

## PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Klein Decisions, LLC	01/05/2010
RECEIVING PARTY DATA	
Name:	Klein Decisions, Inc.
Street Address:	68 TW Alexander Dr.
City:	Research Triangle Park
State/Country:	NORTH CAROLINA
Postal Code:	27709
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12972407
CORRESPONDENCE DATA	
Fax Number:	8032559831
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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ATTORNEY DOCKET NUMBER:	27708/090030-CON
NAME OF SUBMITTER:	Yvonne C. Steiner
Total Attachments: 2 source=Assignment_2#page1.tif source=Assignment_2#page2.tif	

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### ASSIGNMENT

**WHEREAS** Klein Decisions LLC, a North Carolina limited liability company, having a place of business at 68 TW Alexander Drive, Research Triangle Park, North Carolina 27709 (hereinafter "Assignor"), is the owner of any and all right, title, and interest in, to, and under U.S. Patent Application No. 12/111,037 entitled "Method and System for Using Risk Tolerance and Life Goal Preferences and Rankings to Enhance Financial Projections," filed on April 28, 2008, (hereinafter referred to as "the Application") and in, to, and of, the trademarks and/or service marks listed below (hereinafter referred to as "the Marks"):

COUNTRY	MARK	REGISTRATION NUMBER	REGISTRATION DATE
United States	K4 and Design	3080501	04/11/2006
United States	K4	3080502	04/11/2006
United States	KLEIN DECISIONS	2978292	07/26/2005
United States	KLEIN DECISIONS K4 and Design	3036815	12/27/2005
United States	K4 FUND SELECTION and Design	3444380	06/10/2008
United States	K4 PLAN GOALS and Design	3457195	07/01/2008
United States	K4 PORTFOLIO SELECTION and Design	3591011	03/17/2009

**WHEREAS** Klein Decisions, Inc., a North Carolina corporation, having a place of business at 68 TW Alexander Drive, Research Triangle Park, North Carolina 27709 (hereinafter together with its successors and assigns referred to as "the Assignee"), is desirous of obtaining any and all right, title, and interest in, to, and under the Application and the Marks; and

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor has sold, assigned, transferred, and set over, and by these presents hereby sells, assigns, transfers, and sets over to the Assignee any and all right, title, and interest in, to, and under the Application, also the entire right, title, and interest in and to any and all reissues or extensions thereof, and any divisional, continuation, continuation-in-part, reexamination or substitute applications which may be filed, expressly including the right to sue for infringement and collect damages for acts occurring prior to the date of this Assignment and thereafter;

**NOW, THEREFORE**, in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor has sold, assigned, transferred, and set over, and by these presents hereby sells, assigns, transfers, and sets over to Assignee any and all right, title, and interest in, to, and under the Marks in any and all forms in which they have been used and including any and all common law rights therein, as well as all registrations and pending applications for the Marks, together with the goodwill of the business symbolized by the Marks, expressly including the right to sue for and collect damages for acts occurring prior to the date of this Assignment and thereafter; and

Assignor hereby authorizes the responsible officer of the Patent and Trademark Office of the United States to record this Assignment, and to note the Assignee as owner of all right, title, and interest therein.

IN TESTIMONY WHEREOF, Assignor has executed this document on the date indicated below.

Date: 1/5/10

Robert L. Padgett  
Name: Robert L. Padgett  
Title: Manager

STATE OF NORTH CAROLINA

COUNTY OF Durham

On this 5 day of January, 2010, before me came the individual identified above, to me known and known to me to be the individual described herein, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

Barbara J. Lewis  
Signature

Barbara J Lewis  
Name

Notary Public for North Carolina (state)

My commission expires: 5-1-14

