

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>KRIS A. BERGLUND</td> <td>08/13/1998</td> </tr> <tr> <td>SANJAY YEDUR</td> <td>08/13/1998</td> </tr> <tr> <td>DILUM D. DUNUWILA</td> <td>08/11/1998</td> </tr> </tbody> </table>		Name	Execution Date	KRIS A. BERGLUND	08/13/1998	SANJAY YEDUR	08/13/1998	DILUM D. DUNUWILA	08/11/1998		
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SANJAY YEDUR	08/13/1998										
DILUM D. DUNUWILA	08/11/1998										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>APPLIED CARBOCHEMICALS, INC.</td> </tr> <tr> <td>Street Address:</td> <td>11601 WILSHIRE BLVD</td> </tr> <tr> <td>City:</td> <td>LOS ANGELES</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>90025</td> </tr> </table>		Name:	APPLIED CARBOCHEMICALS, INC.	Street Address:	11601 WILSHIRE BLVD	City:	LOS ANGELES	State/Country:	CALIFORNIA	Postal Code:	90025
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CORRESPONDENCE DATA											
Fax Number:	2128066006										
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone:	212-806-5400										
Email:	msiegal@stroock.com										
Correspondent Name:	STROOCK & STROOCK & LAVAN LLP										
Address Line 1:	180 Maiden Lane										

CH \$80.00 5958744

Address Line 2: Matthew W. Siegal  
Address Line 4: New York, NEW YORK 10038

ATTORNEY DOCKET NUMBER: 003832.0002

NAME OF SUBMITTER: Matthew W. Siegal

**Total Attachments: 18**

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Docket No.  
29803/003  
TWS:jm

## ASSIGNMENT

WHEREAS, we Kris A. Berglund, a citizen of the United States residing at 4681 Van Atta Road, Okemos, MI 48864, Sanjay Yedur, a citizen of India residing at 444 Saratoga Avenue, #27A, Santa Clara, California 95050 and Dilum D. Dunuwila, a citizen of Sri Lanka, residing at 2382 Sower Blvd, Okemos, MI 48864, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in **RECOVERY PROCESS FOR SUCCINIC ACID FROM FERMENTATION** for which we have filed a provisional application for Letters Patents of the United States on August 18, 1997, Serial No. 60/056,013;

WHEREAS, Applied CarboChemicals, <sup>Inc., 3/28/2013</sup> a corporation organized under the laws of the state of Delaware having offices at 11601 Wilshire Blvd., Los Angeles, California 90025 and Michigan State University Board of Trustees, a Not For Profit Corporation under the laws of the State of Michigan, having an address at 238 Hannah Administration Building, East Lansing, Michigan 48824, hereinafter referred to as ASSIGNEES, are desirous of obtaining the entire right, title and interest in, to and under the improvements and the application;

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ASSIGNEES, their successors, legal representatives and assigns, any facts known to us respecting the improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the ASSIGNEES, their successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

IN TESTIMONY WHEREOF, we have set our hand and seal to this Assignment.

August 13, 1998

Kris A. Berglund  
KRIS A. BERGLUND

\_\_\_\_\_, 1998

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SANJAY YEDUR

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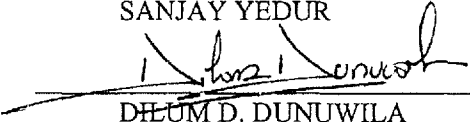
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KRIS A. BERGLUND

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SANJAY YEDUR

08 / 11 \_\_\_\_\_, 1998

  
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DEUM D. DUNUWILA



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: U.S. Patent No. 5,958,744  
(App. No. 09/134,061)  
Issued: September 28, 1999  
Filed: August 13, 1998  
For: SUCCINIC ACID PRODUCTION AND PURIFICATION

**DECLARATION IN SUPPORT OF REQUEST FOR CORRECTING**  
**TYPOGRAPHICAL ERROR IN ASSIGNMENT DOCUMENT**

***Mail Stop Assignment***

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Matthew W. Siegal, declare as follows:

