

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Sui Huang</td> <td>07/23/2010</td> </tr> <tr> <td>Daniel Appella</td> <td>07/22/2010</td> </tr> <tr> <td>Mark Witschi</td> <td>07/26/2010</td> </tr> <tr> <td>John Norton</td> <td>07/23/2010</td> </tr> </tbody> </table>		Name	Execution Date	Sui Huang	07/23/2010	Daniel Appella	07/22/2010	Mark Witschi	07/26/2010	John Norton	07/23/2010
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RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>NORTHWESTERN UNIVERSITY</td> </tr> <tr> <td>Street Address:</td> <td>633 Clark Street</td> </tr> <tr> <td>City:</td> <td>Evanston</td> </tr> <tr> <td>State/Country:</td> <td>ILLINOIS</td> </tr> <tr> <td>Postal Code:</td> <td>60208</td> </tr> </table>		Name:	NORTHWESTERN UNIVERSITY	Street Address:	633 Clark Street	City:	Evanston	State/Country:	ILLINOIS	Postal Code:	60208
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PROPERTY NUMBERS Total: 1											
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CORRESPONDENCE DATA											
<p>Fax Number: 6086621276 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 608-662-1277</p> <p>Email: vlhoeft@casimirjones.com</p> <p>Correspondent Name: Casimir Jones, S.C.</p> <p>Address Line 1: 2275 DEMING WAY, SUITE 310</p> <p>Address Line 4: MIDDLETON, WISCONSIN 53562</p>											
ATTORNEY DOCKET NUMBER:	NWEST-30228/US-3/DIV										
NAME OF SUBMITTER:	Robert A. Goetz										
<p>Total Attachments: 5</p> <p>source=30228US2PCTAssignmentEXEC#page1.tif</p> <p>source=30228US2PCTAssignmentEXEC#page2.tif</p> <p>source=30228US2PCTAssignmentEXEC#page3.tif</p> <p>source=30228US2PCTAssignmentEXEC#page4.tif</p> <p>source=30228US2PCTAssignmentEXEC#page5.tif</p>											

CH \$40.00 13853513

ASSIGNMENT

WHEREAS, WE, *SUI HUANG, JOHN NORTON, DANIEL APPELLA and MARK WITSCHI* hereinafter referred to as "ASSIGNORS," have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **ANTI-CANCER COMPOUNDS**

International Filing Date: **12-January-2009**

International Patent Application No. PCT/US2009/030757
U.S. Serial No.: 12/812,114

WHEREAS, Northwestern University, an Illinois not-for-profit corporation having a place of business at 633 Clark Street, Evanston, IL 60208, US, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, or prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full extent and term of any Letters Patent.

ASSIGNORS further agree that ASSIGNORS will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge, and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed ASSIGNORS' names to this Assignment on the date indicated below.

Sui Huang

7/23/10
Date

John Norton

Date

Daniel Appella

Date

Mark Witschi

Date

STATE OF Illinois)
COUNTY OF Cook)

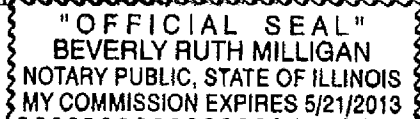
ss.

On this 23rd day of July, in the year of 2010, before me, the undersigned Notary Public or Witness, personally appeared the above-named ASSIGNORS, known to me (or proven to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the within instrument, and acknowledged that they executed the same.

Beverly Ruth Milligan
NOTARY PUBLIC or WITNESS

MY COMMISSION EXPIRES: 5/21/2013

SEAL



ASSIGNMENT

WHEREAS, WE, **SUI HUANG, JOHN NORTON, DANIEL APPELLA and MARK WITSCHI** hereinafter referred to as "ASSIGNORS," have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

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IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed ASSIGNORS' names to this Assignment on the date indicated below.

Sui Huang _____ Date _____
[Signature] 7/22/10
Daniel Appella _____ Date _____

John Norton _____ Date _____
Mark Witschi _____ Date _____

STATE OF _____)
COUNTY OF _____) ss.

On this 22nd day of July, in the year of 2010, before me, the undersigned Notary Public or Witness, personally appeared the above-named ASSIGNORS, known to me (or proven to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the within instrument, and acknowledged that they executed the same.

SEAL

County/City of Fredrick, Frederick
Commonwealth/State of Maryland
The foregoing instrument was subscribed and
sworn before me this 22 day of July
2010 by
Daniel Appella
(name of person seeking acknowledgment)
[Signature]
Notary Public
My commission expires: 05/11/2013

[Signature]
NOTARY PUBLIC or WITNESS

MY COMMISSION EXPIRES: 07/22/2010

ASSIGNMENT

WHEREAS, WE, **SUI HUANG, JOHN NORTON, DANIEL APPELLA and MARK WITSCHI** hereinafter referred to as "ASSIGNORS," have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

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Sui Huang _____ Date _____

John Norton _____ Date _____

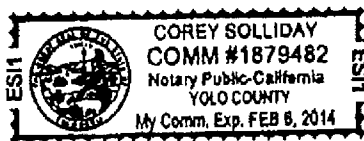
Daniel Appella _____ Date _____

Mark Witschi _____ Date 07/26/10

STATE OF CALIFORNIA)
)
) ss.
COUNTY OF YOLO)

On this 26 day of JULY, in the year of 2010, before me, the undersigned Notary Public or Witness, personally appeared the above-named ASSIGNORS, known to me (or proven to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the within instrument, and acknowledged that they executed the same.

SEAL



NOTARY PUBLIC or WITNESS

MY COMMISSION EXPIRES: FEB 06 2014

ASSIGNMENT

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IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed ASSIGNORS' names to this Assignment on the date indicated below.

N/A _____ Date
Sui Huang
N/A _____ Date
Daniel Appella

John Norton 7/23/10 _____ Date
John Norton
N/A _____ Date
Mark Witschi

STATE OF)
COUNTY OF) ss.

SEE ACKNOWLEDGMENT ATTACHED

On this _____ day of _____, in the year of _____, before me, the undersigned Notary Public or Witness, personally appeared the above-named ASSIGNORS, known to me (or proven to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the within instrument, and acknowledged that they executed the same.

NOTARY PUBLIC or WITNESS

SFAL

MY COMMISSION EXPIRES: _____

State of California

County of SAN DIEGO

On 23 July 2010 before me, BRYAN R. PETTENGILL Notary Public

personally appeared JOHN NORTON

Here insert Name and Title of the Officer

Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~ or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Please Notary Seal and/or Stamp Above

Signature: Bryan R. Pettengill
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____

Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Corporate Officer — Title(s): _____

☐ Individual

☐ Individual

☐ Partner — ☐ Limited ☐ General

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Attorney in Fact

☐ Trustee

☐ Trustee

☐ Guardian or Conservator

☐ Guardian or Conservator

☐ Other: _____

☐ Other: _____

Signer Is Representing: _____

Signer Is Representing: _____