCKET

The PATENT RIGHTS referred to in this agreement are:

(check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S) concurrently with this assignment.

☐ U.S. patent application Serial No. _____, filed _____.

☐ U.S. patent No. _____, issued _____.

The PATENT RIGHTS also include all divisions, reissues, continuations and extensions of the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

(check one) ☐ U.S. patent rights only.

☒ worldwide patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. patent application identified above.

The ASSIGNOR(S) referred to in this agreement is (or are):

(Full name of sole or first inventor) Chen, Sheng-Ping

(Address) No. 27, Heli St., Taiping Dist., Taichung City 41144, Taiwan, R.O.C.

(Full name of second joint inventor, if any) _____

(Address) _____

(Full name of third joint inventor, if any) _____

(Address) _____

(Full name of fourth joint inventor, if any) _____

(Address) _____

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) FORGING ADAPTER CO., LTD.

(Address of assignee) No. 27, Heli St., Taiping Dist., Taichung City 41144, Taiwan, R.O.C.

The ASSIGNEE is:

(check one) ☐ An Individual.

☐ A Partnership.

☒ A Corporation entity of Taiwan, R.O.C.
(state or country)

The ASSIGNOR(S), in consideration of \$1.00 paid by the ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to the ASSIGNEE, its successors and assigns:

the full and exclusive right to the invention;

the entire right, title and interest in and to the PATENT RIGHTS; and

the right to claim priority under 35 USC 119, based on any earlier foreign applications for this invention.

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE as the assignee of the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE, or its representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention.

Chen, Sheng-Ping

(Signature of sole or first inventor)

February 18, 2013

(Date)

(Signature of second inventor, if any)

(Date)

(Signature of third inventor, if any)

(Date)

(Signature of fourth inventor, if any)

(Date)

Applicant or Patentee : _____

Atty Docket: _____

Serial or Patent No. : _____

Filed or Issued : _____

Title: SOCKET

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9[9 and 1.27(c)] - SMALL BUSINESS CONCERN**

I hereby declare that I am

☐ the owner of the small business concern identified below:☒ the Assignee FORGING ADAPTER CO., LTD.ADDRESS OF ASSIGNEE No. 27, Heli St., Taiping Dist., Taichung City 41144, Taiwan, R.O.C.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3 18, and reproduced in 37 CFR 1.9(d), for purpose of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this Statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, or concern controls or has the power to control the other, or a third party or parties control or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: SOCKET by Inventor(s): Chen, Sheng-Ping described in:

☒ the Specification filed herewith☐ Application Serial No. _____ filed _____☐ Patent No. _____ issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). (Note: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME FORGING ADAPTER CO., LTD.ADDRESS No. 27, Heli St., Taiping Dist., Taichung City 41144, Taiwan, R.O.C.☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this Application or Patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28[b])

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and (he like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

Name of Small Entity Assignee	FORGING ADAPTER CO., LTD.
Name of Person Signing:	Lee, Ching-Hsiung
Title of Person Signing:	Representative
Address of Small Business Concern:	No. 27, Heli St., Taiping Dist., Taichung City 41144, Taiwan, R.O.C.
Date: February 18, 2013	Signature: <u>Lee Ching Hsiung</u>

PATENT

RECORDED: 03/11/2013

REEL: 029960 FRAME: 0930