1. I am currently a partner of the law firm of Stroock & Stroock & Lavan LLP, having a business location at 180 Maiden Lane, New York, NY 10038 (the "Firm").
2. I supervised, and was personally involved in the preparation and prosecution of U.S. Application No. 09/134,061, filed on August 13, 1998, and issued as U.S. Patent No. 5,958,744 (the "'744 Patent") on September 28, 1999. The '744 Patent claims priority to U.S. Provisional Application No. 60/056,013 (the "'013 Provisional"), filed August 18, 1997.
3. I supervised the preparation of the initial assignment from the inventors of the '744 Patent (the "Initial Assignment"). The executed Initial Assignment was filed with the USPTO on October 13, 1998 and recorded at Reel No. 009345 and Frame Nos. 0929-0936. A partial copy of the Initial Assignment as filed<sup>1</sup> is attached hereto as Exhibit A.

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<sup>1</sup> The Initial Assignment as executed by the inventors Kris A. Berglund, Sanjay Yedur, and Dilum D. Dunuwila refers to the '013 Provisional and covers all Letters Patents issued from applications based on the '013 Provisional. See Exhibit A.

4. The '744 Patent was subjected to subsequent assignments. A printout of the chain of assignments regarding the '744 Patent, as retrieved from the USPTO's website for assignment records, is attached hereto as Exhibit B.

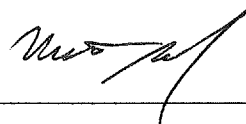
5. It has come to my attention that the Initial Assignment contains a typographical error regarding the name of one of the assignees, "APPLIED CARBOCHEMICALS." Based on my personal knowledge of APPLIED CARBOCHEMICALS obtained in connection with the preparation and prosecution of the '744 Patent, and to the best of my recollection, the correct and full name of this assignee at the time the Initial Assignment was filed with the USPTO should be "APPLIED CARBOCHEMICALS, INC.". To the best of my recollection, the name "APPLIED CARBOCHEMICALS" appearing in the Initial Assignment was due to an inadvertent omission of the abbreviation "INC.".

6. Accordingly, I respectfully request that the assignment records for the '744 Patent be corrected such that "APPLIED CARBOCHEMICALS" in the Initial Assignment be replaced by "APPLIED CARBOCHEMICALS, INC.", and that the chain of assignments on the USPTO's website for assignment records indicates "APPLIED CARBOCHEMICALS, INC." as an initial assignee (by replacing "APPLIED CARBOCHEMICALS"). Accordingly, I have indicated the corrections in the corrective assignment submitted herewith, and initialed my name near the corrections.

7. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code.

3-28-13

Date



Matthew W. Siegal

# EXHIBIT A

ocket No.  
29803/003  
4WS:jm

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PATENT  
REEL: 9345 FRAME: 0931

PATENT  
REEL: 030106 FRAME: 0497

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SANJAY YEDUR

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DILUM D. DUNUWILA

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PATENT  
REEL: 9345 FRAME: 0932

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REEL: 030106 FRAME: 0498

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RECORDED: 10/13/1998

PATENT  
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PATENT  
REEL: 030106 FRAME: 0502

# EXHIBIT B



## United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)Assignments on the Web > Patent Query**Patent Assignment Abstract of Title**

**NOTE: Results display only for issued patents and published applications.  
For pending or abandoned applications please consult USPTO staff.**

**Total Assignments: 6****Patent #:** 5958744**Issue Dt:** 09/28/1999**Application #:** 09134061**Filing Dt:** 08/13/1998**Inventors:** KRIS A. BERGLUND, SANJAY YEDUR, DILUM D. DUNUWILA**Title:** SUCCINIC ACID PRODUCTION AND PURIFICATION**Assignment: 1****Reel/Frame:** 009345/0929**Recorded:** 10/13/1998**Pages:** 8**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** BERGLUND, KRIS A.**Exec Dt:** 08/13/1998YEDUR, SANJAY**Exec Dt:** 08/13/1998DUNUWILA, DILUM D.**Exec Dt:** 08/11/1998**Assignees:** APPLIED CARBOCHEMICALS

11601 WILSHIRE BLVD

LOS ANGELES, CALIFORNIA 90025

MICHIGAN STATE UNIVERSITY BOARD OF TRUSTEES

238 HANNAH ADMINISTRATION BUILDING

EAST LANSING, MICHIGAN 48824

**Correspondent:** STROOCK & STROOCK & LAVAN LLP

MATTHEW W. SIEGAL

180 MAIDEN LANE

NEW YORK, NY 10038

**Assignment: 2****Reel/Frame:** 028125/0809**Recorded:** 04/30/2012**Pages:** 5**Conveyance:** CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).**Assignor:** APPLIED CARBOCHEMICALS, INC.**Exec Dt:** 04/29/2003**Assignee:** DIVERSIFIED NATURAL PRODUCTS, INC.

145 WEST 57TH STREET, 21ST FLOOR

NEW YORK, NEW YORK 10019

**Correspondent:** ROBIC

1001 SQUARE-VICTORIA, BLOC E - 8TH FLOOR

MONTREAL, H2Z 2B7 CANADA

**Assignment: 3****Reel/Frame:** 028125/0758**Recorded:** 04/30/2012**Pages:** 5**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignor:** DIVERSIFIED NATURAL PRODUCTS, INC.**Exec Dt:** 12/31/2008**Assignee:** DNP GREEN TECHNOLOGY, INC.

145 WEST 57TH STREET, 21ST FLOOR

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**Correspondent:** ROBIC

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**Assignment: 4****Reel/Frame:** 028125/0902**Recorded:** 04/30/2012**Pages:** 3

**Conveyance:** CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

**Assignor:** DNP GREEN TECHNOLOGY, INC

**Exec Dt:** 11/16/2010

**Assignee:** BIOAMBER INC.

145 WEST 57TH STREET, 21ST FLOOR  
NEW YORK, NEW YORK 10019

**Correspondent:** ROBIC

1001 SQUARE-VICTORIA, BLOC E - 8TH FLOOR  
MONTREAL, H2Z 2B7 CANADA

**Assignment: 5**

**Reel/Frame:** 028125/0890

**Recorded:** 04/30/2012

**Pages:** 5

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** BIOAMBER INC.

**Exec Dt:** 02/29/2012

**Assignee:** BIOAMBER SAS

ROUTE DE BAZANCOURT  
POMACLE, FRANCE 51110

**Correspondent:** ROBIC

1001 SQUARE-VICTORIA, BLOC E - 8TH FLOOR  
MONTREAL, H2Z 2B7 CANADA

**Assignment: 6**

**Reel/Frame:** 028949/0320

**Recorded:** 09/12/2012

**Pages:** 11

**Conveyance:** CORRECTIVE ASSIGNMENT TO CORRECT THE ASSIGNEE'S ADDRESS PREVIOUSLY RECORDED ON REEL 028125 FRAME 0890. ASSIGNOR(S) HEREBY CONFIRMS THE CORRECT ADDRESS OF THE ASSIGNEE FROM ROUTE DE BAZANCOURT, 51110 POMACLE, FRANCE TO ROUTE DE POMACLE, 51110 BAZANCOURT, FRANCE.

**Assignor:** BIOAMBER INC.

**Exec Dt:** 09/07/2012

**Assignee:** BIOAMBER S.A.S.

ROUTE DE POMACLE  
BAZANCOURT, FRANCE 51110

**Correspondent:** MATTHEW W. SIEGAL

180 MAIDEN LANE  
STROOCK & STROOCK & LAVAN, LLP  
NEW YORK, NY 10038

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